

Upgrade of the ALICE Inner Tracking System (ITS)

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on behalf of the ALICE ITS Collaboration

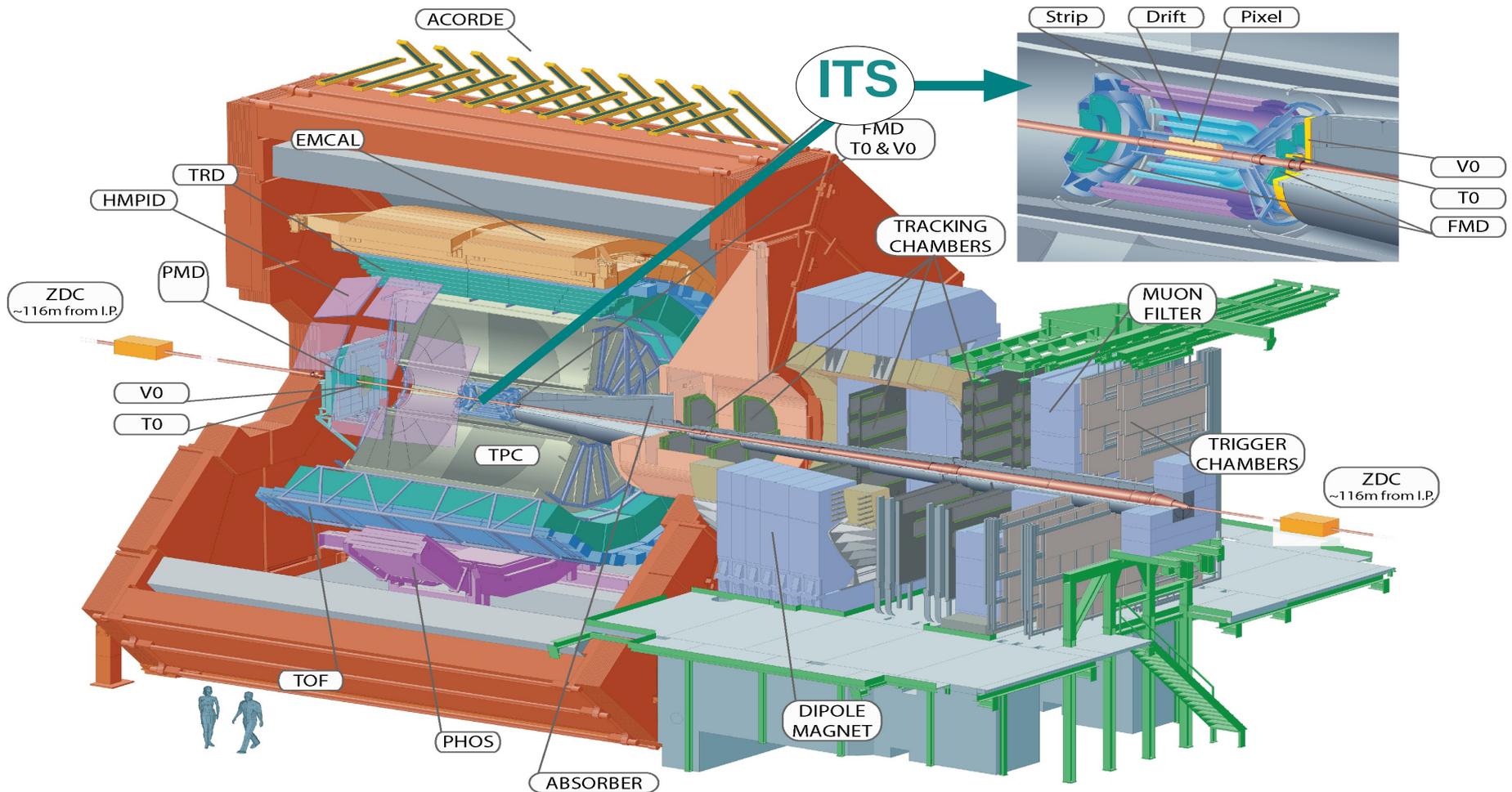
Nuclear Physics Institute of the CAS
Řež, Czech Republic

ICPPA 2015
International Conference on Particle Physics and
Astrophysics, October 5-10, 2015, Moscow, Russia



ALICE & present ITS

ALICE is a heavy-ion experiment at the CERN LHC with a main goal to study strongly interacting matter, in particular the properties of the **Quark-Gluon Plasma**, using **Pb-Pb**, **p-Pb** and **pp** collisions.



ALICE consists of a central barrel, a forward muon spectrometer, several dedicated detectors for triggering and event characterization.



ALICE upgrade

Motivation

High precision measurements of rare probes at low p_T , which cannot be selected by a hardware trigger

Target

Recorded luminosity of 10 nb^{-1} in Pb-Pb (plus pp and p-Pb data)

Increased statistics by a factor of 100 compared to LHC Run 1 and 2 (2009 - 18)

Improved vertexing, tracking and read-out rate capabilities

Upgrades (LHC Long Shutdown 2 – 2019-20)

ALICE readout (of several detectors) and online systems

- Read out all Pb-Pb interactions at a maximum rate of 50 kHz with a minimum bias trigger

- Perform online data reduction

New silicon trackers: Inner Tracking System (mid-rapidity), Muon Forward Tracker (forward rapidity) 3

Requirements for ALICE ITS upgrade

Improve impact parameter resolution (\approx factor of **3 (6)**) in r - ϕ (z) at 500 MeV/c)

First layer closer to interaction point: $r_0 = 39 \text{ mm} \rightarrow 22 \text{ mm}$

Smaller beam pipe radius: $29 \text{ mm} \rightarrow 18.2 \text{ mm}$

Reduce X / X_0 / layer: $1.14 \% \rightarrow 0.3 \%$ (inner layers)

Smaller pixel size: $50 \mu\text{m} \times 425 \mu\text{m} \rightarrow 28 \mu\text{m} \times 28 \mu\text{m}$

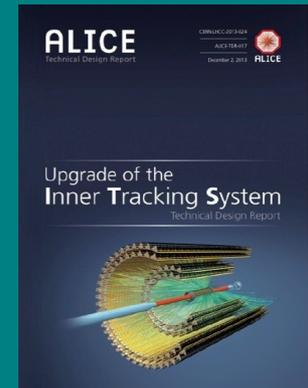
Improve tracking efficiency and p_T resolution at low p_T

Increase the number of layers $6 \rightarrow 7$

All layers pixel chips

Fast readout : 50 kHz in Pb-Pb, 200 kHz in pp (currently 1 kHz)

Easier maintenance: replacement of faulty detector components during the yearly LHC technical stop



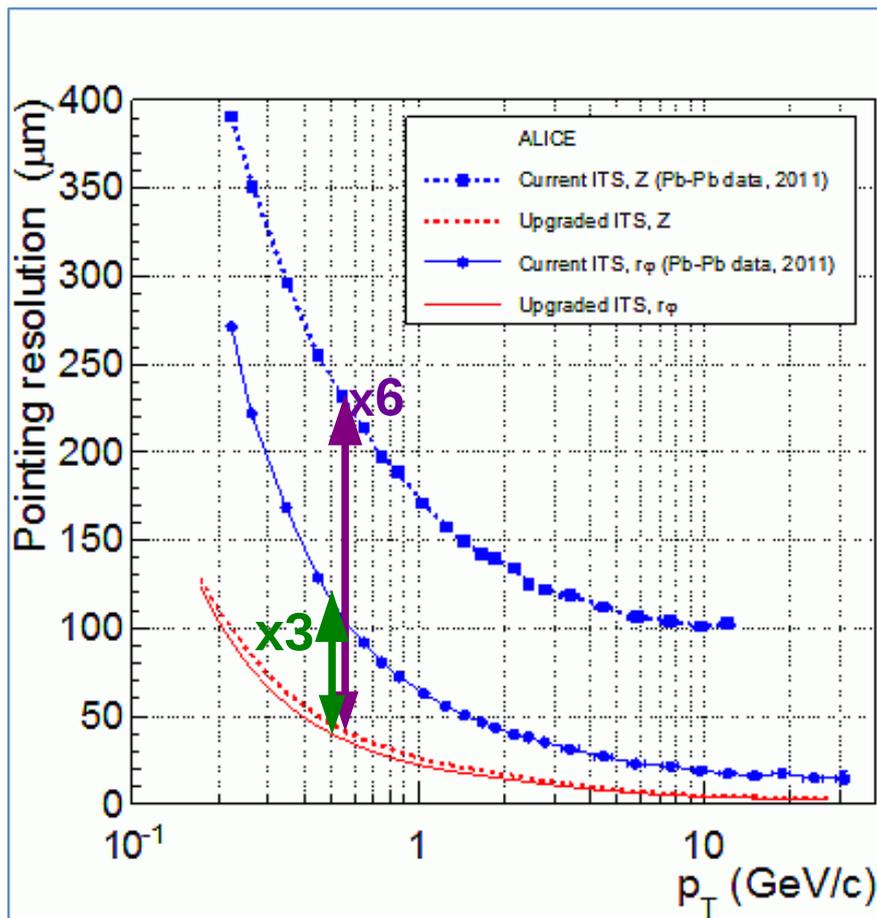
CERN-LHCC-2013-24



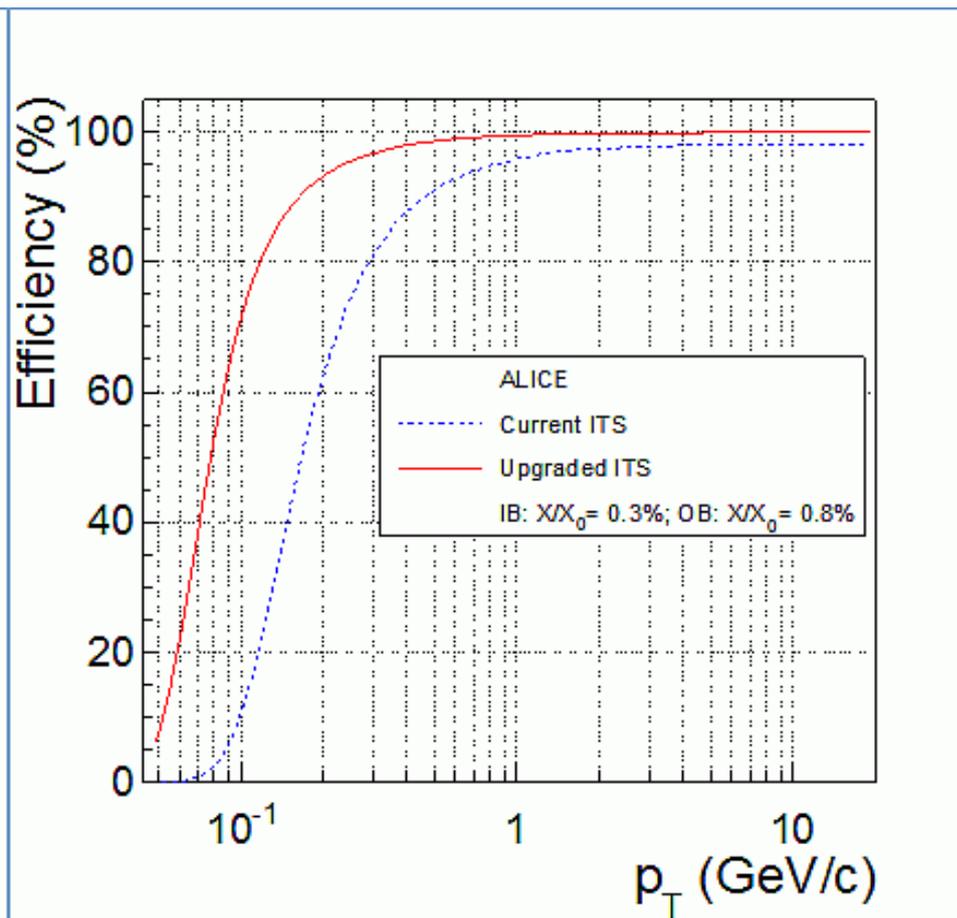
J.Phys.G(41)
087002

Expected performance of new ITS

Pointing resolution

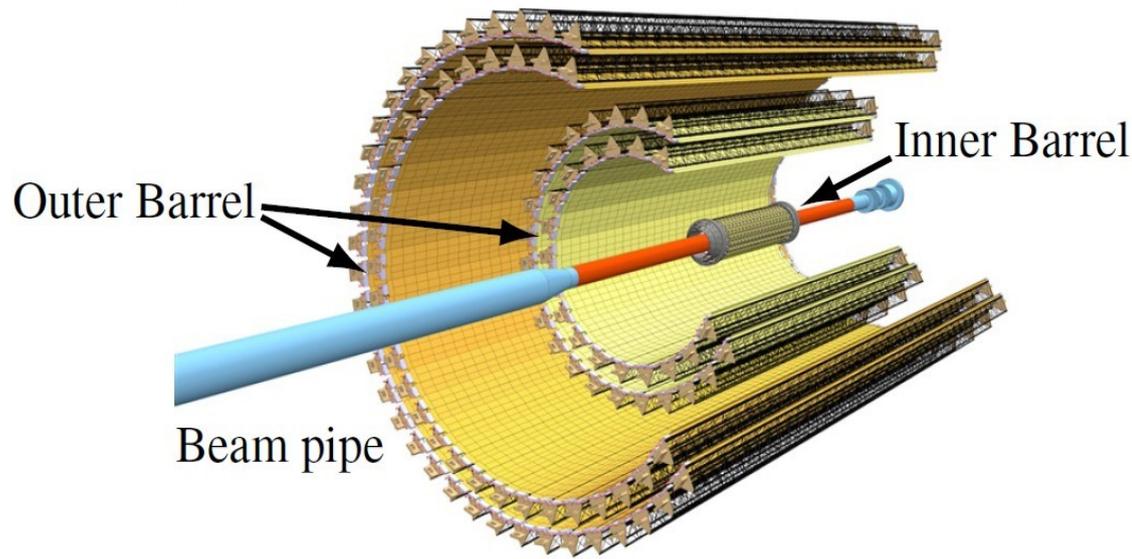


Tracking efficiency



$\sim 40 \mu\text{m}$ at $p_T = 500 \text{ MeV/c}$

Requirements for the ITS upgrade



7 layers of pixel sensors
($r = 22 - 400$ mm)

10 m² of silicon with
12.5 Gpixels

$|\eta| < 1.22$ for tracks from
90% of the most
luminous region

| Parameter | Inner barrel | Outer barrel |
|-----------------------|---|---|
| Silicon thickness | | 50 μm |
| Spatial resolution | 5 μm | 10 μm |
| Power density | < 300 mW/cm ² | < 100 mW/cm ² |
| Event resolution | | < 30 μs |
| Detection efficiency | | > 99% |
| Fake hit rate | < 10 ⁻⁵ per event per pixel | |
| Average track density | 15 - 35 cm ⁻² | 0.1 - 1 cm ⁻² |
| TID radiation * | 2700 krad | 100 krad |
| NIEL radiation * | 1.7x10 ¹³ 1 MeV n _{eq} /cm ² | 10 ¹² 1 MeV n _{eq} /cm ² |

=> well suited for Monolithic Active Pixel Sensors

* including a safety factor of 10

Choice of sensor technology

Monolithic Active Pixel Sensors (MAPS) using Tower Jazz 0.18 μm CMOS imaging process :

Very thin sensors
Very high granularity
Large area to cover
Modest radiation levels

Parameter comparison for mainstream MAPS architectures

| | ALPIDE | MISTRAL |
|-----------------------|--|--|
| Pixel pitch | 28 μm X 28 μm | 36 μm X 64 μm |
| Event time resolution | < 2 μs | ~ 20 μs |
| Power consumption | 39 mW/cm² | 97 mW/cm² |

Baseline solution → **ALPIDE**

Both architectures have the same dimensions,
identical physical and electrical interfaces

Pixel sensor characterizations

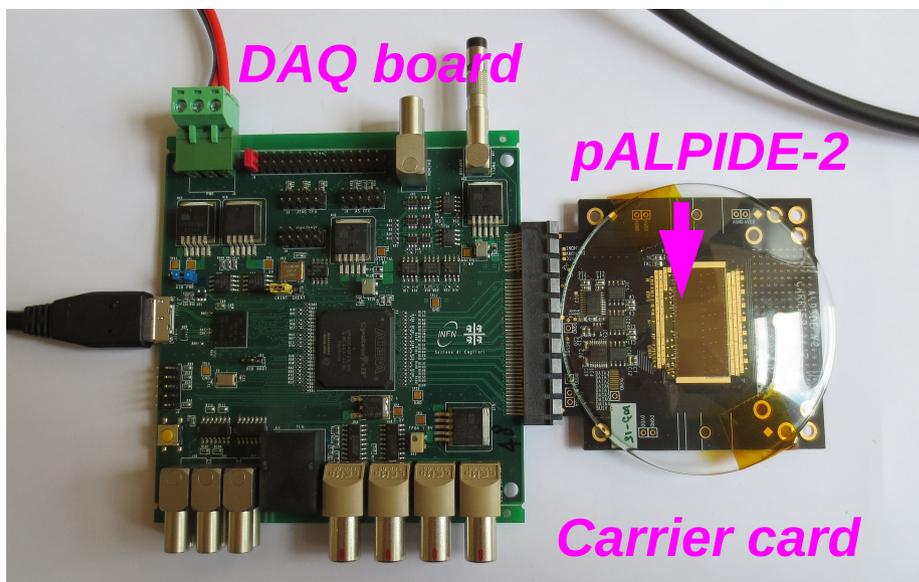
Laboratory

Noise and threshold scans

Radioactive source measurements

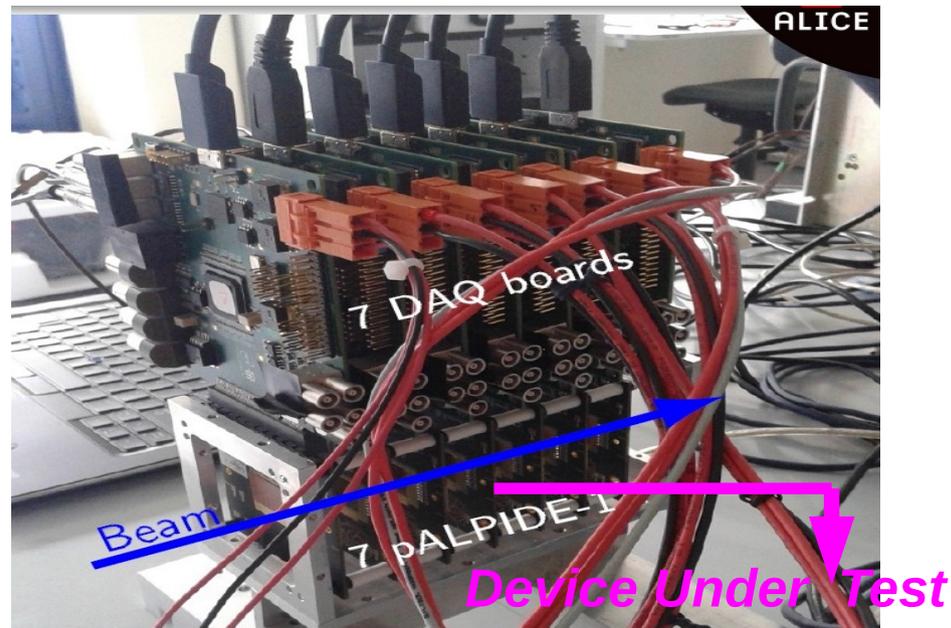
Noise occupancy measurements

Intensive efforts in a number of institutes to characterize pixel sensors



Example of pALPIDE test setup

Test beam



Tracking by a stack of 3 + 3 pALPIDE-1 chip around Device Under Test

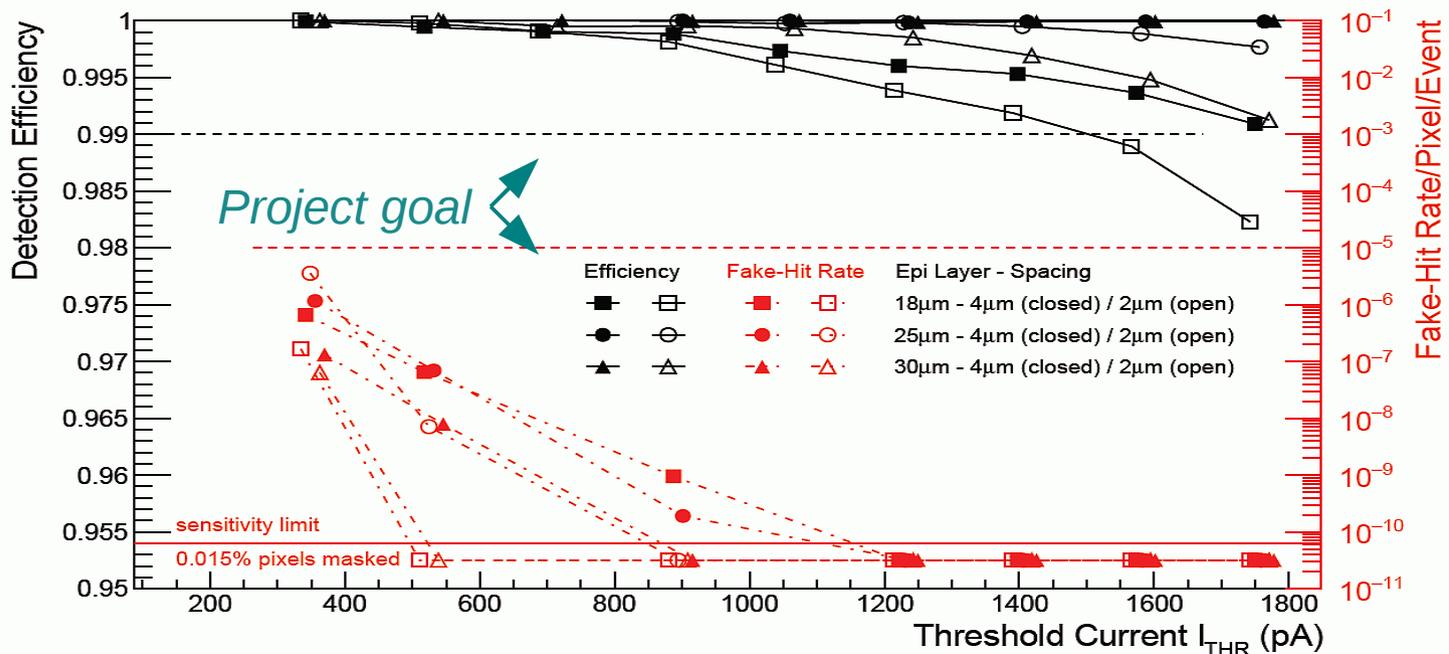
Readout and analysis is done using the EUDAQ/EUTelescope framework
(created by DESY)

Several campaigns from 60 MeV to 120 GeV (PS, SPS, DESY, BTF, PAL)

Measurement of detection efficiency and spatial resolution

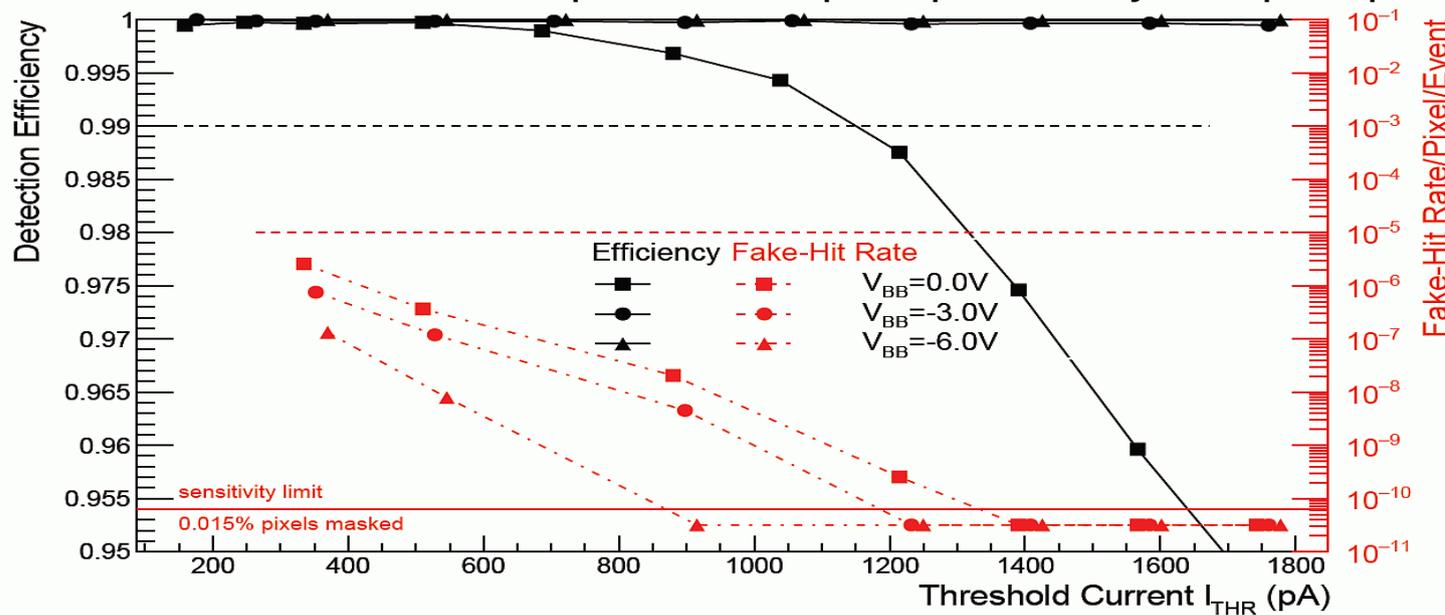
Selected performance : Efficiency & Fake-Hit rate

Reverse substrate bias $V_{BB} = -6$ V, epitaxial layer and spacing comparison



- Detection efficiency for MIP increases with increasing epitaxial layer thickness
- Goal of the project : Efficiency > **99%**
Fake-Hit rate < **10^{-5}** per pixel and event

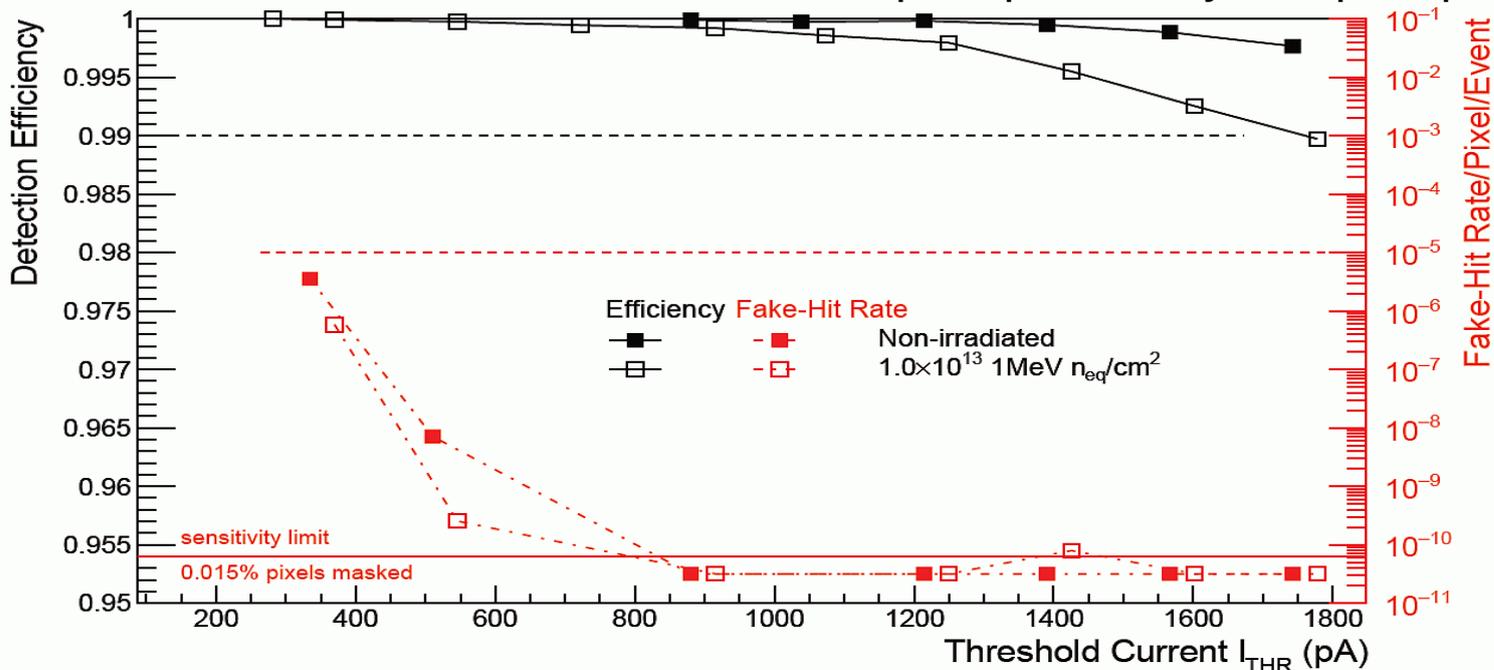
Reverse substrate bias comparison, 30 μ m epitaxial layer, 4 μ m spacing



- Measurements meet the requirements
- Detection efficiency improves with larger back bias voltage

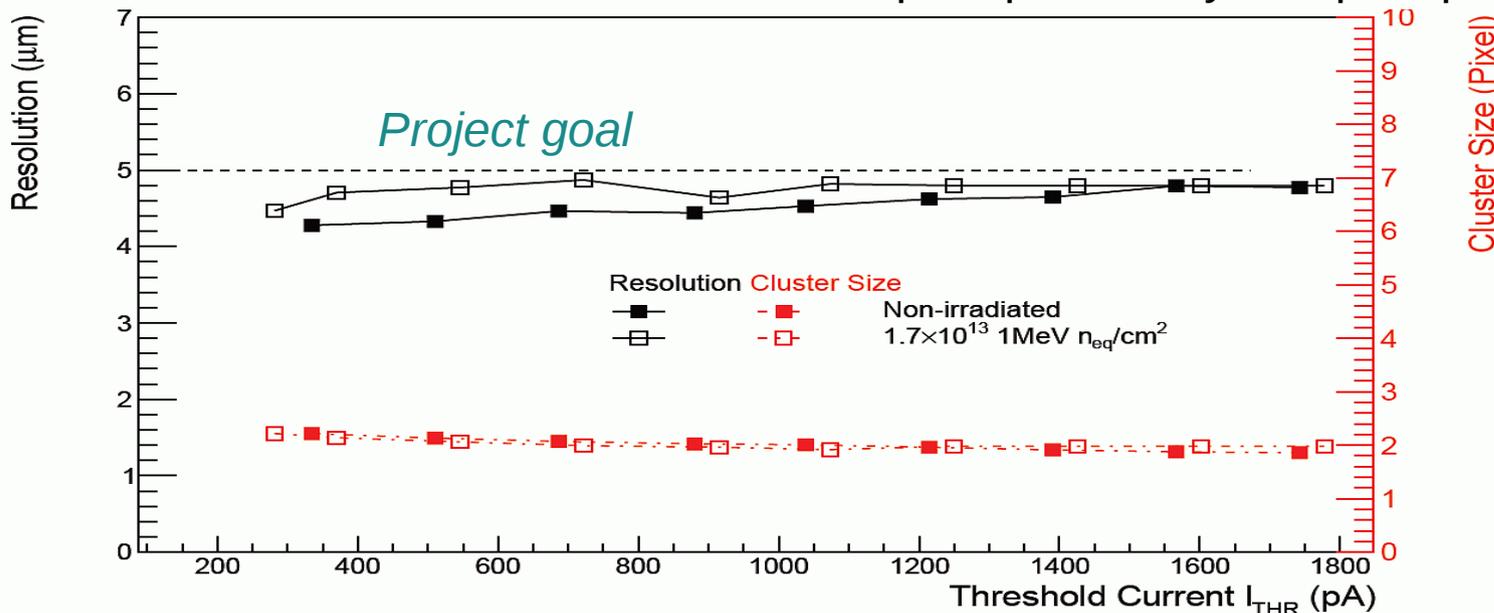
Irradiated prototypes : Efficiency & Position resolution

Reverse substrate bias VBB = -6 V, 25 μm epitaxial layer, 2 μm spacing



● *Deterioration of the detection efficiency & position resolution is tolerable up to the maximal expected dose*

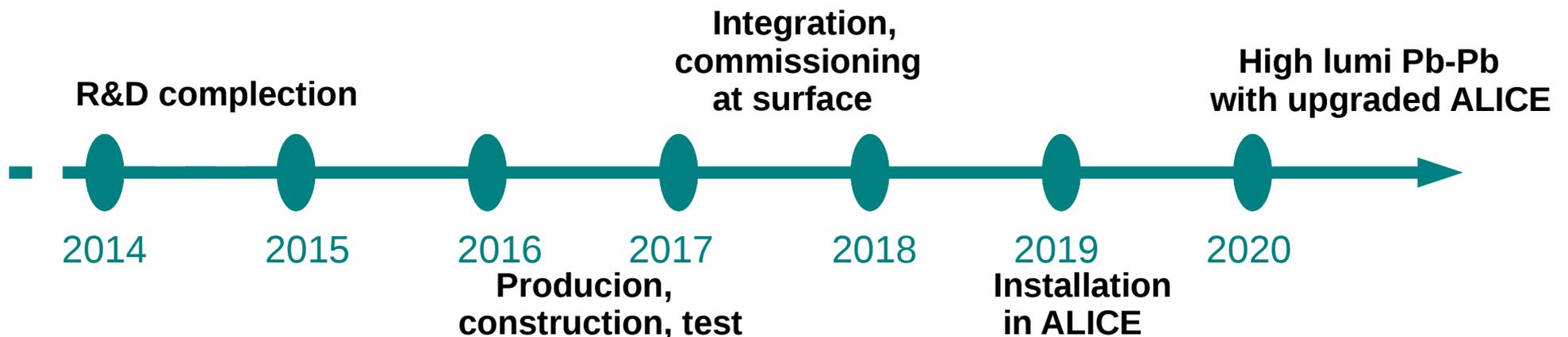
Reverse substrate bias VBB = -6 V, 30 μm epitaxial layer, 4 μm spacing



● *Cluster size is not affected by radiation*

Summary and outlook

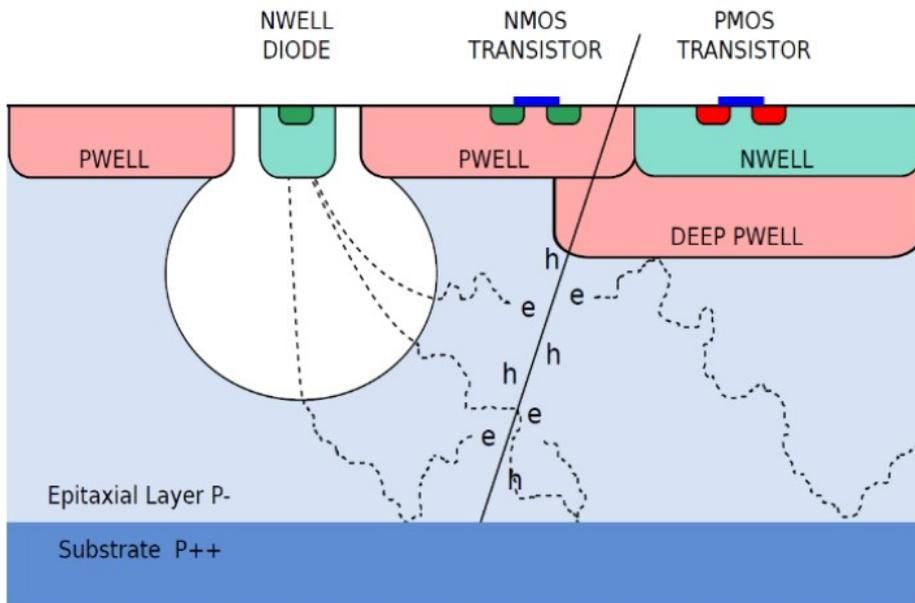
- The **Inner Tracking System** of ALICE will be replaced during the second long LHC shutdown (2019/20)
- **7 layers** of monolithic active **pixel sensors** will be used
- Expected track impact parameter resolution, tracking resolution and p_T resolution at low p_T will improve significantly
- **First full scale** prototypes show **good performance** and large operational margin
- **Project is advancing** according to schedule



Backup slides

Pixel Specifications

Pixel choice: Monolithic Active Pixel Sensors (MAPS) using Tower Jazz 0.18 μm



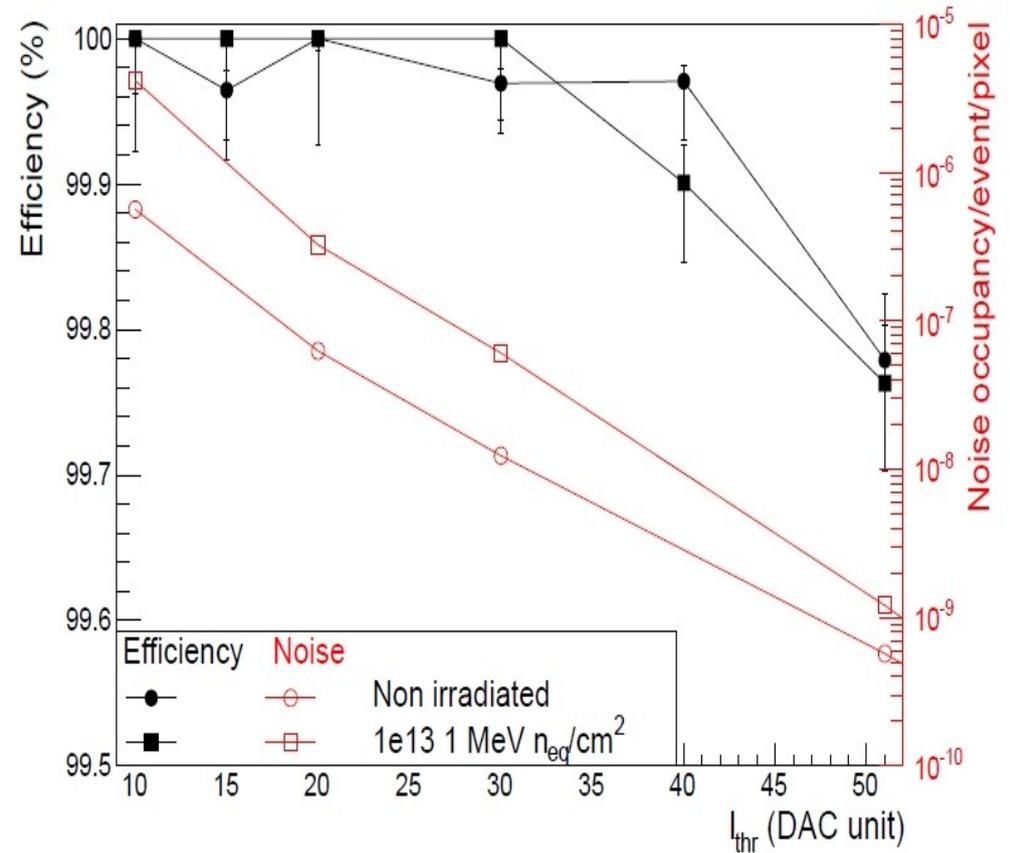
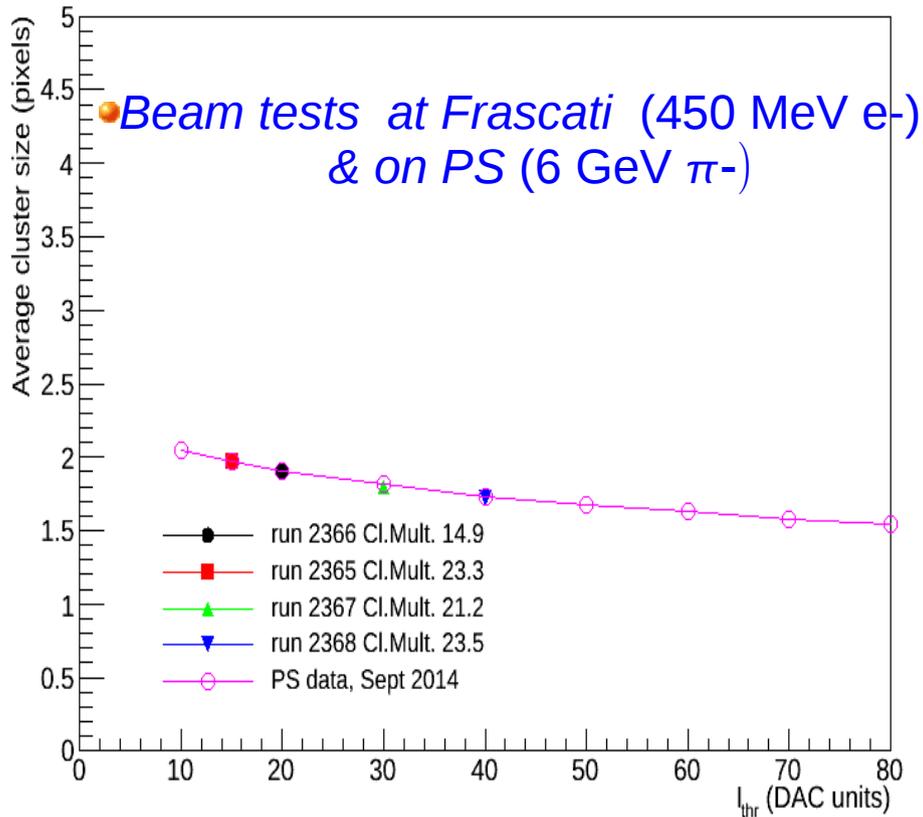
Schematic cross section

- Chip size: 15 mm x 30 mm
- Pixel pitch $\sim 30 \mu\text{m}$
- Si thickness: 50 μm
- Spatial resolution $\sim 5 \mu\text{m}$
- Power density $< 100 \text{ mW/cm}^2$
- Integration time $< 30 \mu\text{s}$
- Fake-hit rate $< 10^{-5}$ per pixel per event

Performance Example

pALPIDEs: first full scale prototype of ALPIDE, pixel size: 28 x 28 mm²

Sector 3, $V_{\text{casn}} = 150$



- Cluster size is consistent for data, measured at Frascati & on PS
- Cluster size does not depend on multiplicity

- 20 most noisy pixels masked
- Wide operating range with efficiency ~99% and noise occupancy < 10⁻⁵ /event/pixel
- noise occupancy increases after irradiation