



# Recent results from the NA48 experiment at CERN

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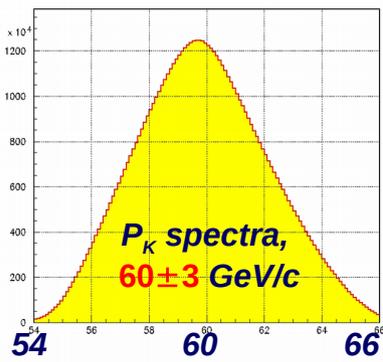
on behalf of the NA48/2 collaboration

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Moscow, Russia

# Outline

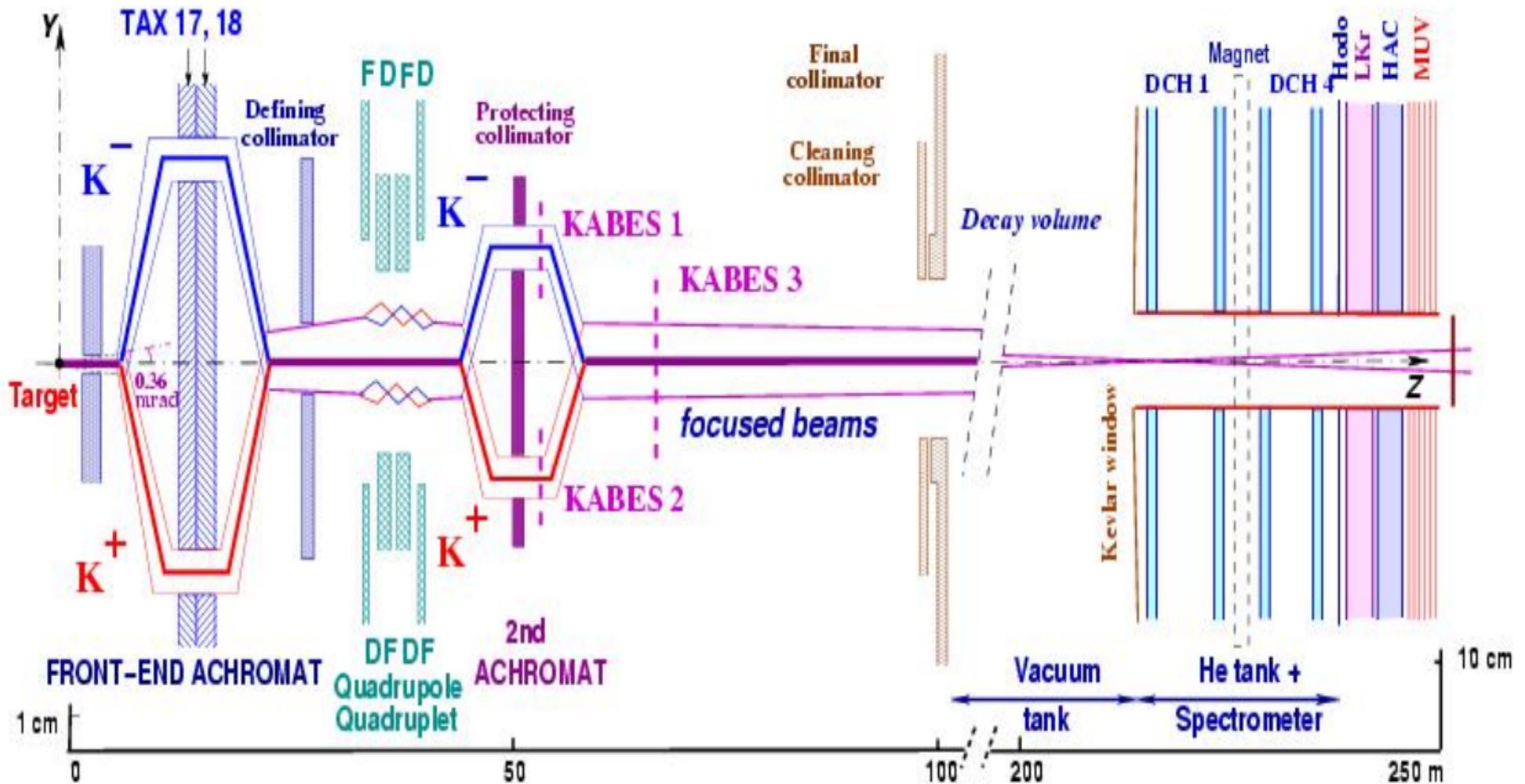
- NA48/2 experiment
- $K_{13}$  form factors precision measurement
- Measurement of  $\text{Br}(K^\pm \rightarrow \mu^\pm \nu_\mu e^+ e^-)$
- Conclusion

# NA48/2 kaon beam



2003+2004 ~ 6 months,  
 ~  $2 \cdot 10^{11}$  K decays  
 Flux ratio:  $K^+/K^- \approx 1.8$

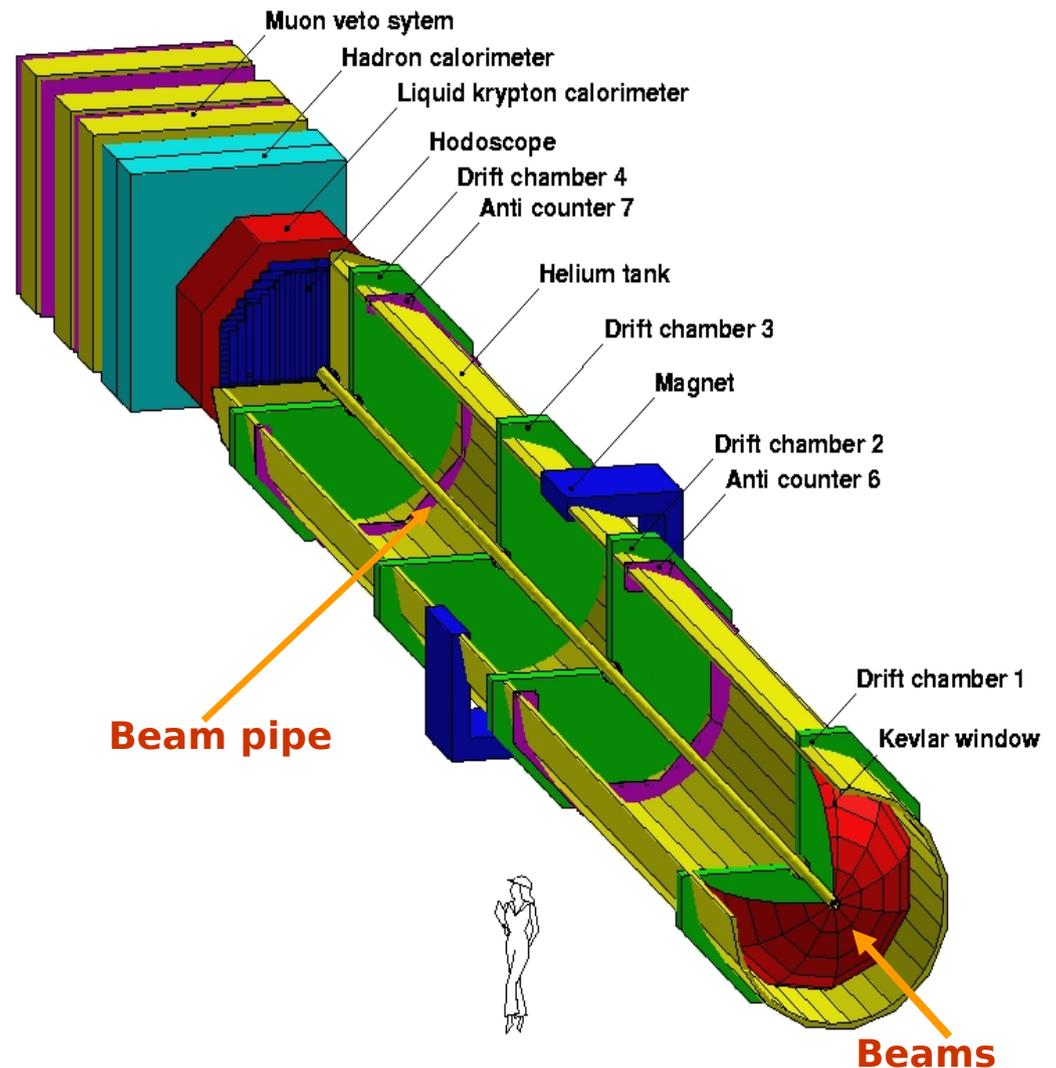
Simultaneous  $K^+$  and  $K^-$  beams:  
 large charge symmetrization of  
 experimental conditions



# NA48/2 detector

## Main detector components:

- **Magnetic spectrometer (4 DCHs):**  
4 views/DCH inside a He tank  
 $\Delta p/p = 1.02\% \oplus 0.044\%*p$   
[p in GeV/c].
- **Hodoscope**  
fast trigger;  
precise time measurement (150ps).
- **Liquid Krypton EM calorimeter (LKr)**  
High granularity, quasi-homogenous  
 $\sigma_E/E = 3.2\%/E^{1/2} \oplus 9\%/E \oplus 0.42\%$   
 $\sigma_x = \sigma_y = 0.42/E^{1/2} \oplus 0.06\text{cm}$   
[E in GeV]. (0.15cm@10GeV).
- **Hadron calorimeter, muon veto**  
counters, photon vetoes.



# $K^\pm \rightarrow \pi^0 l^\pm \nu$ ( $K_{l3}^\pm$ ) form factors

exper. input for  $|V_{us}|$  extraction (apart from  $\Gamma(K_{l3}^\pm)$ )

**Without radiative effects** :  $\rho_0 = d^2 N / (dE_l dE_\pi) \sim A f_+^2(t) + B f_+(t) f_-(t) + C f_-^2(t)$ , where

$$t = (P_K - P_\pi)^2 = M_K^2 + M_\pi^2 - 2 M_K E_\pi$$

$f_-(t) = (f_+(t) - f_0(t))(m_K^2 - m_\pi^2)/t$ . (just another formulation,  $f_0$  is «scalar» and  $f_+$  is «vector» FF),

$E_l$  is charged lepton energy,  $E_\pi$  is  $\pi^0$  energy (both in the kaon rest frame).

$$A = M_K(2 E_l E_\nu - M_K(E_\pi^{\max} - E_\pi)) + M_l^2 ((E_\pi^{\max} - E_\pi)/4 - E_\nu)$$

$$B = M_l^2 (E_\nu - (E_\pi^{\max} - E_\pi)/2) \quad \text{negligible for Ke3}$$

$$C = M_l^2 (E_\pi^{\max} - E_\pi)/4 \quad \text{negligible for Ke3}$$

$$E_\pi^{\max} = (M_K^2 + M_\pi^2 - M_l^2)/(2 M_K)$$

FF Parameterisation (PDG name)	$f_+(t, \text{parameters})$	$f_0(t, \text{parameters})$
<b>Quadratic</b> (linear for $\bar{f}_0(t)$ )	$1 + \lambda'_+ t/m_\pi^2 + 1/2 \lambda''_+ (t/m_\pi^2)^2$	$1 + \lambda'_0 t/m_\pi^2$
<b>Pole</b>	$M_V^2 / (M_V^2 - t)$	$M_S^2 / (M_S^2 - t)$
<b>Dispersive*</b> H(t), G(t): functions fixed from theory and other experiments. Depend on 2 (H) and 3 (G) extra external parameters known with a given* uncertainty.	$\exp( (\Lambda_+ + H(t)) t/m_\pi^2 )$	$\exp( (\ln[C] - G(t)) t/(m_K^2 - m_\pi^2) )$

\* [V. Bernard, M. Oertel, E. Passemar, J. Stern. Phys.Rev. D80 (2009) 034034]

We use MC **radiative** decay generator of C.Gatti [Eur.Phys.J. C45 (2006) 417–420] provided by KLOE collaboration. It includes  $f_0 = f_+ = 1 + \lambda'_+ t/m_\pi^2$ .

# Reconstruction

- **Data:** 16 special runs from the NA48/2 data taken in 2004 (3 days)
- **Trigger:** 1 charged track (2 hodoscope hits) and  $E_{\text{LKr}} > 10 \text{ GeV}$
- Beam geometry and average momentum  $P_b$  are measured from  $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$ .  
In the  $K_{13}$  analysis the reconstruction of **Kaon momentum** has 2 solutions.  
Best solution is  $\Delta P = |P_K - P_b|$  and  $\Delta P < 7.5 \text{ GeV}/c$

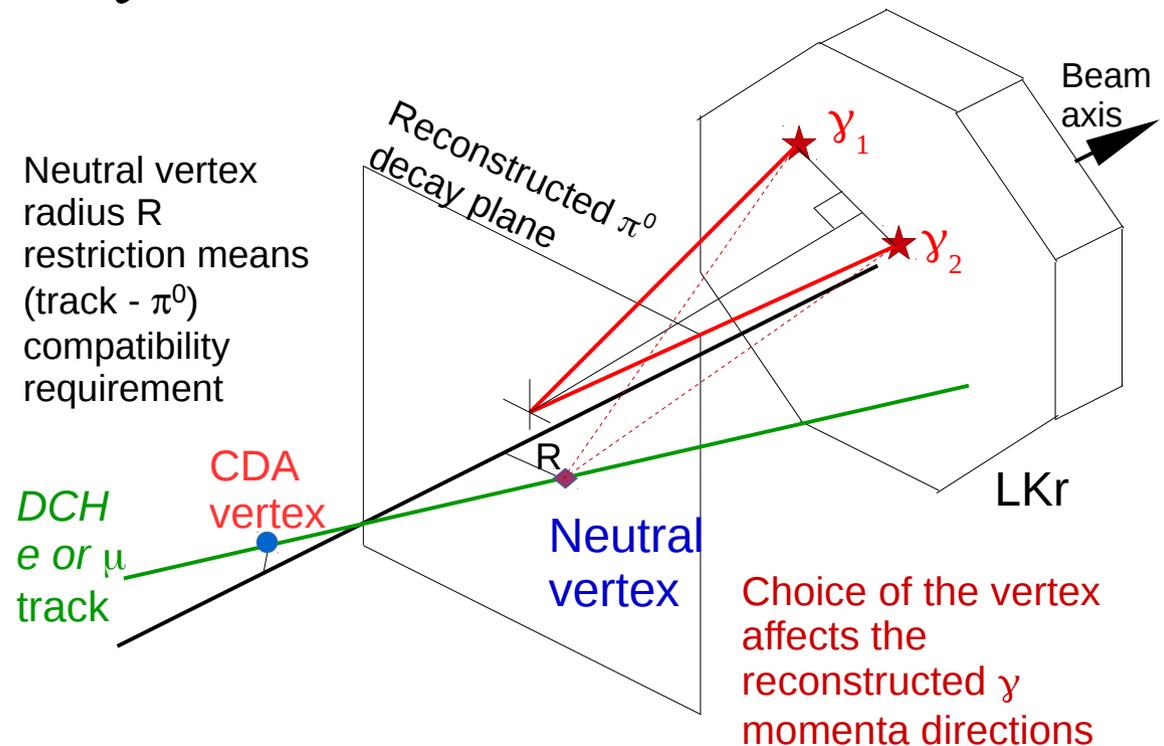
## Decay vertex

### CDA (previous analysis 2012):

- Systematic shift of the vertex closer to the beam
- High sensitivity to exact beam shape simulation

### Neutral vertex (this analysis):

- $X_n, Y_n =$  impact point of charged track at  $Z=Z_n$  plane
- No transverse bias



# Selection

## General cuts:

- A pair of clusters in-time (within **5 ns**) without any in-time extra clusters
- Distance between the clusters in a pair **> 20 cm**
- **$E(\pi^0) > 15 \text{ GeV}$**  (for the trigger efficiency)
- Compatibility of neutral vertex ( $X_n, Y_n, Z_n$ ) with beam axis

## Track selection:

- A good track in-time with the  $\pi^0$  within **10 ns**.
- No extra good track within **8 ns** (against showers).

## $K_{e3}$ :

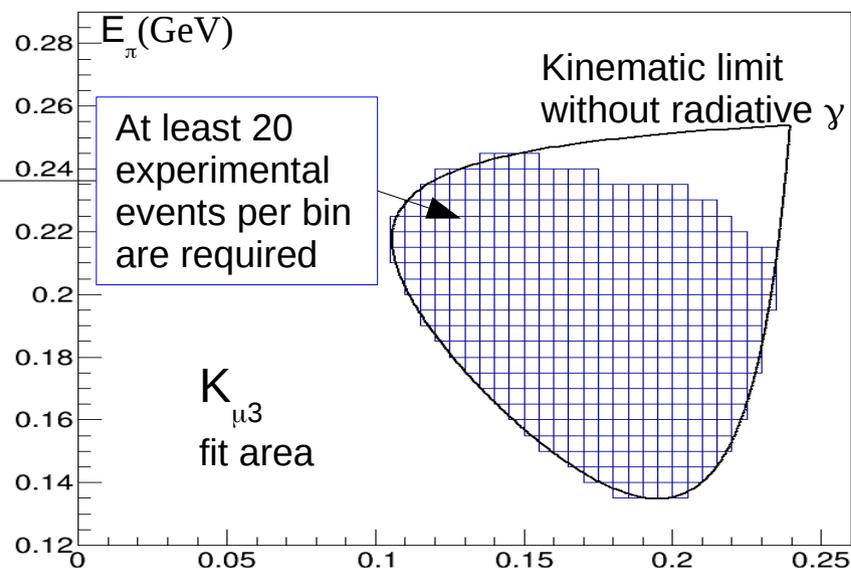
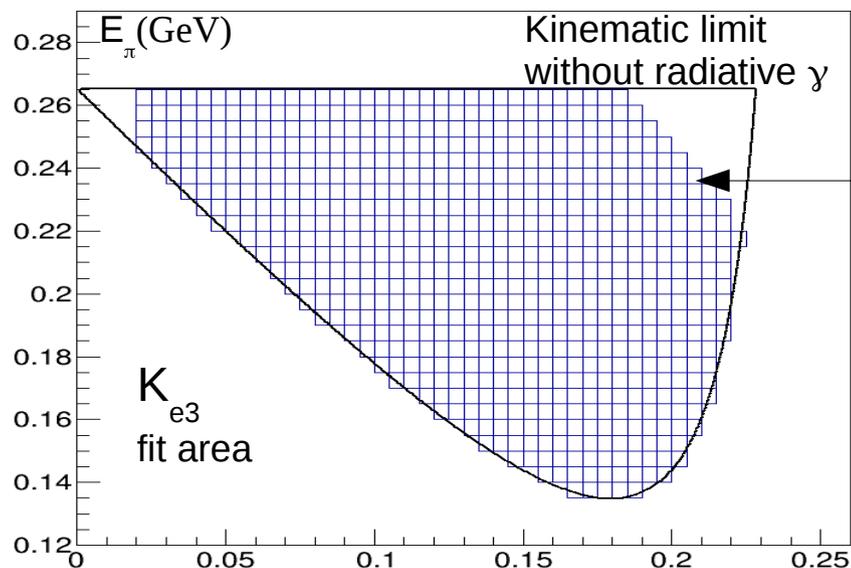
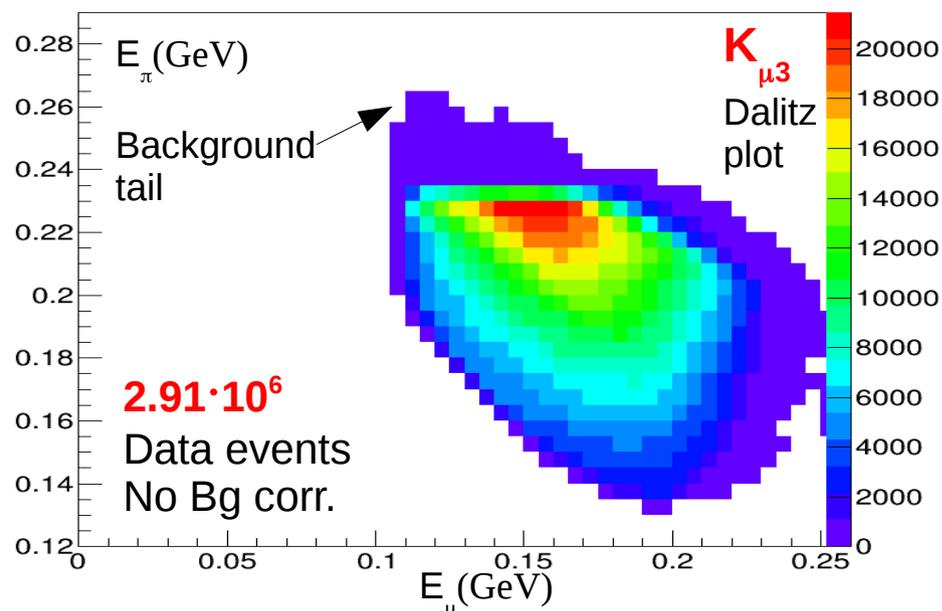
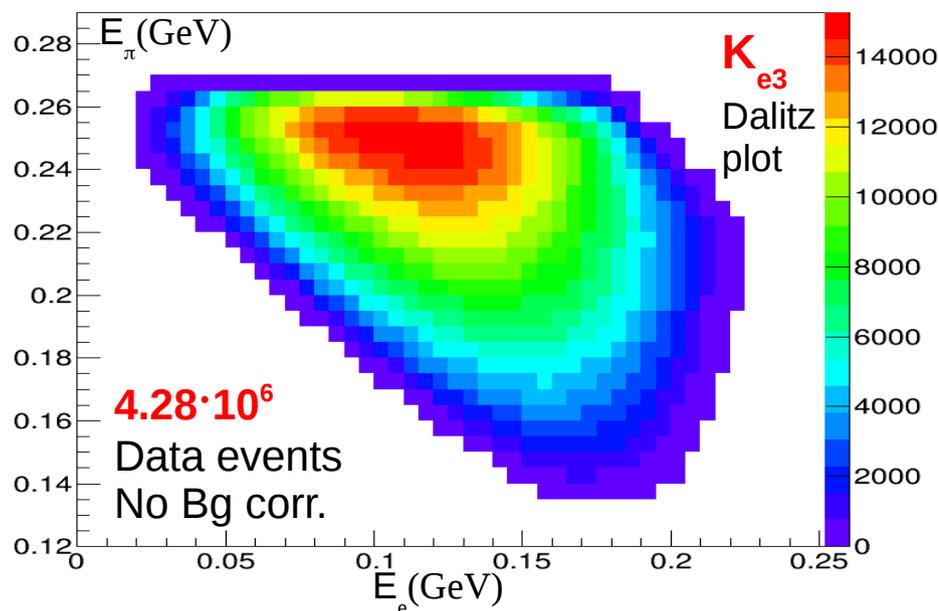
- 1 track with  **$p > 5 \text{ GeV}/c$**
- Track with  **$E/p > 0.9$**
- $p_T^v$  (w.r.t. beam axis)  **$> 0.03 \text{ GeV}/c$**
- **$(p_L^v)^2 > 0.0014 (\text{Gev}/c)^2$**

## $K_{\mu 3}$ :

- 1 track with  **$p > 10 \text{ GeV}/c$**
- Track with  **$E/p < 0.9$**  and **MUV** signal
- Selective cuts against  $K^\pm \rightarrow \pi^\pm \pi^0$  decays (followed by  $\pi^\pm \rightarrow \mu^\pm \nu_\mu$  decay)
- Selective cuts against  $K^\pm \rightarrow \pi^\pm \pi^0 \pi^0$  decays (followed by  $\pi^\pm \rightarrow \mu^\pm \nu_\mu$  decay, missing  $\pi^0$ )

Residual background from  **$2\pi$**  and  **$3\pi$**  decay very small:  **$O(10^{-4} - 10^{-3})$**

# Experimental Dalitz plots and fits areas (5x5 MeV cells)



# Results for the joint $K_{13}$ analysis

	Quadratic parameterization (in units of $10^{-3}$ )			Pole parameterization (in MeV)		Dispersive parameterization (in units of $10^{-3}$ )	
	$\lambda'_+$	$\lambda''_+$	$\lambda'_0$	$M_V$	$M_S$	$\Lambda_+$	$\ln[C]$
<b>Central value</b>	<b>23.35</b>	<b>1.73</b>	<b>14.90</b>	<b>894.3</b>	<b>1185.5</b>	<b>22.67</b>	<b>189.12</b>
<b>Stat. error</b>	<b>0.75</b>	<b>0.29</b>	<b>0.55</b>	<b>3.2</b>	<b>16.6</b>	<b>0.18</b>	<b>4.91</b>
<b>Syst. error</b>	<b>1.23</b>	<b>0.41</b>	<b>0.80</b>	<b>5.4</b>	<b>35.3</b>	<b>0.55</b>	<b>11.09</b>
<b>Total error</b>	<b>1.44</b>	<b>0.50</b>	<b>0.97</b>	<b>6.3</b>	<b>35.5</b>	<b>0.58</b>	<b>12.13</b>
$\chi^2/\text{ndf}$	<b>1004.6/1073</b>			<b>1001.1/1074</b>		<b>998.3/1074</b>	

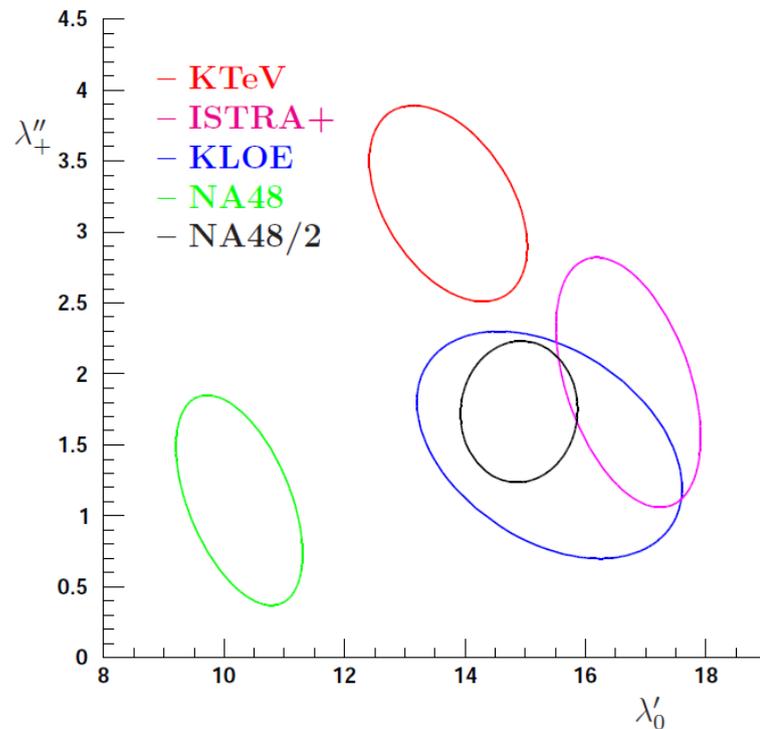
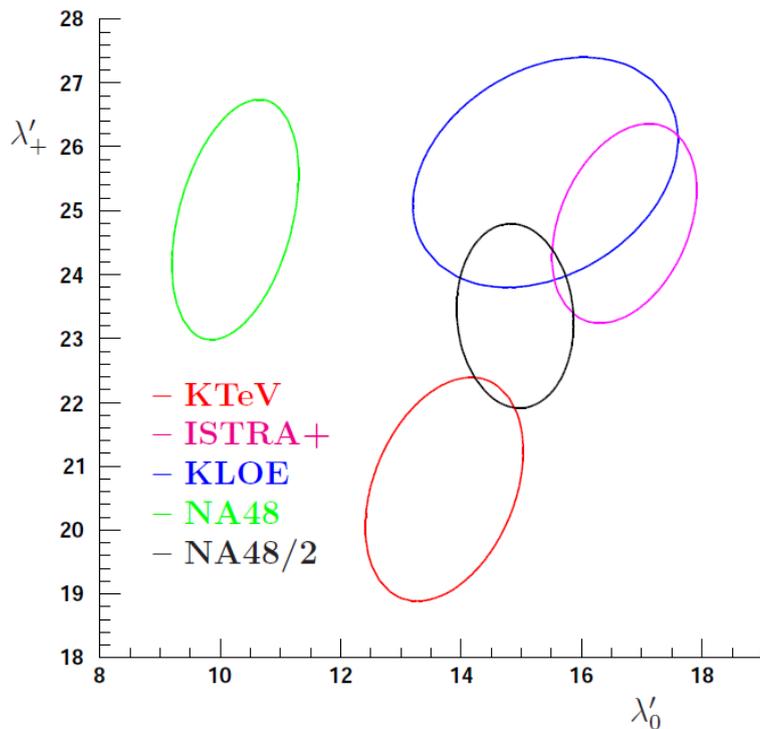
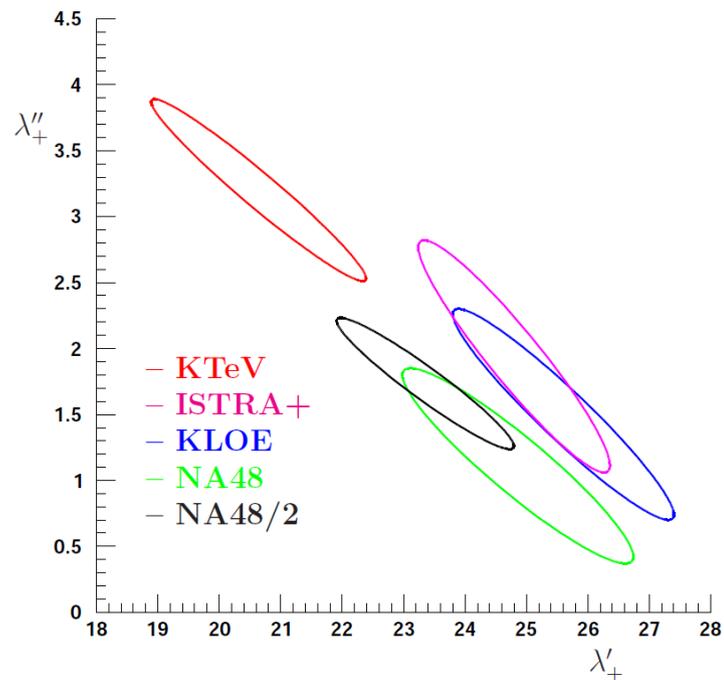
**Analysis has been performed:**

- for  $K_{e3}$  and  $K_{\mu3}$  separately
- for the combined  $K_{13}$  sample (joint fit)

Correlation coefficients				
	$\lambda''_+$	$\lambda'_0$	$M_S$	$\ln[C]$
$\lambda'_+$	-0.954	-0.076		
$\lambda''_+$		0.035		
$M_V$			-0.278	
$\Lambda_+$				-0.035

# Joint $K_{13}$ results

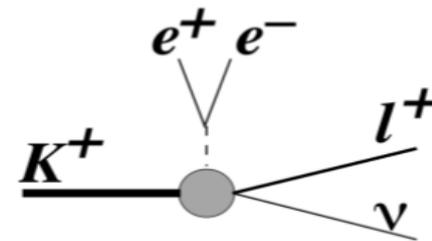
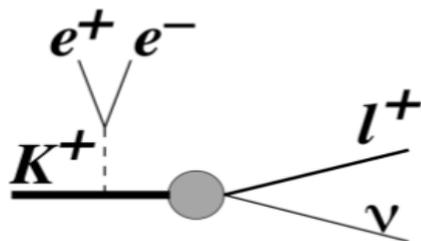
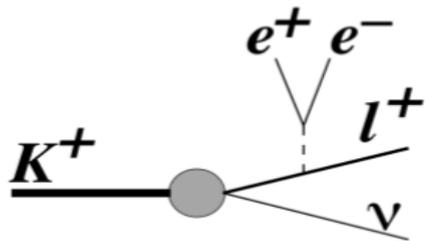
- comparison for quadratic fit:  $\lambda'_+$ ,  $\lambda''_+$ ,  $\lambda'_0$
- parameter correlation ( $1\sigma$  ellipses)
- black ellipse: NA48/2
- comparison to other experiments



# $K^\pm \rightarrow \mu^\pm \nu_\mu e^+ e^-$ decay

Inner Bremsstrahlung (**IB**)

Direct emission (**SD**)



and their interference (**INT**)

**Inner Bremsstrahlung (IB)**  
pure QED process

**Structure Dependent radiation (SD)**  
**CHPT contribution**

- Phase space dominated by tree level diagrams.
- Exactly calculated inside SM.
- Significant contribution for high  $M_{ee}$
- CHPT FF contribution increases the tree level Br by 70%

Test of ChPT at  $O(p^4)$ , Bijmans et. al. (1993) - Nucl.Phys., B396:81–118

	Tree Level	CHPT Form Factors
Full phase space	$2.49 \times 10^{-5}$	$2.49 \times 10^{-5}$
$z \geq (140 \text{ MeV} / M_K)^2$	$4.98 \times 10^{-8}$	$8.51 \times 10^{-8}$

$$z = (M_{ee} / M_K)^2$$

# MC generator

## Decay properties

- Lower part of the spectrum at  $z < 0.08$  ( $M_{ee} < 140 \text{ MeV}/c^2$ ) is fully dominated by Inner Bremsstrahlung (IB)
- $z$  distribution is most sensitive to ChPT FF contributions

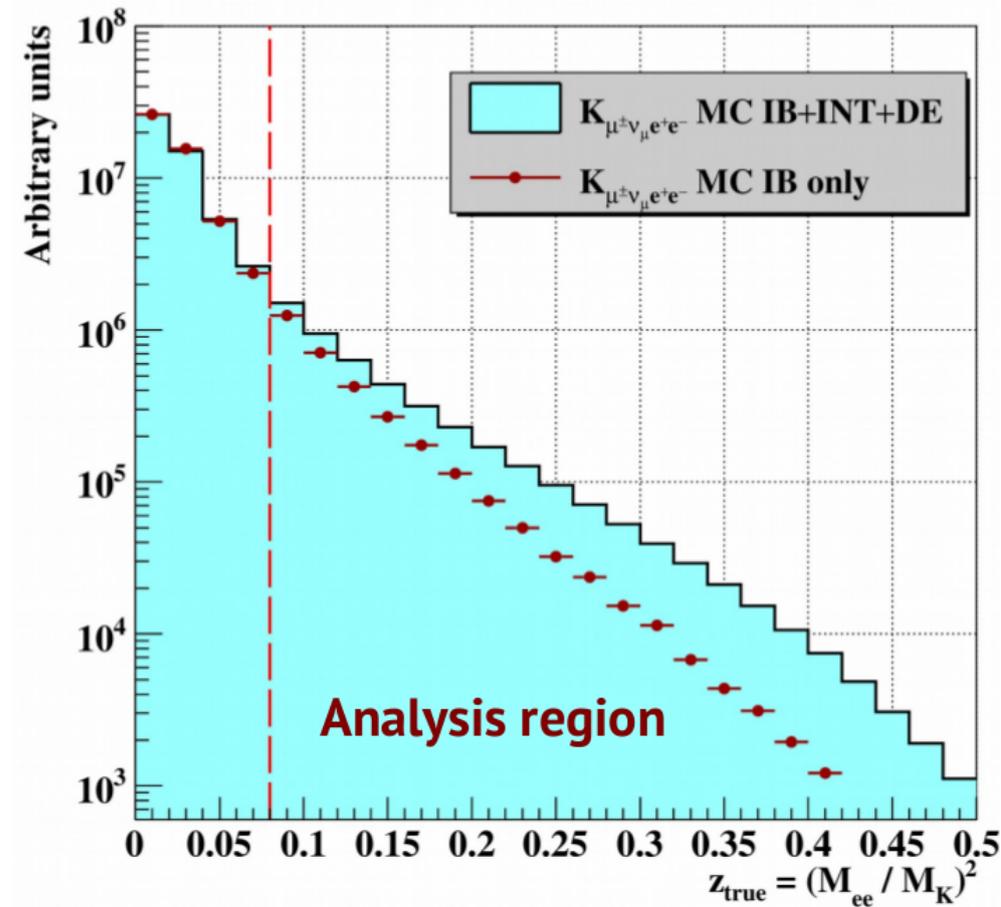
## Experimentally clean signature

High  $z$  region chosen is clean of decays containing  $\pi^0 \rightarrow e^+ e^- \gamma$  (Dalitz decay) in the final state ( $M_{\pi^0} = 135 \text{ MeV}/c^2$ )

## Background suppressed by $z$ cut:

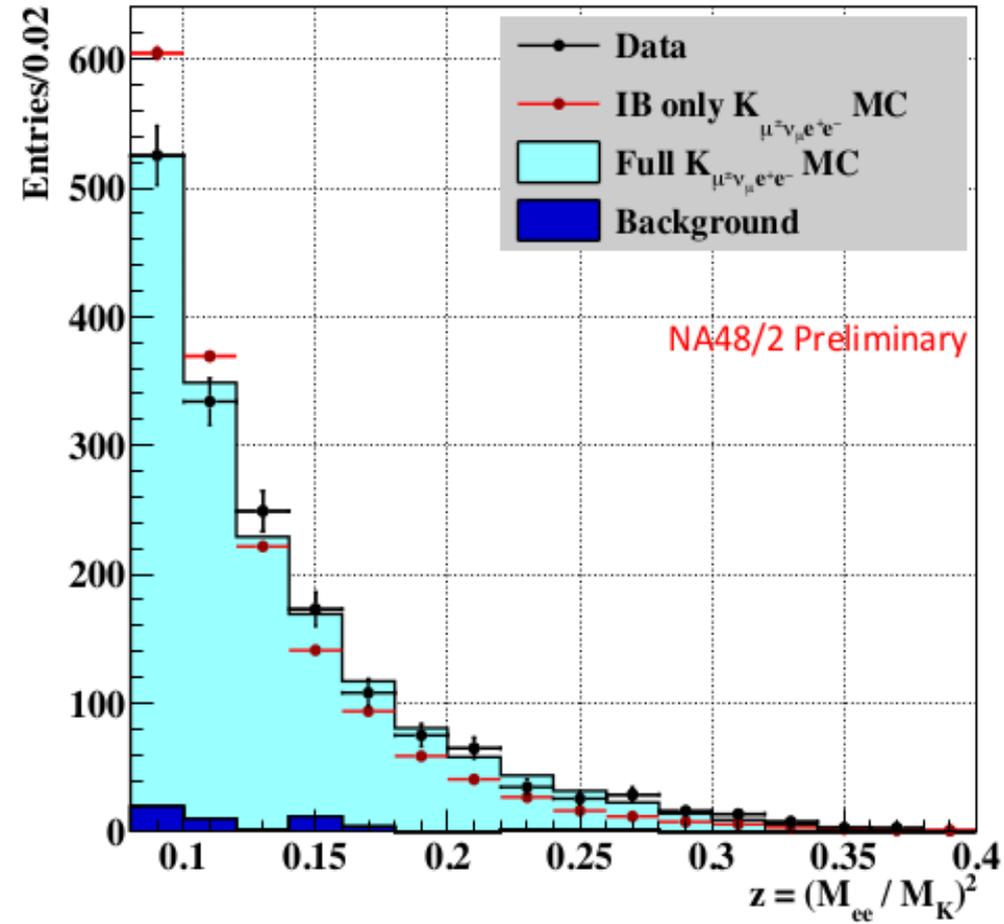
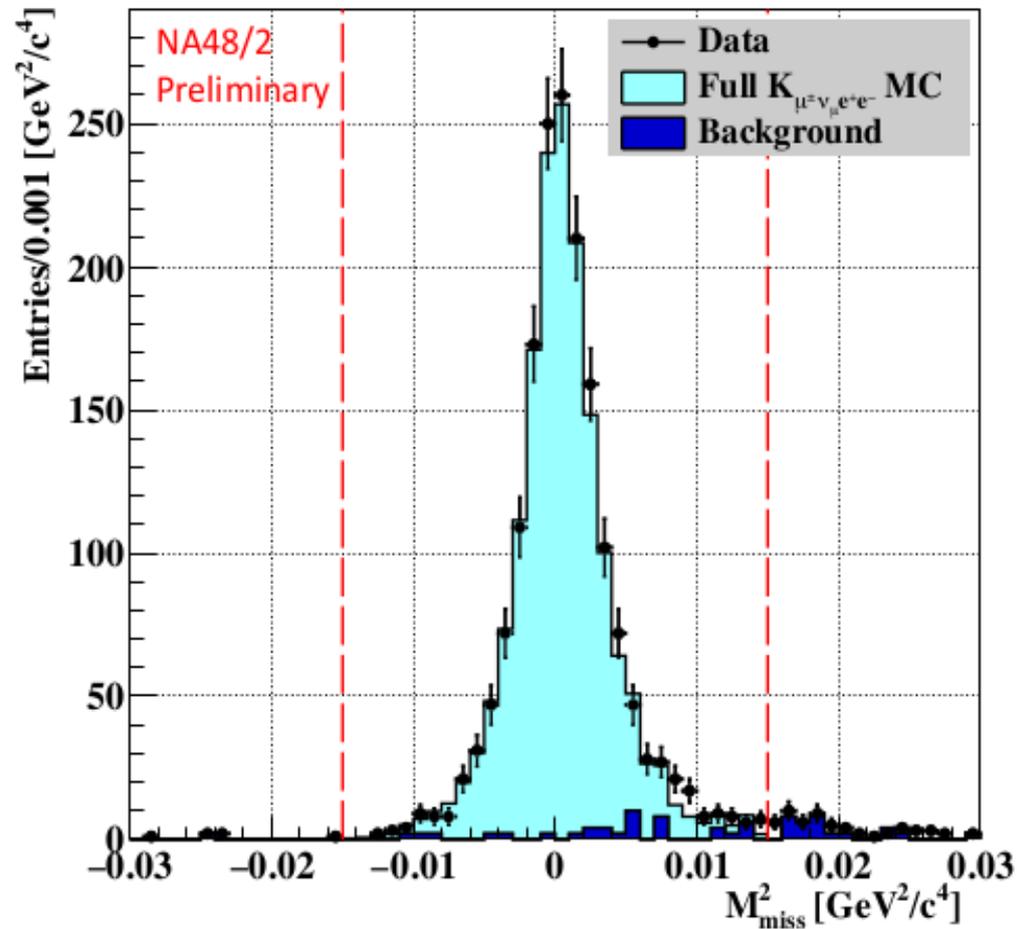
$$\text{Br}(K^\pm \rightarrow \pi^\pm \pi^0 (\pi^0 \rightarrow e^+ e^- \gamma)) = 2.4 \times 10^{-3}$$

$$\text{Br}(K^\pm \rightarrow \mu^\pm \nu_\mu \pi^0 (\pi^0 \rightarrow e^+ e^- \gamma)) = 3.9 \times 10^{-4}$$



Distributions from MC simulation at generator level – no selection criteria applied

# Analysis results



- **1663** data events observed
- Background contamination of **3 %**
- Signal acceptance  $\sim 12-14\%$  (depends on  $z$ )
- Pure IB not matching to the observed  $z$ -distribution shape
- Shape matches the MC with ChPT FF contribution

# Results

Kaon flux measured with the decay  $K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$  ( $K_{3\pi}$ ) same signal topology (three-track event)

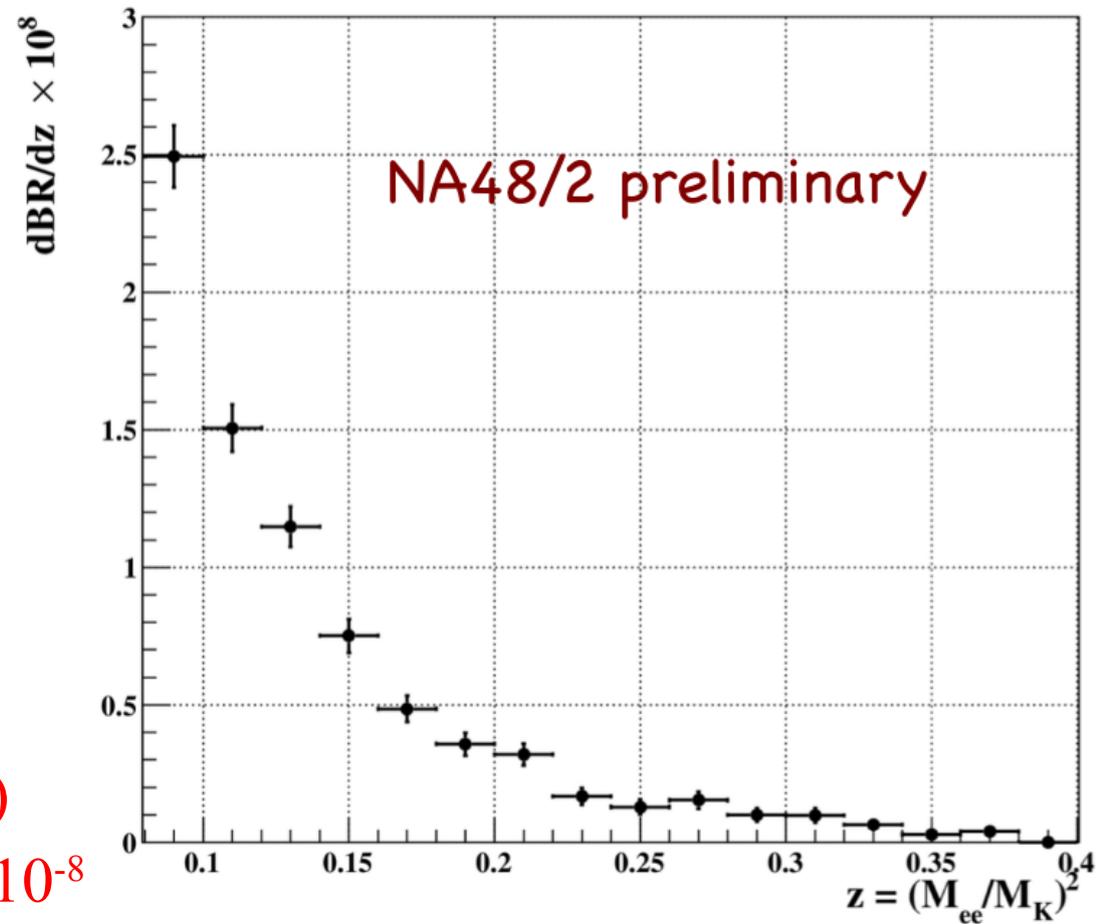
Br ( $z > 0.08$ ) obtained summing over all bins (taking properly into account for by-to-by acceptance variation)

The error is dominated by statistical uncertainty

$$\text{Br}(K^\pm \rightarrow \mu^\pm \nu_\mu e^+ e^- | M_{ee} \geq 140 \text{ MeV}/c^2) \\ = (7.84 \pm 0.21_{\text{stat}} \pm 0.08_{\text{syst}} \pm 0.06_{\text{ext}}) \times 10^{-8}$$

Previous measurements:

- $\text{Br}(M_{ee} \geq 140 \text{ MeV}/c^2) = (12.3 \pm 3.2) \times 10^{-8}$  (*Diamant-Berger et al. '76 Geneva-Saclay*)
- $\text{Br}(M_{ee} \geq 145 \text{ MeV}/c^2) = (7.06 \pm 0.31) \times 10^{-8}$  (*Poblaguev et al. '02 BNL E865*)



# Conclusion

- $K_{l3}$  form factors measurement is performed by NA48/2 on the basis of 2004 run selected  $4.28 \cdot 10^6$  ( $K_{e3}$ ) and  $2.91 \cdot 10^6$  ( $K_{\mu3}$ ) events.
- Result is competitive with the other ones in  $K_{\mu3}$  mode, and a smallest error in  $K_{e3}$  has been reached, that gives us also the most precise combined  $K_{l3}$  result.
- For the first time both  $K^+$  and  $K^-$   $K_{e3}$  decays were studied together.
- Model independent measurement of  $K^\pm \rightarrow \mu^\pm \nu_\mu e^+ e^-$  decay performed using NA48/2 data:  $\text{Br}(K^\pm \rightarrow \mu^\pm \nu_\mu e^+ e^- | M_{ee} \geq (140 \text{ MeV}/c^2)) = (7.84 \pm 0.23) \times 10^{-8}$
- Background contamination of **3.2%**
- Measurement is in agreement with predictions of Chiral Perturbation Theory

# Spares

# Events-weighting fit procedure

- Experimental Dalitz plot is corrected for the simulated background.
- For each fit iteration, the model Dalitz plot is filled in with an MC simulated reconstructed center-of-mass pion and lepton energies. Each event is weighted by

$$w = \rho_0(E_\pi^{\text{true}}, E_l^{\text{true}}, FF_{\text{fit}}) / \rho_0(E_\pi^{\text{true}}, E_l^{\text{true}}, FF_{\text{MC generator}}),$$

where  $\rho_0$  is the non-radiative Dalitz density formula.

- MINUIT package is searching for the  $FF_{\text{fit}}$  parameters minimizing the standard  $\chi^2$  value:

$$\chi^2 = \sum_{i,j} \frac{(D_{i,j} - MC_{i,j})^2}{(\delta D_{i,j})^2 + (\delta MC_{i,j})^2},$$

where  $i,j$  means the Dalitz plot cell indices,  $D_{i,j}$  is the background-corrected experimental data content of the cell,  $MC_{i,j}$  is the weighted MC bin content, and  $\delta D_{i,j}$ ,  $\delta MC_{i,j}$  are the corresponding statistical errors.

At least 20 data events per cell are required in the fit area, so  $\chi^2$  works well.

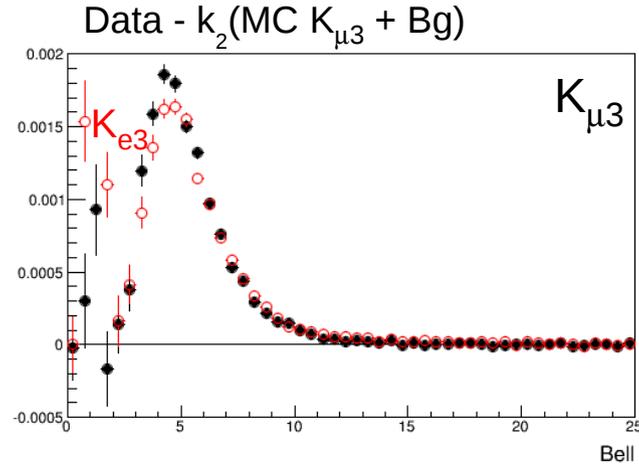
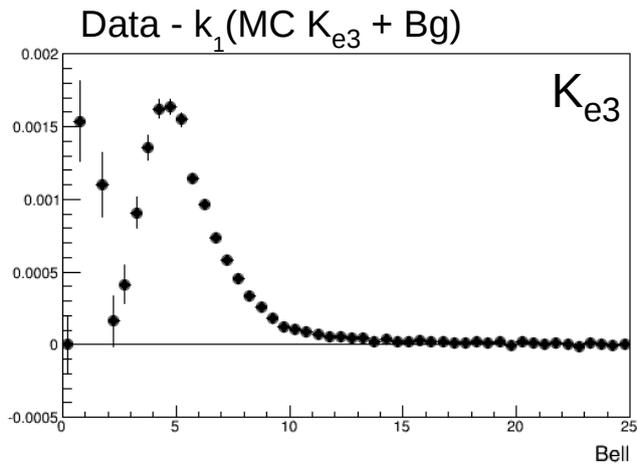
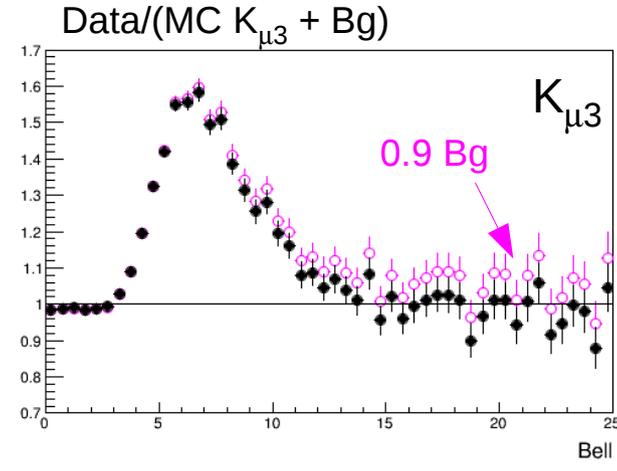
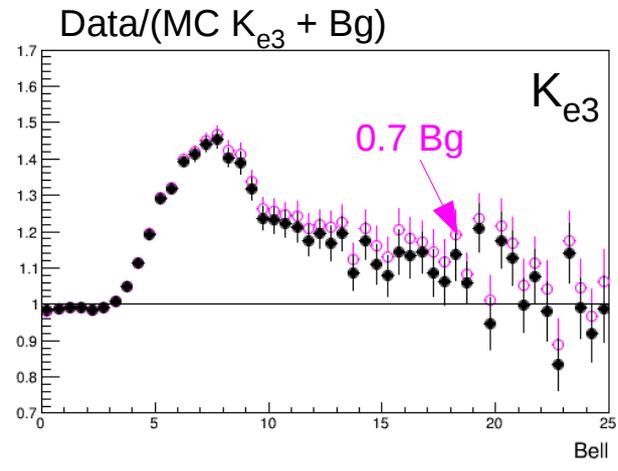
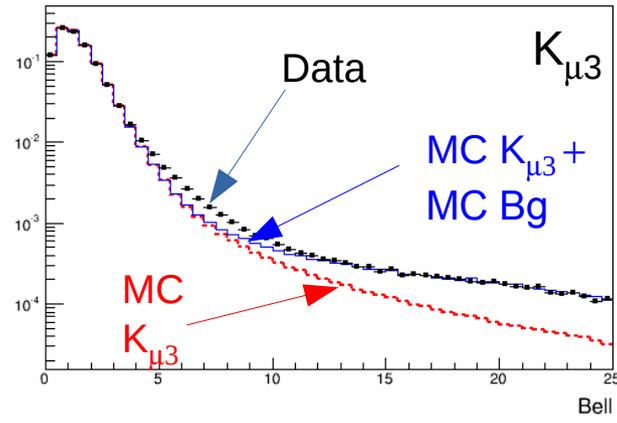
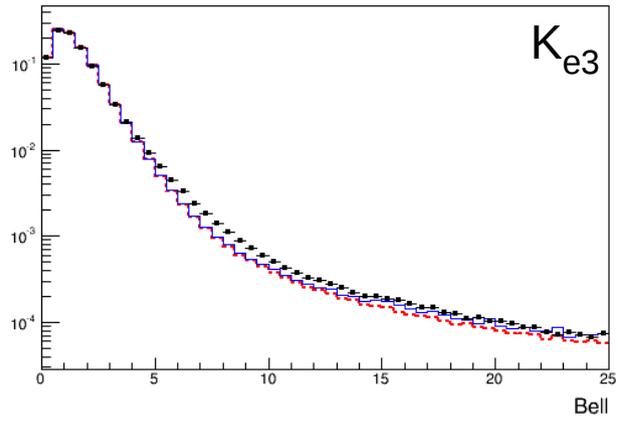
# $B_{ell}$ distributions in a wide area

~  $3\sigma$  range is relatively well simulated as well as the very far tail.

But the discrepancy near ~5-10 is not described by the known background.

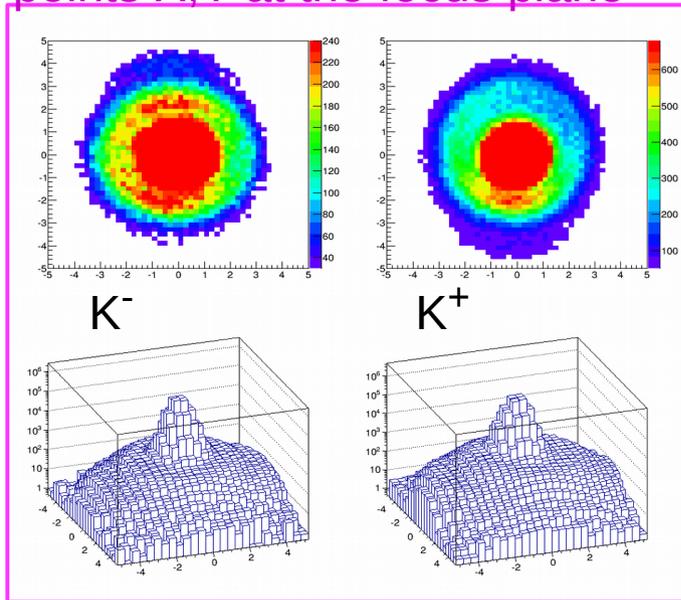
Sensitivity to the background variation at the very far tail (>20) is used to measure the Bg-related systematic uncertainty.

It looks like a small wide component of the beam, that becomes negligible for  $B_{ell} > 11$ . For wider cuts final results are stable.

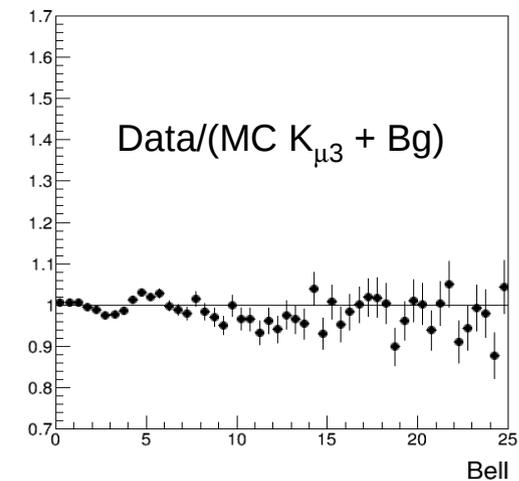
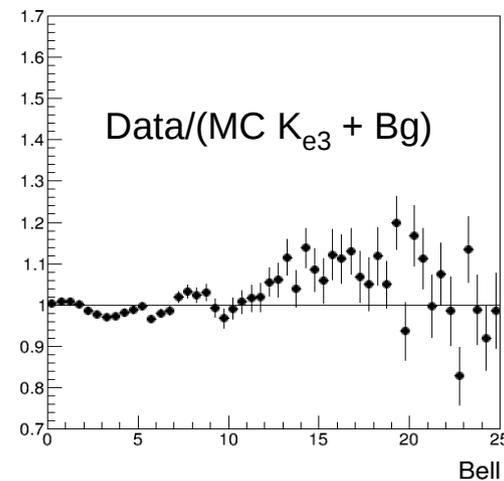
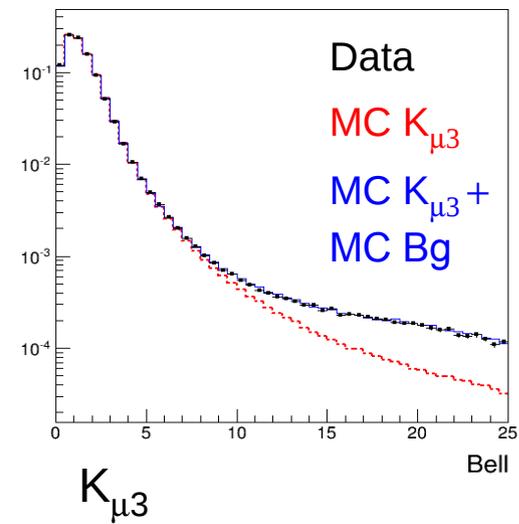
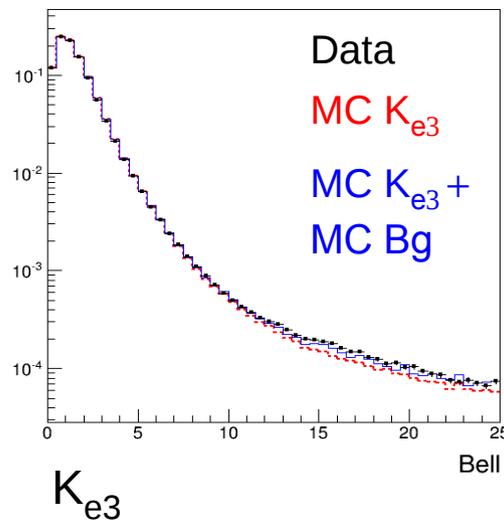


# $B_{\text{ell}}$ distributions with the modified MC beam (systematics)

Data  $3\pi^\pm$  decay: Kaon impact points X,Y at the focus plane



Focused scattering simulated in MC: 3% of beam kaons are additionally scattered into a series of rings with a different radius at focus  $> 2.2$  cm.



This MC simplified modification is not used for the FF central values extraction (only for systematics estimate). So we need a wide radius cut to avoid the acceptance distortion, and also we need a vertex reconstruction, that is not too sensitive to the transverse general shift of the decay — it is a Neutral vertex rather than CDA.

# Selection

Min bias **trigger**: 1 track and  $E_{\text{LKr}} > 10 \text{ GeV}$  ((sevt->trigWord >> 11) & 1)

N of good clusters > 1 :

- LKr standard nonlinearity correction for Data clusters (user\_lkr\_calcor\_SC)
- LKr small final nonlinearity correction for MC clusters, extracted from  $\pi^+\pi^0\pi^0$  (see April 2007 talk of Di Lella and Madigozhin)
- LKr scale corrections from  $K_{e3}$  E/P (different for Data and MC, sub-permill precision)
- Cluster status  $\leq 4$
- Cluster energy  $\geq 3 \text{ GeV}$
- Distance to dead cell  $\geq 2 \text{ cm}$
- Radius at LKr  $\geq 15 \text{ cm}$
- In standard LKr acceptance
- Distance to any in-time (within 10 ns) track impact point at LKr  $\geq 15 \text{ cm}$
- Distance to any another in-time (within 5 ns) cluster  $\geq 10 \text{ cm}$

In Monte Carlo everything is in-time

N of good tracks > 0 :

- $P_e \geq 5 \text{ GeV}$ ,  $P_\mu \geq 10 \text{ GeV}$  (muon case cut applied after identification)
- Track momenta  $\alpha, \beta$  corrections both for data and MC
- If there is the associated LKr cluster, its cluster status  $\leq 4$
- Track quality  $\geq 0.6$
- Distance to dead cell  $\geq 2 \text{ cm}$
- Radius at every DCH(1,2,3,4)  $\geq 15 \text{ cm}$
- **Reject DCH tracks with  $0 \text{ cm} < X(\text{DCH4}) < 6 \text{ cm}$  &&  $Y(\text{DCH4}) > 0$**  (inefficient band)
- **$K_{\mu 3}$  DCH track: for all 3 MUV planes  $R_{\text{MUV}} > 30 \text{ cm}$ ,  $|X_{\text{MUV}}, Y_{\text{MUV}}| < 115 \text{ cm}$ .**
- LKr impact point is in LKr acceptance

## $\pi^0$ selection

- Check all the pairs of good in-time (within 5 ns) clusters
- Calculate  $\pi^0$  time  $t_\pi$  (average of two  $\gamma$  ones) and reject the combination, if there is a good extra cluster in 5 nanoseconds around  $t_\pi$  (to suppress  $\pi^+\pi^0\pi^0$  and showers).
- Make the projectivity correction for the experimental data and MC.
- Reject the pair, if the distance between the clusters is  $< 20$  cm
- $E_{\pi^0} > 15$  GeV (for trigger efficiency: trigger E LKr  $> 10$  GeV).
- Calculate  $Z_n$  from two  $\gamma$ , assuming  $\pi^0$  mass
- $-1600$  cm  $< Z < 9000$  cm
- DCH flange gamma cut for the both  $\gamma$

## Track selection and identification

For each found good  $\pi^0$  check all the good tracks:

- In-time with  $\pi^0$  (within 10 ns)
- There is no extra good track within 8 ns around the track time (against showers).
- If  $2.0 > E/P > 0.9$ , it is an electron ( $K_{e3}$ )
- If  $E/P < 0.9$  and there is a muon associated, it is a muon ( $K_{\mu3}$ )

### First iteration decay vertex position:

- $Z_{\text{decay}} = Z(\pi^0)$
- $X_{\text{decay}}, Y_{\text{decay}} =$  impact point of reconstructed charged track on the transversal plane, defined by  $Z_{\text{decay}}$

## Blue field correction:

With the «first iteration vertex», we implement the Blue field correction, obtain corrected track slopes and recalculate vertex X,Y again.

## Beam position correction:

We know the position of beam axis in space (it is always displaced slightly from the nominal Z axis). For the CMC tuning, these positions were measured for each run from  $3\pi^\pm$  data many years ago.

We use these data to calculate all the relevant values with respect to the current run beam axis rather than with respect to nominal Z arrow. First of all, we calculate the vertex (x,y) with respect to the beam center  $X_b, Y_b$  at this  $Z_n$ .

## Vertex position cut (very wide):

$$\text{SQRT}( ((X-a_X(Z))/\sigma_X(Z))^2 + ((Y-a_Y(Z))/\sigma_Y(Z))^2 ) < \mathbf{11.0}$$

Here  $a_X, a_Y, \sigma_X$  and  $\sigma_Y$  are the functions of Z and represent the average position and width of the beam with respect to standard ( $3\pi^\pm$ ) beam position.

They are obtained by Gaussian fit ( $\pm 1.2$  cm around maximum) for Z slices, separately for MC and Data, for X and Y and for positive and negative beams. Then these points are parametrised as functions of Z by polinomes of 5-th degree of Z.

## Final stage of the selection

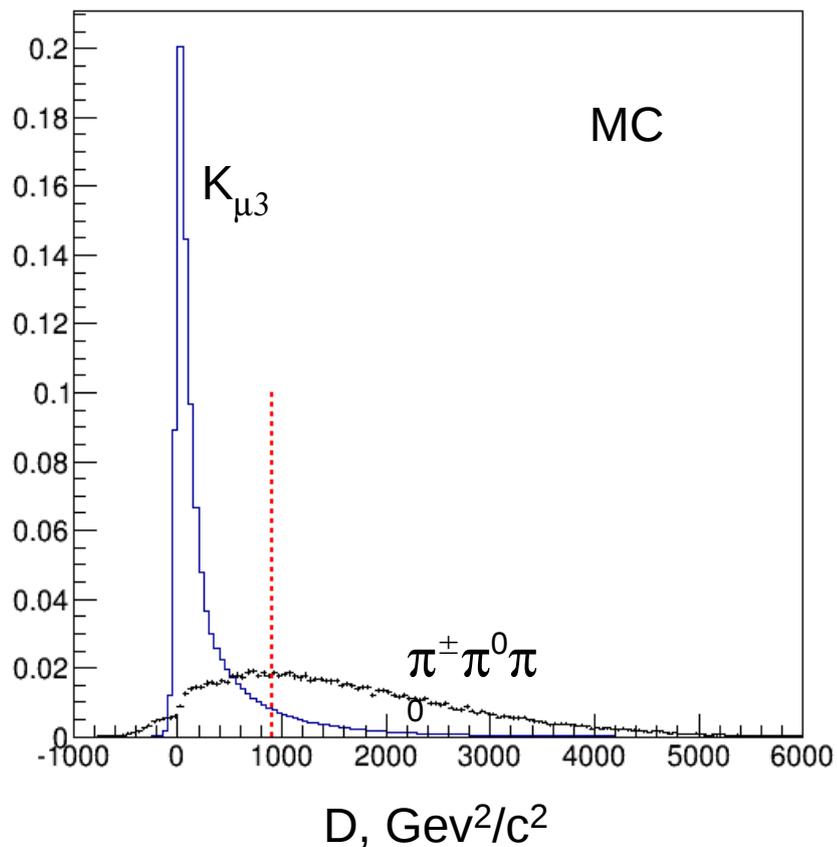
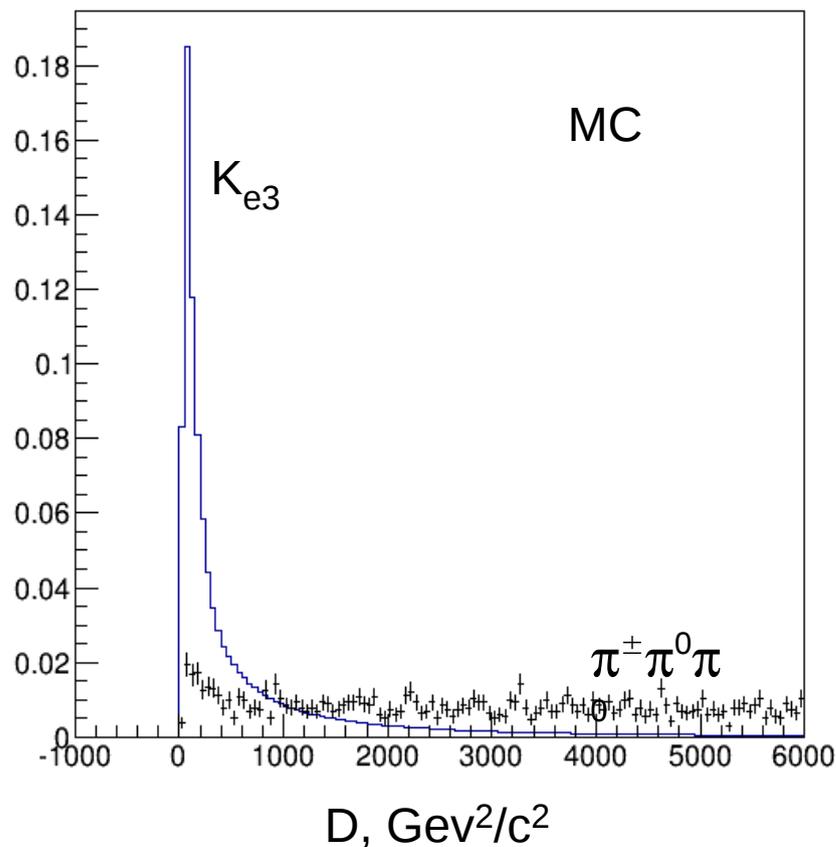
- $P_L(\mathbf{v})^2 > 0.0014 \text{ GeV}^2$  for  $K_{e3}$  only
- Quadratic equation for  $P_K$  is solved, if no solutions, the combination is taken with zero discriminant. With the above  $P_L(\mathbf{v})^2$  requirement, such a cases are rare for  $K_{e3}$ .
- Average beam momentum  $P_b$  measured from  $3\pi^\pm$  decays for each run is used to choose the best  $P_K$  solution (closest to  $P_b$  from two ones).
- $-7.5 \text{ GeV}/c < (P_K - P_b) < 7.5 \text{ GeV}/c$
  
- For  $K_{\mu3}$ , the cut against  $K^\pm \rightarrow \pi^\pm\pi^0$  with  $\pi^\pm \rightarrow \mu^\pm\bar{\nu}$ :  
 $m(\pi^+\pi^0) < 0.47 \text{ GeV}$  and  $m(\pi^+\pi^0) < (0.6 - P_t(\pi^0)) \text{ GeV}$ ;
- For  $K_{\mu3}$ , one more cut against  $K^\pm \rightarrow \pi^\pm\pi^0$  with  $\pi^\pm \rightarrow \mu^\pm\bar{\nu}$ :  
 $m(\mu^\pm\bar{\nu}) > 0.18 \text{ GeV}$ ;
- For  $K_{\mu3}$  only: a cut against  $\pi^\pm\pi^0\pi^0$ :  $(P_2 - P_1) < 60 \text{ GeV}$   
 $\Leftrightarrow$  in terms of  $P_K$  equation discriminant squared  $\mathbf{d} = ((P_2 - P_1)/2)^2$  :  $\mathbf{d} < 900 \text{ GeV}^2$ ;
- For  $K_{e3}$ , the  $\mathbf{v}$  transversal momentum with respect to beam axis must be  
 $P_t \geq 0.03 \text{ GeV}$  : a cut against  $K^\pm \rightarrow \pi^\pm\pi^0$  with  $\pi^\pm$  misidentified as  $e$  (when  $E/P > 0.9$ ).

In every event, separately for  $K_{e3}$  and  $K_{\mu3}$ , the combination with the minimum  $\Delta P = |P_K - P_b|$  is chosen as the best candidate.

# A complex nature of $(P_L^v)^2$ - dependent $K_{e3}$ systematic effect

- 1) Mismeasurement of decay transversal coordinates happens (in the neutral vertex case it also involves the LKr clusters mismeasurement).
- 2) As a consequence, a small mismeasurement of transversal  $(P_t^v)^2$
- 3) As a consequence, a small mismeasurement of  $(P_L^v)^2 = (E^v)^2 - (P_t^v)^2$
- 4) As a consequence, a small mismeasurement of  $D = ((P_1^K - P_2^K)/2)^2$
- 5) When D itself is small or negative, even small D mismeasurement is relatively not small.
- 6) Distorted D changes in a different way the probability of the «best»  $P^K$  choice (we take the closest to average true  $\langle P^K \rangle$ ) for different vertex definitions and for MC and Data, depending on true  $P^K$  spectrum. The wrong choice may also depend on the correlations between true  $P^K$  and the transversal decay coordinates.
- 7) Mistake in  $P^K$  choice from two options may be not small, it is of the order of spectrum width (few GeV), and it leads to relatively big mismeasurement of Dalits plot variables, especially for  $E_\pi^*$ .
  - Correct simulation of this effect seems to be difficult, we have only a simple beam correction for the scattered component.
  - But we know, where the problem is concentrated (small  $(P_L^v)^2$ ), so we just cut the problematic region.

For  $K_{\mu 3}$  only: a cut against  $\pi^{\pm}\pi^0\pi^0$ :  $(P_2-P_1)<60 \text{ GeV} \Leftrightarrow \mathbf{D} = ((P_2-P_1)/2)^2 < 900 \text{ GeV}^2$

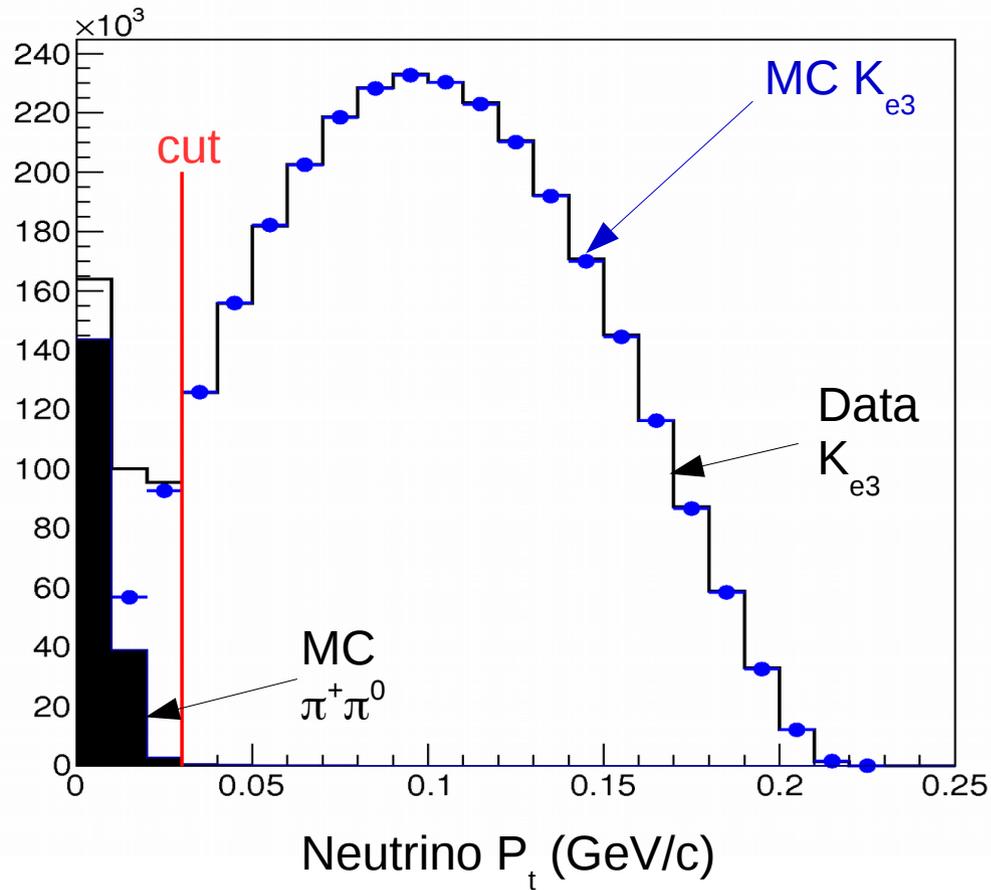


Equally normalized distributions of signal and background events are shown in order to check that the cut is doing its work in both cases.

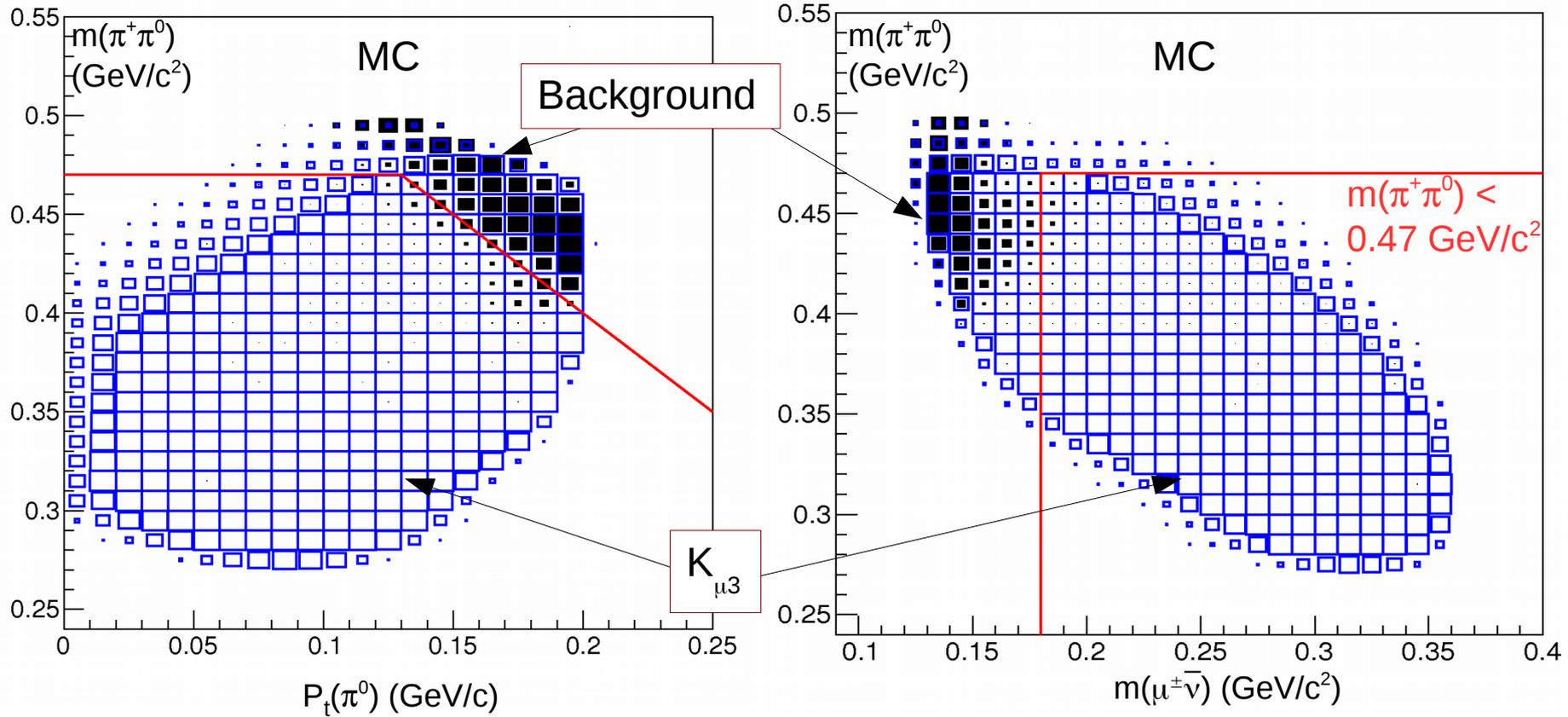
But the absolute  $K_{e3}$  background level is much smaller than for  $K_{\mu 3}$ .  
So we don't use this cut for  $K_{e3}$  and save some experimental statistics.

**For  $K_{e3}$** , the  $\nu$  transversal momentum **with respect to beam axis** must be  $P_t \geq 0.03$  GeV.

It is a cut against  $K^\pm \rightarrow \pi^\pm \pi^0$  with  $\pi^\pm$  misidentified as  $e$  (when  $E/P > 0.9$ ).



Cuts for  $K_{\mu 3}$  against the background from  $K^{\pm} \rightarrow \pi^{\pm}\pi^0$  with  $\pi^{\pm} \rightarrow \mu^{\pm}\bar{\nu}$



$m(\pi^+\pi^0) < 0.47 \text{ GeV}/c^2$  and  
 $m(\pi^+\pi^0) < (0.6 - P_t(\pi^0)) \text{ GeV}/c^2$

$m(\mu^{\pm}\bar{\nu}) > 0.18 \text{ GeV}/c^2$   
 (to exclude  $\pi^+$  mass region)

$K_{e3}$  requirement:  $P_L(\nu)^2 > 0.0014 \text{ GeV}^2$

$(P_L \nu)^2$  normalized distributions

(Data and MC with background)

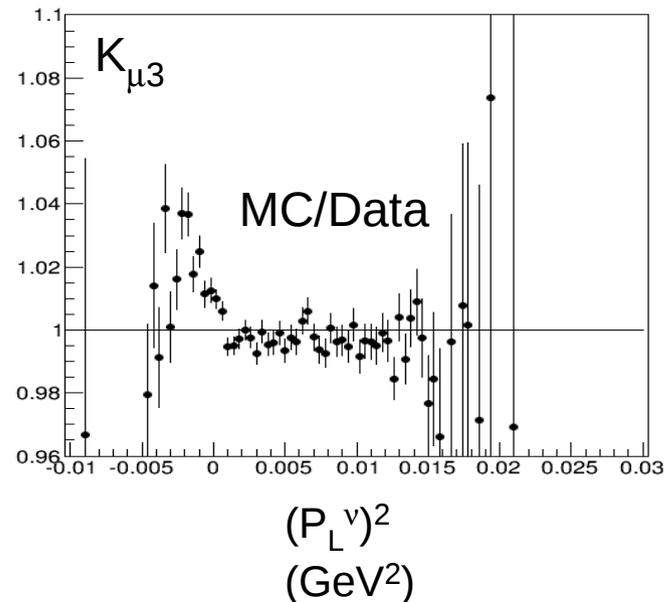
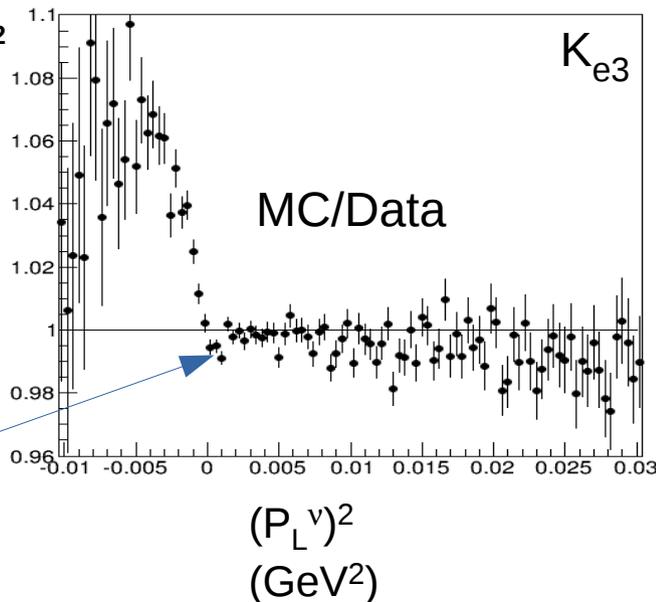
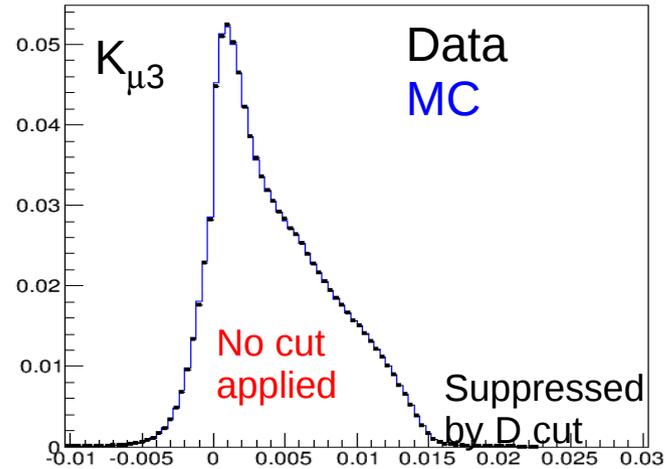
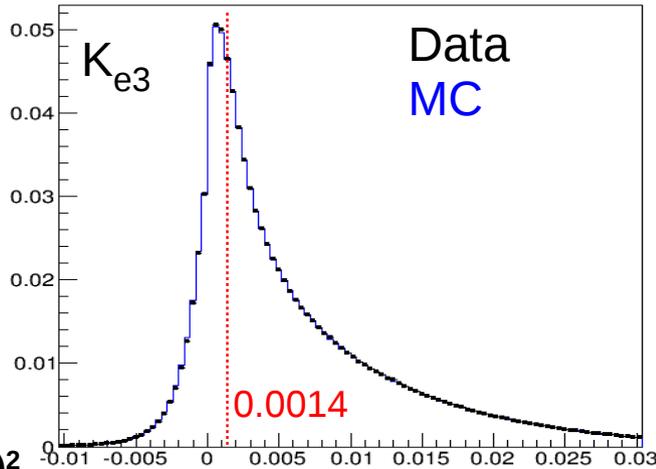
$P_L(\nu)^2 = (E\nu)^2 - (P_t \nu)^2$

negative tail is difficult to simulate precisely, as it depends on the beam transverse shape (scattering) via  $P_t \nu$ .

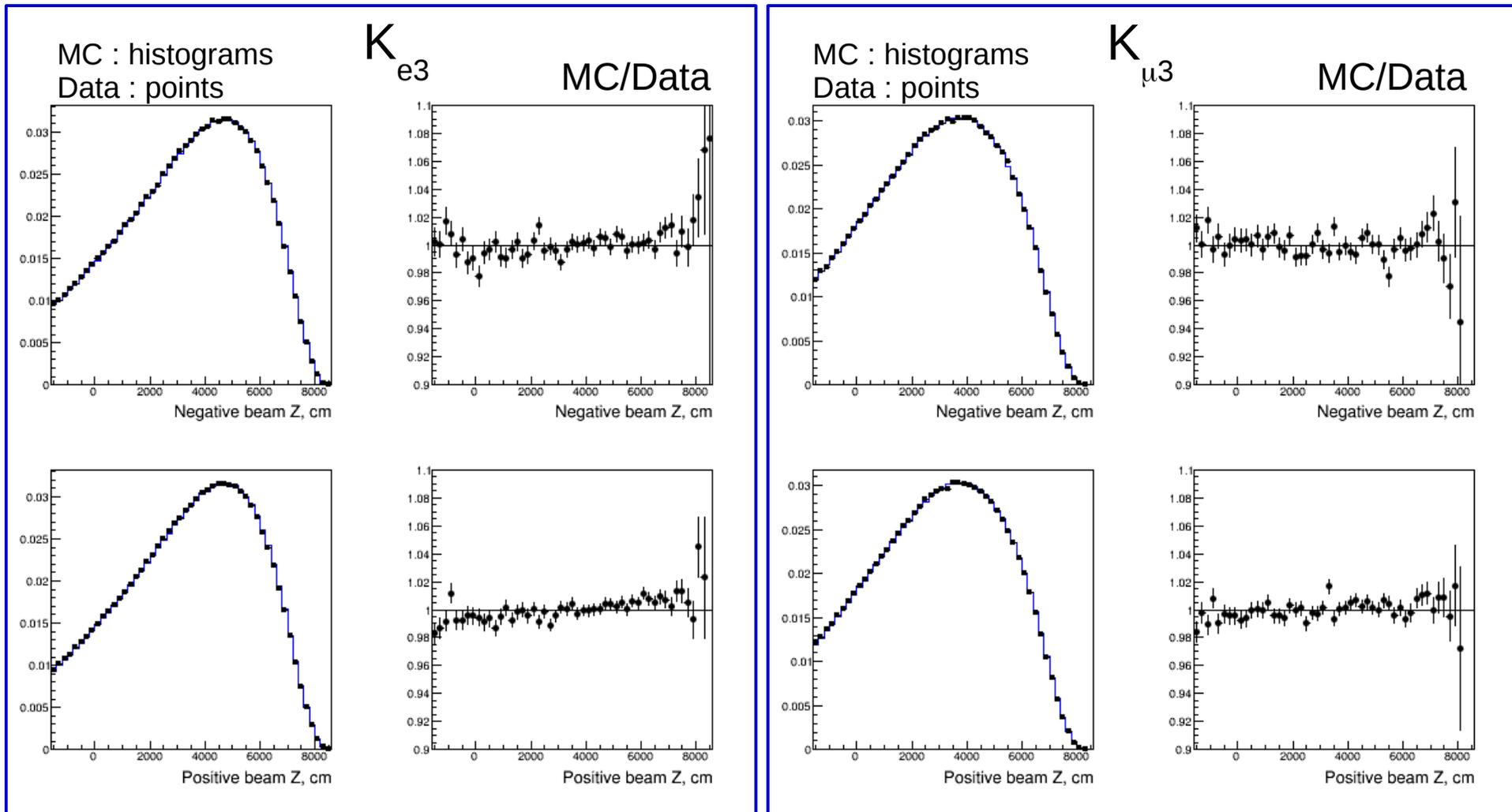
For  $K_{e3}$  only the region of small and negative  $P_L(\nu)^2$

induces a systematic FF uncertainty ( $P_L(\nu)^2$  dependence), that is avoided by this cut.

Peak sharpness residual mismatch is used to check  $(P_L \nu)^2$  resolution systematics related to this cut.



# Neutral Z normalized distributions comparison



Residual discrepancy ( $\sim 1\%$ ) is taken into account as a contribution to systematic uncertainty = variation of final result due to the change of geometrical acceptance by the factor of 1.002, that corrects the  $K_{e3}$  differences.

# Experimental systematics

<i>Contribution</i>	<i>Approach to the uncertainty calculation</i>
Beam scattering	Effect of the additional beam fraction imitating the beam scattering
LKr nonlinearity	Effect of the final nonlinearity correction
LKr scale	Effect of the LKr scale shift allowed by Data/MC electron E/P peak
Background	Effect of the background contribution change within $B_{ell}$ distribution tails Data/MC agreement. It absorbs the PDG branching fraction errors
Trigger efficiency	Effect of the measured quadratically smoothed trigger efficiency (~100%)
Accidentals	Effect of the time windows doubling for clusters and tracks acceptance
Acceptance	Effect of small transversal detector cuts increasing for MC, that (over) corrects Z distributions
$P_K$ average	Effect of beam $\langle P_K \rangle$ possible mismeasurement
$P_K$ spectra	Effect of the MC true $P_K$ spectra variation within the agreement of measured MC/Data $P_K$ spectra
Neutrino P cut	Effect of the artificial $(P_L^\nu)^2$ resolution variation within $(P_L^\nu)^2$ peak sharpness MC/Data agreement
Binning	Effect of the bins doubling for the both Dalitz plot dimensions
Resolution	Difference between the main events weighting approach and the acceptance correction technique that is more sensitive to resolution

# External contributions to systematic uncertainty.

<i>Contribution</i>	<i>Approach to the uncertainty calculation</i>
Radiative correction precision	Effect of the theoretical uncertainty in the radiative Dalitz plot <i>corrections</i> in terms of one-dimensional slopes.
Parameterization for Dispersive fits	100 fits with the independently sampled 5 external parameters known with a given uncertainty.

The full analysis is performed and form factor parameters are extracted:

- For  $K_{e3}$
- For  $K_{\mu3}$
- For the combined  $K_{l3}$  result: A joint fits are done by minimizing of the sum  $\chi^2(K_{e3}) + \chi^2(K_{\mu3})$  with a common set of fit parameters. This is repeated also for each of the systematic uncertainty studies.

# LKr Nonlinearity

Use 2004  $\pi^0\pi^0\pi^{+-}$  data  
(done for cusp analysis):

$22 < E(\pi^0_1) < 26$  GeV  
 $E(\pi^0_2) < E(\pi^0_1)$   
 $E(\gamma)^{\max} < 0.55 E(\pi^0)$  for both  $\pi^0$

Final correction for MC:

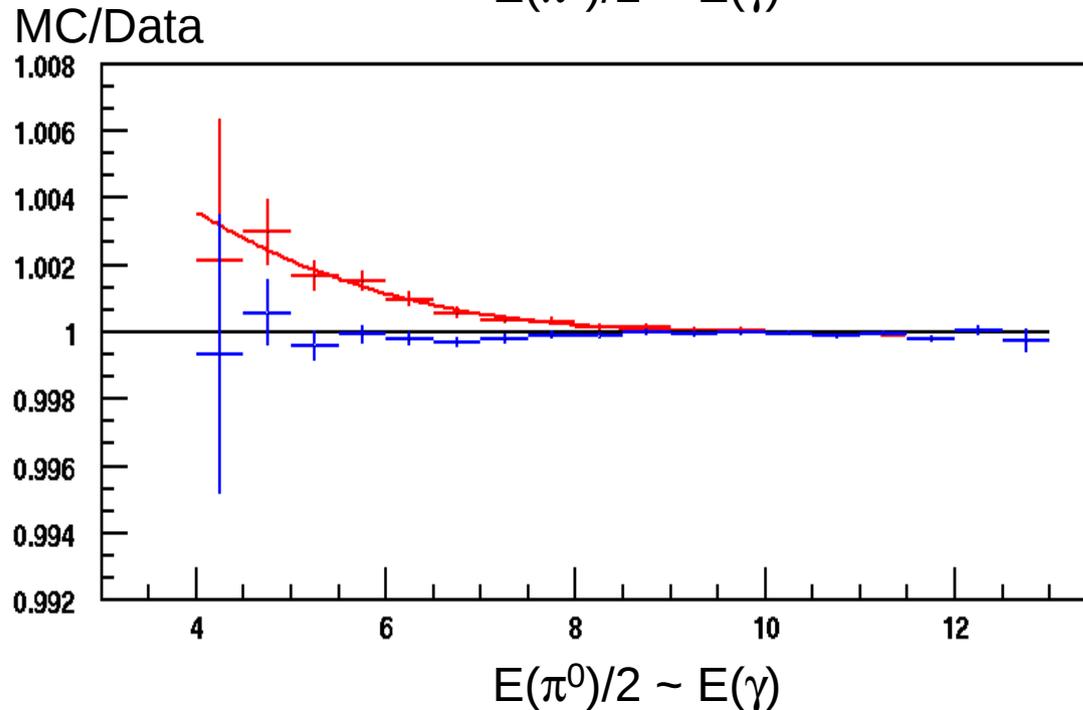
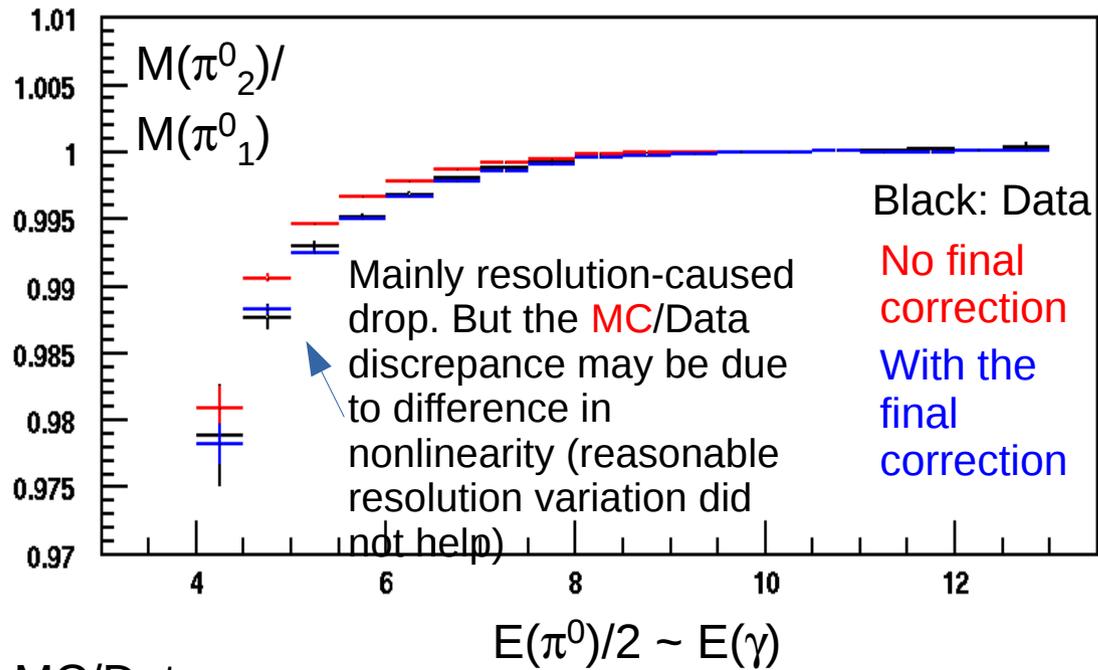
- $P_0$  1.0170
- $P_1$  -0.48025E-02
- $P_2$  0.45538E-03
- $P_3$  -0.14474E-04

E: cluster energy in GeV

$$f = P_0 + P_1 E + P_2 E^2 + P_3 E^3$$

if  $(f > 1)$   $E = E/f$

100% of the final correction effect is taken as the nonlinearity-related uncertainty.



## Blue field correction:

With the «first iteration vertex», we implement the Blue field correction, obtain corrected track slopes and recalculate vertex X,Y again.

## Beam position correction:

We know the position of beam axis in space (it is always displaced slightly from the nominal Z axis). For the CMC tuning, these positions were measured for each run from  $3\pi^\pm$  data many years ago.

We use these data to calculate all the relevant values with respect to the **current run beam axis** rather than with respect to nominal Z arrow. First of all, we calculate the vertex (x,y) with respect to the beam center  $X_b, Y_b$  at this  $Z_n$ .

## Vertex position cut (very wide):

$$\text{SQRT}( ((X-a_X(Z))/\sigma_X(Z))^2 + ((Y-a_Y(Z))/\sigma_Y(Z))^2 ) < \mathbf{11.0}$$

Here  $a_X, a_Y, \sigma_X$  and  $\sigma_Y$  are the functions of Z and represent the average position and width of the beam with respect to standard ( $3\pi^\pm$ ) beam position.

They are obtained by Gaussian fit ( $\pm 1.2$  cm around maximum) for Z slices, separately for MC and Data, for X and Y and for positive and negative beams. Then these points are parametrised as functions of Z by polinomes of 5-th degree of Z.

# Results for $K_{e3}$ and $K_{\mu3}$

$\chi^2/\text{NDF}(K_{e3})$ :  
609.4/687

$\chi^2/\text{NDF}(K_{\mu3})$ :  
391.2/384

Quadratic  
parameterization  
(in units of  $10^{-3}$ )

	$\lambda'_+(K_{e3})$	$\lambda''_+(K_{e3})$	$\lambda'_+(K_{\mu3})$	$\lambda''_+(K_{\mu3})$	$\lambda_0(K_{\mu3})$
<b>Central values</b>	23.52	1.60	23.32	2.14	14.33
<b>Stat. error</b>	0.78	0.30	3.08	1.06	1.11
Beam scattering	0.90	0.32	0.25	0.12	0.58
LKr nonlinearity	0.28	0.01	2.85	0.73	0.93
LKr scale	0.68	0.12	0.83	0.18	0.14
Background	0.07	0.04	0.26	0.05	0.04
Trigger	0.27	0.13	0.67	0.23	0.12
Accidentals	0.24	0.08	0.01	0.00	0.01
Acceptance	0.28	0.08	0.85	0.23	0.25
Pk average	0.06	0.01	0.20	0.07	0.32
Pk spectra	0.00	0.00	0.12	0.04	0.00
Neutrino P cut	0.18	0.04	0.00	0.00	0.00
Binning	0.05	0.00	0.11	0.05	0.15
Resolution	0.01	0.02	1.44	0.46	0.39
Radiative	0.20	0.01	0.15	0.03	0.06
<b>Syst. error</b>	1.29	0.39	3.50	0.96	1.25
<b>Total error</b>	1.51	0.49	4.67	1.43	1.67

Correlation  
-0.927

Correlation

	$\lambda''_+(K_{\mu3})$	$\lambda_0(K_{\mu3})$
$\lambda'_+(K_{\mu3})$	-0.969	0.851
$\lambda''_+(K_{\mu3})$		-0.810

Pole parameterization (in units of  $10^{-3}$ )

Dispersion parameterization (in units of  $10^{-3}$ )

$\chi^2/\text{NDF}(K_{e3})$ :  
609.3/688

$\chi^2/\text{NDF}(K_{\mu3})$ :  
388.0/385

$\chi^2/\text{NDF}(K_{e3})$ :  
609.1/688

$\chi^2/\text{NDF}(K_{\mu3})$ :  
385.8/385

	$m_V(K_{e3})$	$m_V(K_{\mu3})$	$m_S(K_{\mu3})$
<b>Central values</b>	896.8	879.1	1196.4
<b>Stat. error</b>	3.4	8.1	18.1
Beam scattering	1.4	7.6	22.6
LKr nonlinearity	3.5	9.6	6.2
LKr scale	5.3	4.1	2.2
Background	0.4	1.5	0.7
Trigger	0.8	0.1	12.7
Accidentals	0.5	0.0	0.3
Acceptance	1.3	2.4	1.0
Pk average	0.3	0.2	9.0
Pk spectra	0.1	0.0	1.6
Neutrino P cut	1.2	0.0	0.0
Binning	0.7	0.5	4.5
Resolution	0.6	2.2	1.0
Radiative	3.2	0.8	1.6
<b>Syst. error</b>	7.6	13.5	28.8
<b>Total error</b>	8.3	15.7	34.0

	$\Lambda_+(K_{e3})$	$\Lambda_+(K_{\mu3})$	$\ln[C](K_{\mu3})$
<b>Central values</b>	22.54	23.55	186.68
<b>Stat. error</b>	0.20	0.50	5.12
Beam scattering	0.09	0.48	7.05
LKr nonlinearity	0.20	0.60	2.08
LKr scale	0.31	0.26	0.50
Background	0.02	0.10	0.15
Trigger	0.04	0.01	3.62
Accidentals	0.03	0.00	0.09
Acceptance	0.08	0.16	0.35
Pk average	0.02	0.01	2.62
Pk spectra	0.00	0.00	0.46
Neutrino P cut	0.07	0.00	0.00
Binning	0.04	0.03	1.24
Resolution	0.03	0.10	0.50
Radiative	0.18	0.05	0.49
Parameterization	0.44	0.49	2.95
<b>Syst. error</b>	0.62	0.97	9.23
<b>Total error</b>	0.65	1.10	10.55

2 - 5 October, 2017

Correlation  
ICPPA 2017, Moscow, Russia  
0.320

Correlation  
0.408

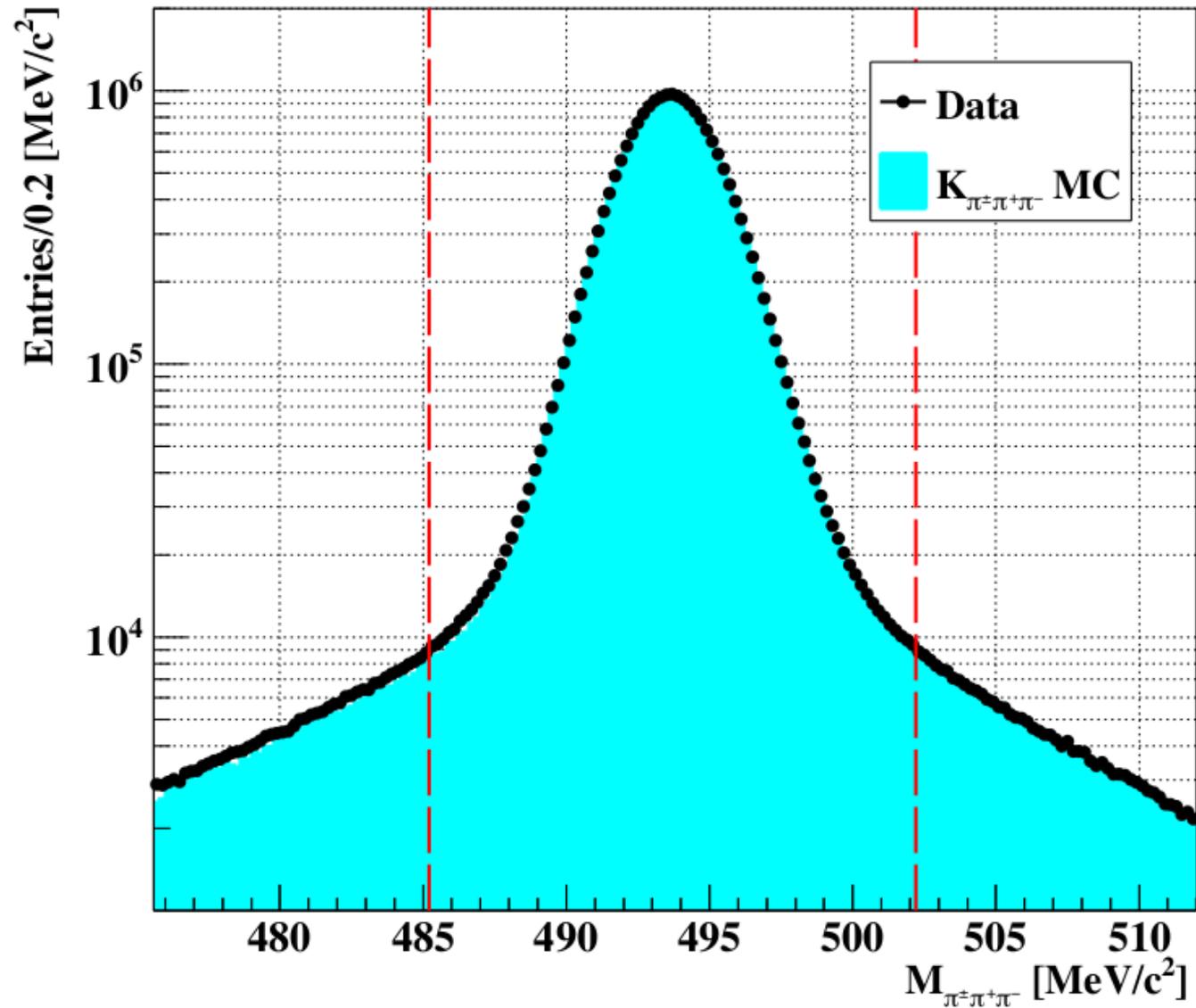
# Normalization channel

$K^\pm \rightarrow \pi^\pm \pi^+ \pi^-$  ( $K3\pi$ )  
same signal topology  
(three-track event)

Huge statistics:  
 $O(10^9)$   $K_{3\pi}$  decays

$M(\pi^\pm \pi^+ \pi^-) = (493.65 \pm 0.01) \text{ MeV}/c^2$   
 $\sigma = 1.7 \text{ MeV}/c^2$   
Acceptance  $(24.04 \pm 0.01)\%$

Total number of  
kaon decays  
 $(1.56 \pm 0.01) \times 10^{11}$



# Error Budget

<b>Uncertainty type</b>	<b><math>\delta\text{BR}/\text{BR}[\times 10^2]</math></b>
<b>Data statistics</b>	<b>2.54</b>
<b>Normalization channel statistics</b>	<b>0.02</b>
<b>Total statistical</b>	<b>2.54</b>
<b>Rad. corr.</b>	<b>0.70</b>
<b>Background statistics</b>	<b>0.62</b>
<b>Trigger efficiency</b>	<b>0.54</b>
<b>Background systematic</b>	<b>0.30</b>
<b>Muon ID efficiency</b>	<b>0.13</b>
<b>Acc signal statistics</b>	<b>0.12</b>
<b>Electron ID uncertainty</b>	<b>0.04</b>
<b>Acc normalization statistics</b>	<b>0.03</b>
<b>Total systematic</b>	<b>1.15</b>
<b>External uncertainty (<math>\text{Br } K_{3\pi}</math>)</b>	<b>0.72</b>
<b>Total uncertainty</b>	<b>2.88</b>