

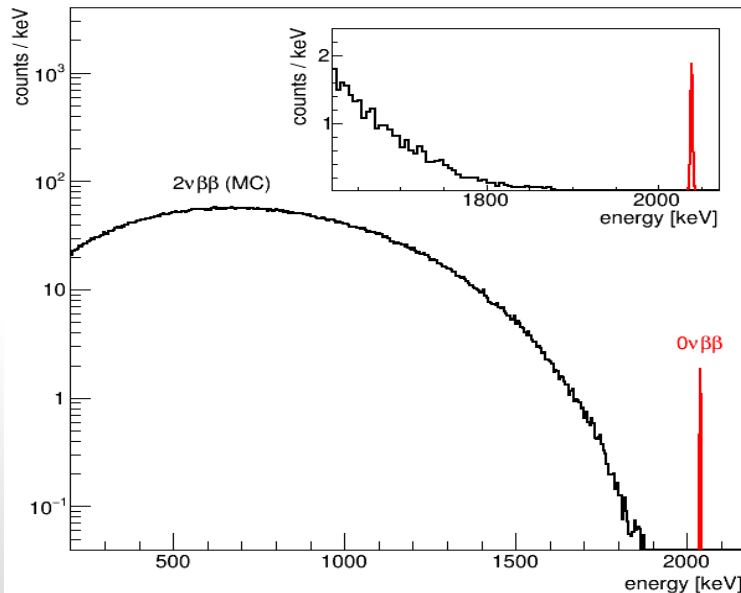
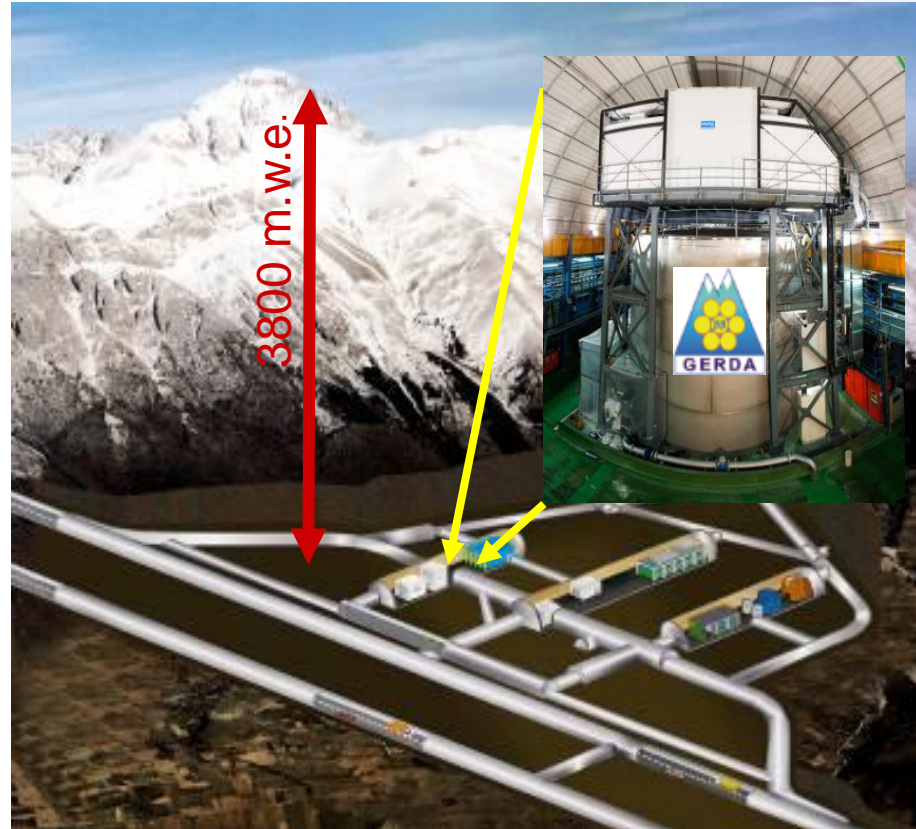
New data release of GERDA Phase II: search for $0\nu\beta\beta$ decay of ^{76}Ge

Natalia Di Marco
Laboratori del Gran Sasso – INFN

on behalf of the GERDA Collaboration

$0\nu\beta\beta$ with GERDA

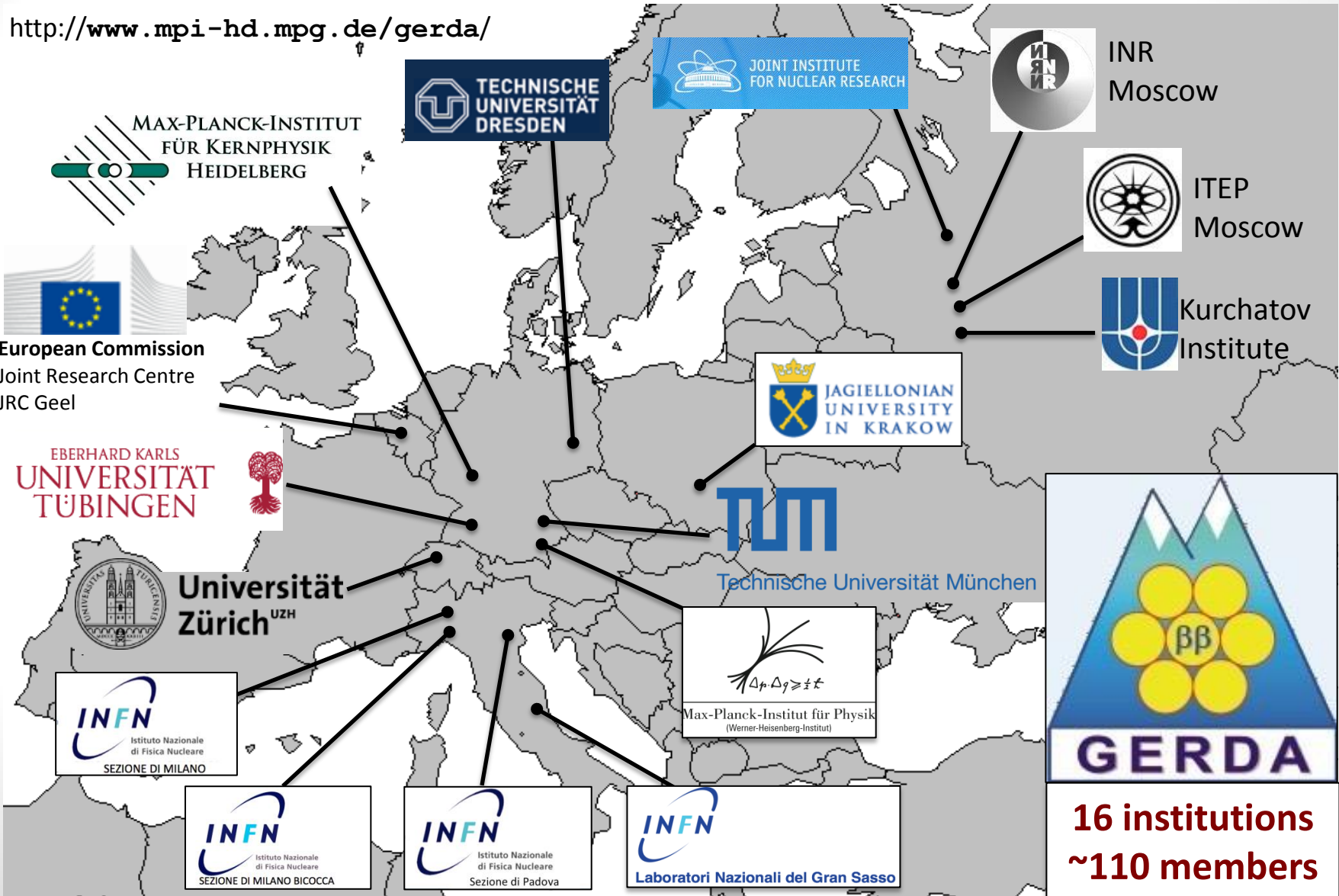
GERmanium Detector Array
(INFN-LNGS, Italy) searches
for $0\nu 2\beta$ decay in ^{76}Ge using
HPGe detectors enriched in
 ^{76}Ge



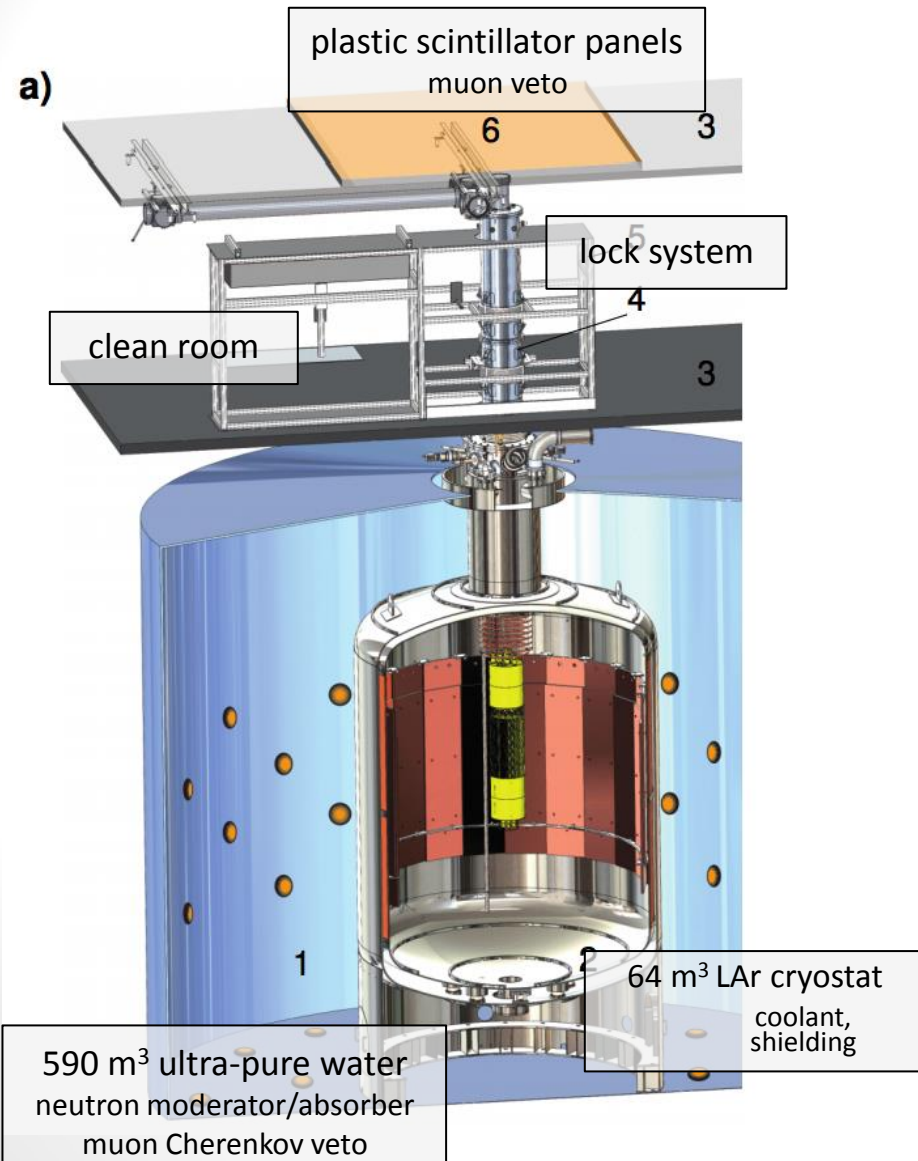
$Q_{\beta\beta}$ -value = **2039 keV** in ^{76}Ge
Energy resolution **<4 keV FWHM**
→ important for discovery

The GERDA Collaboration

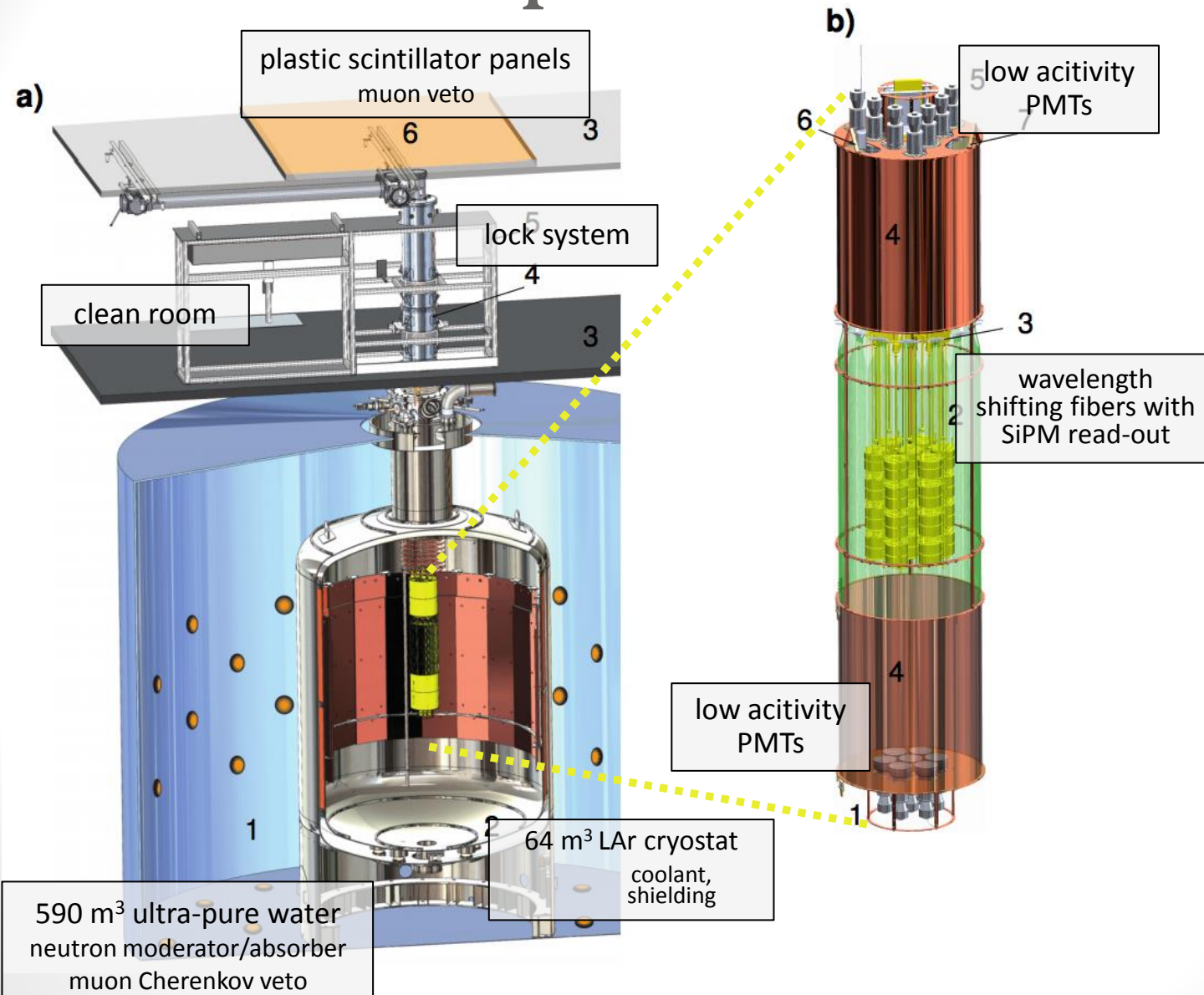
<http://www.mpi-hd.mpg.de/gerda/>



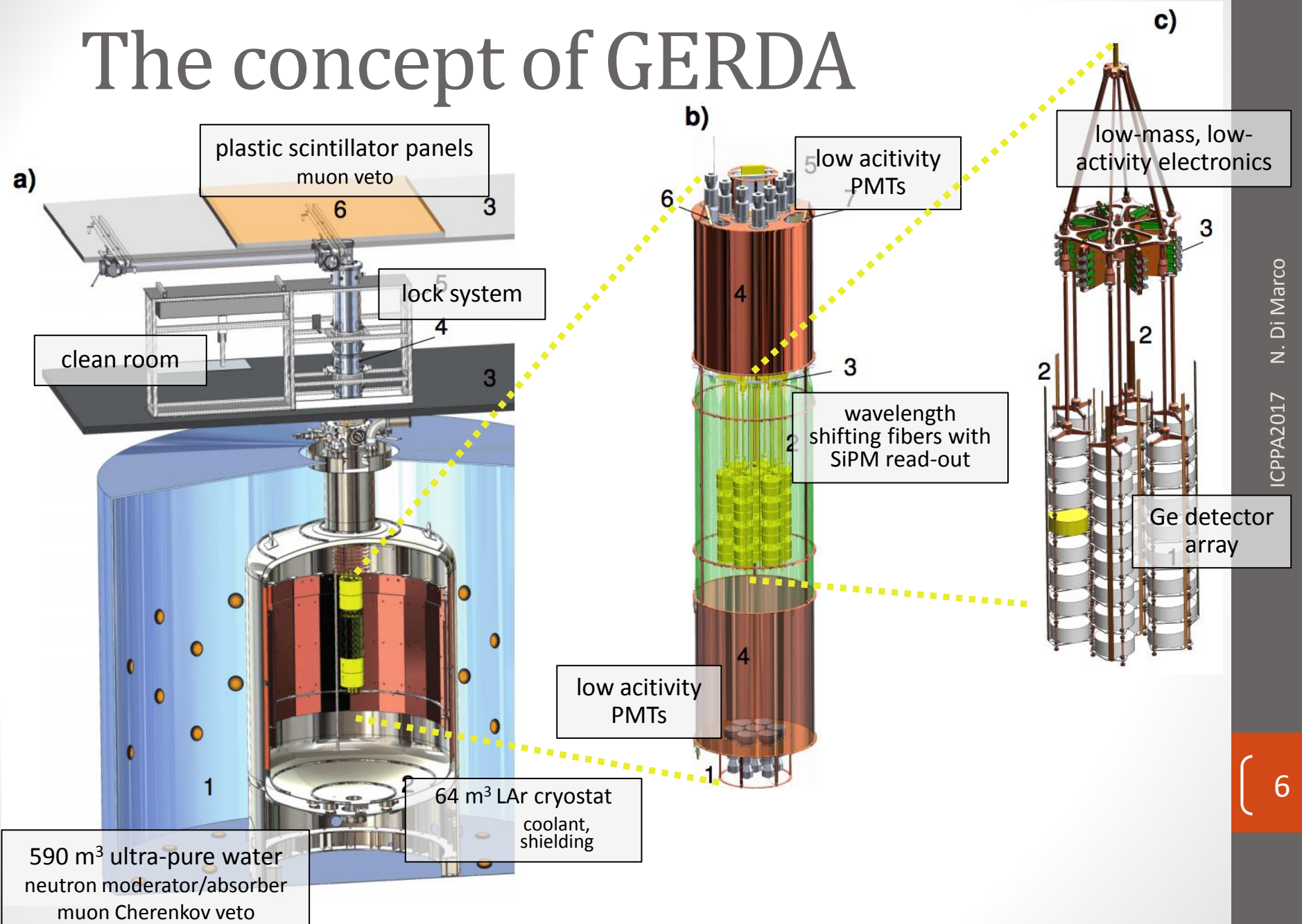
The concept of GERDA



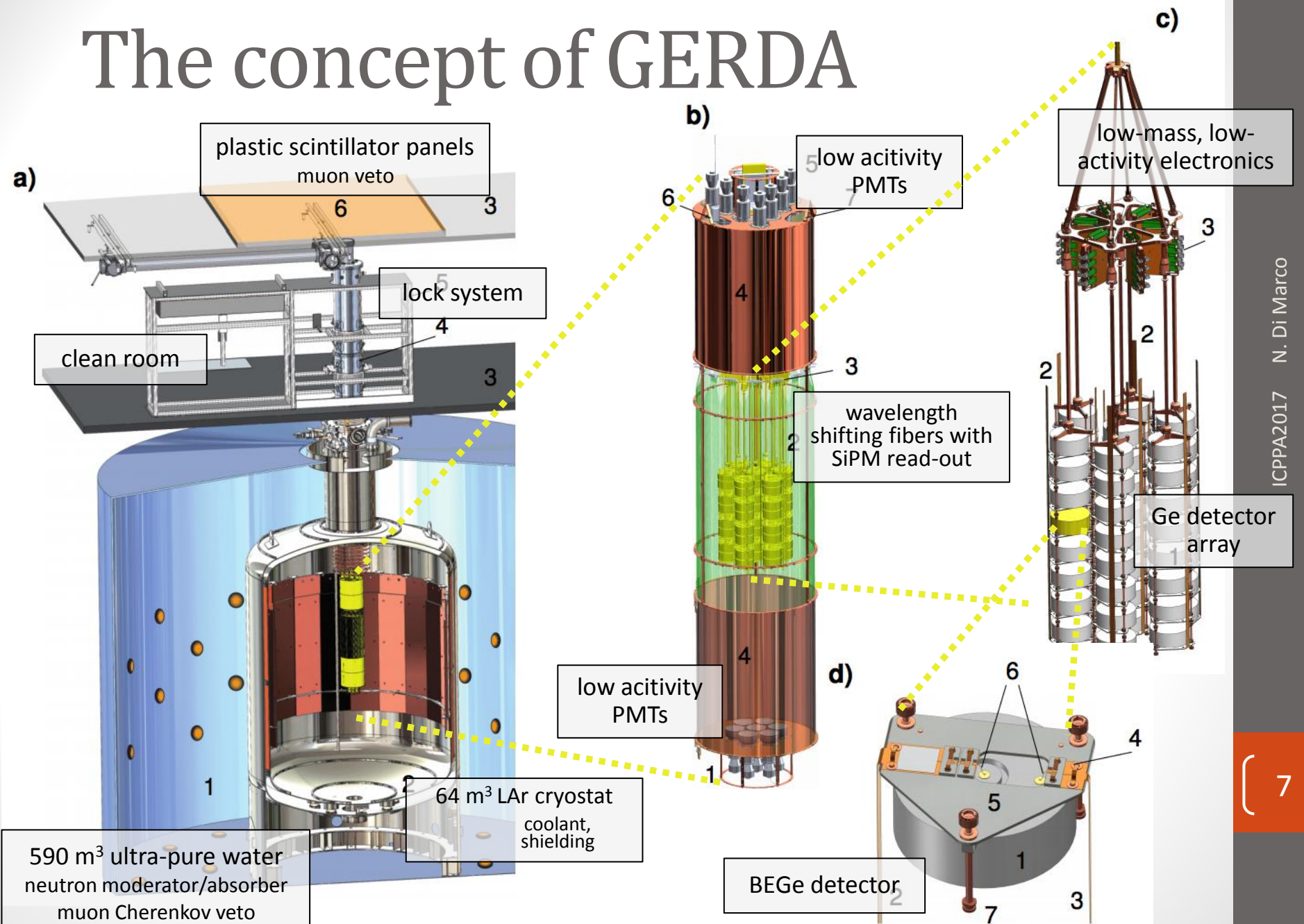
The concept of GERDA



The concept of GERDA



The concept of GERDA



DAQ strategy and phases

Phase I (Nov 2011- May 2013):

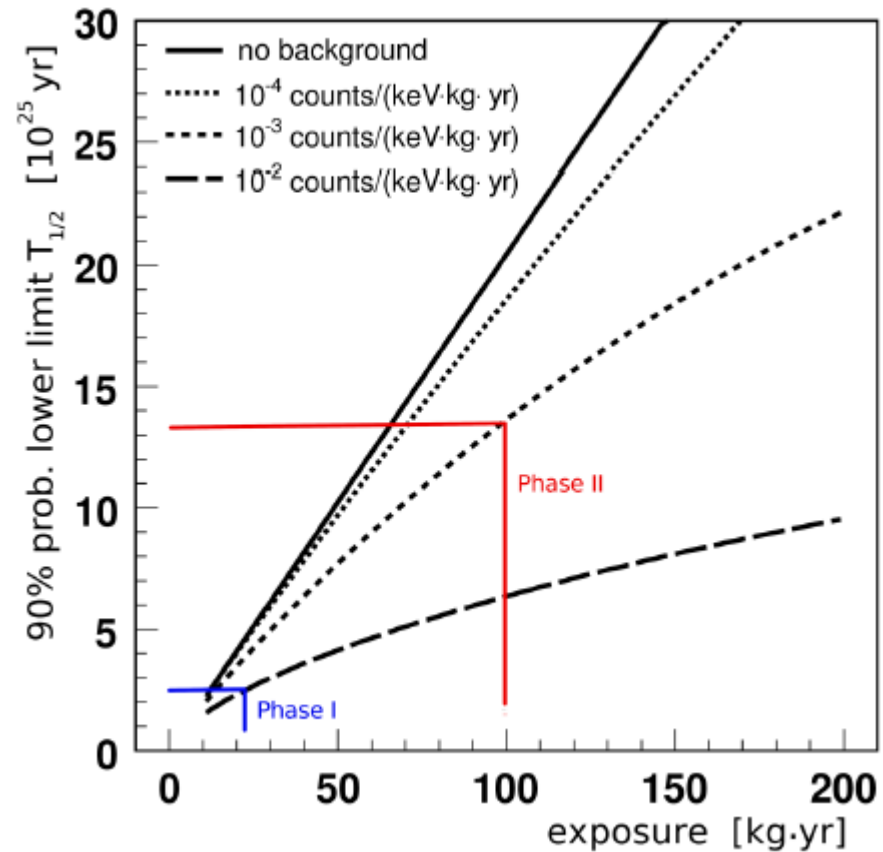
- Completed
- Use refurbished HdM and IGEX (18 kg) (+new BEGe Phase II detectors)
- BI ≈ 0.01 cts / (keV kg yr)
- No LAr readout (passive shield)
- Accumulated exposure **21 kg yr**

Phase II (Dec 2015- ongoing):

- Add new ^{enr}BEGe detectors (20 kg)
- BI ≈ 0.001 cts / (keV kg yr)
- Goal: 100 kg yr
- First data release Jun 2016 (about 11 kg yr)
- Results on *Nature* 544 (2017) 47

General strategy

- Blind analysis strategy
- Events at $Q_{\beta\beta} \pm 25$ keV in the blinding box
- Open box when all cuts finalized



Phys. Rev. Lett. 111 (2013) 122503
Nature 544 (2017) 47

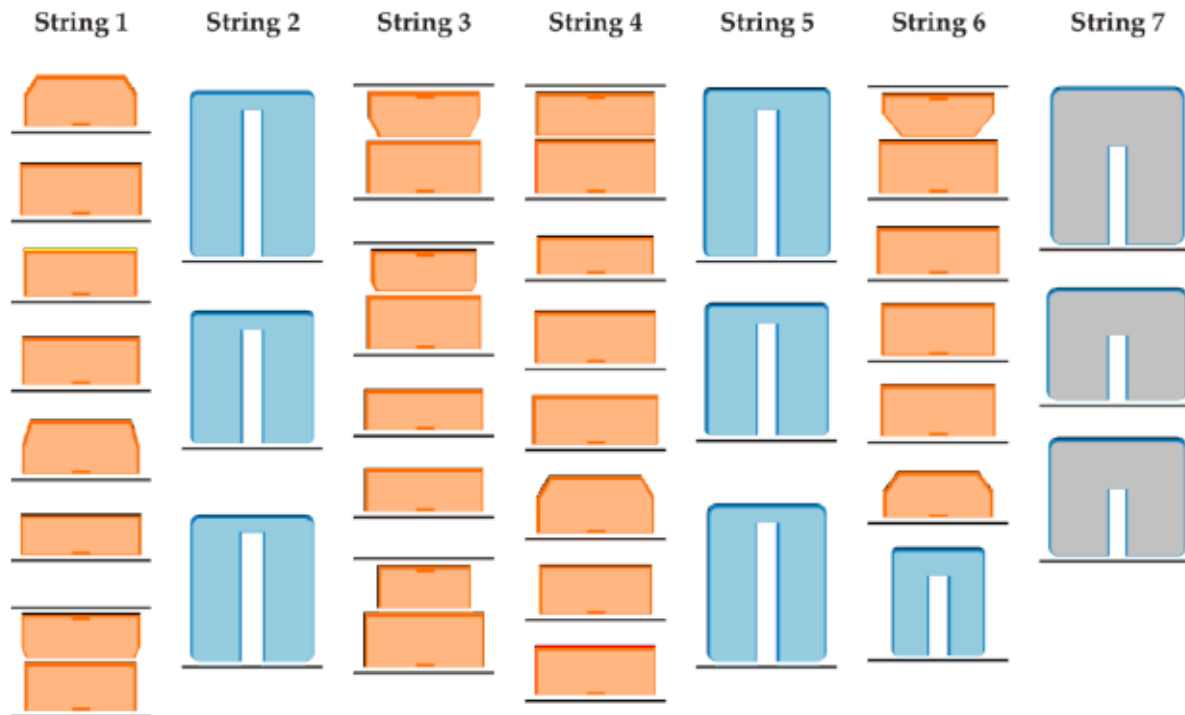
Phase II array

Deployed in December 2015

- 40 channels
- 30 ^{enr}BEGe (20 kg)
- 7 ^{enr}Coax (16 kg)
- 3 ^{nat}Coax (8 kg)

36 kg of enriched (87%) detectors

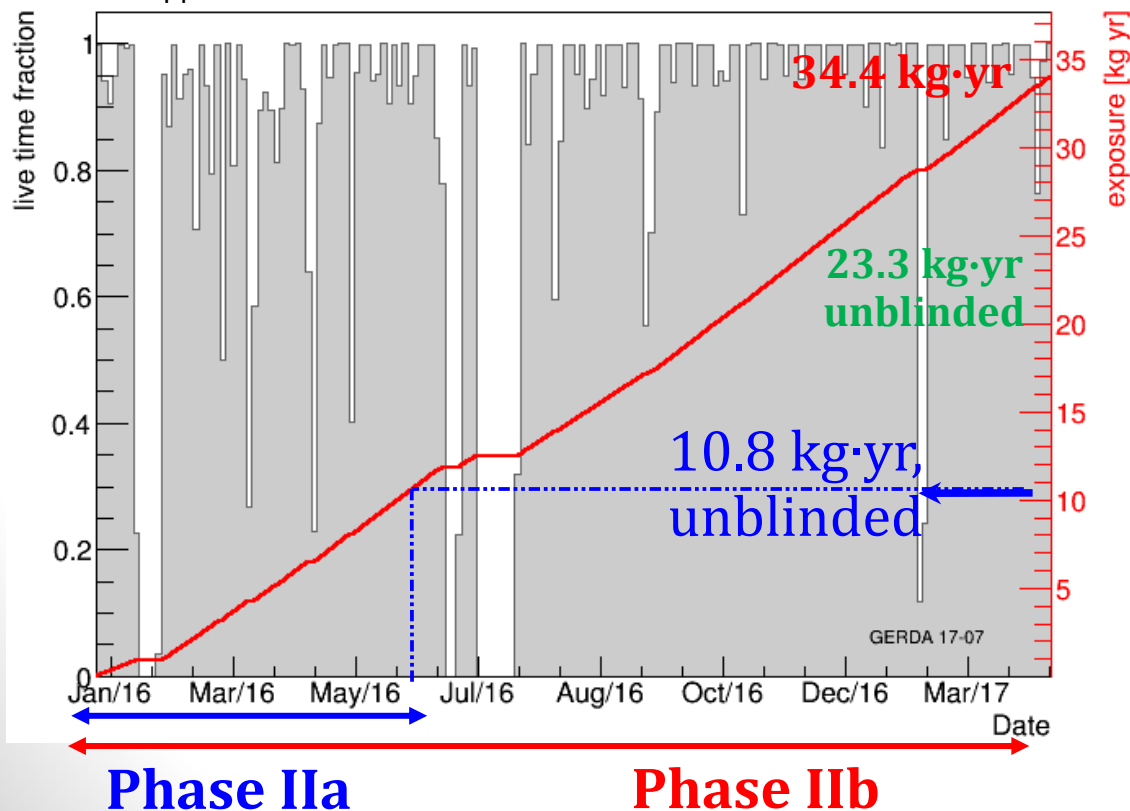
Current status: 3 diodes lost (burnout JFET)



Current data taking

Data taking **in progress**

- Phase II exposure increased by **x3** with respect to Nature paper (**Phase IIa**)
- Valid exposure accumulated **34.4 kg·yr** (18.2 BEGe + 16.2 Coax) up to Apr 15th (**Phase IIb**)
- A **few more kg·yr** already in the bag (Apr-Sept) with blinded box of $\pm 25\text{keV}$ around $Q_{\beta\beta}$



June 2017 unblinding

- Box opened for the **BEGe dataset only** (12.4 kg yr)
- **enrCoax data** (11.2 kg yr) still in the box
 - PSD does not reject a particular class of background events (α from the groove)
 - Work in progress in order to improve the PSD
- Total unblinded exposure: **23.3 kg yr** from **Phase II**

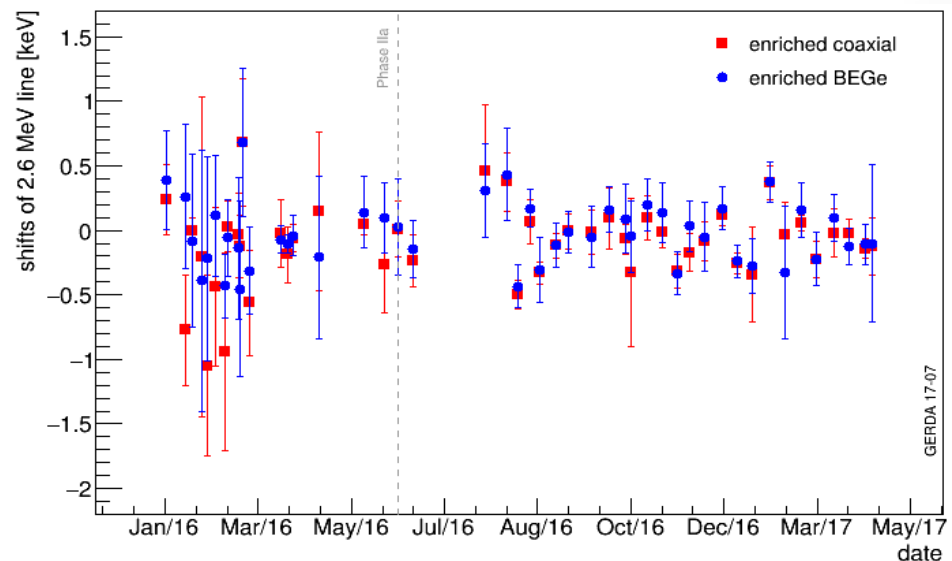
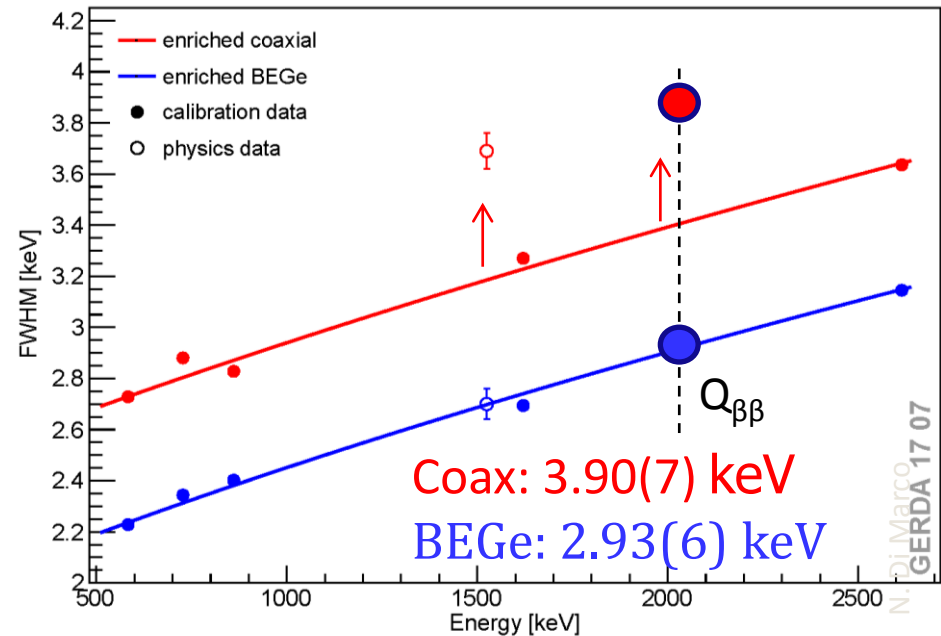
Energy Calibration

Energy scale

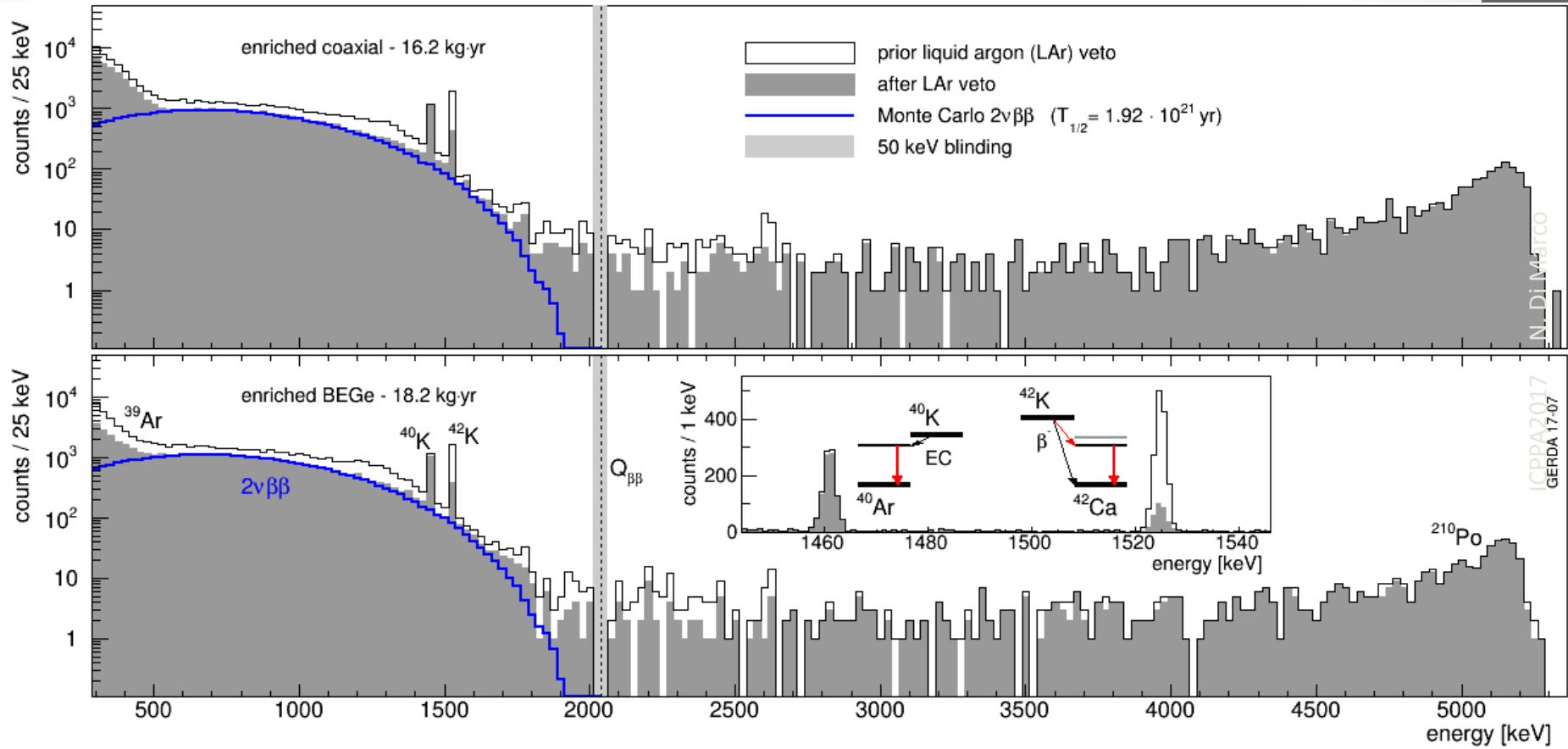
- **Offline**, using optimized **ZAC filter**
Eur. Phys. J. C 75 (2015) 255
- Weekly calibrations with ^{228}Th **sources**
- Stability monitored online with **Test Pulses**, injected every 20 s

Energy resolution

- Profile derived from ^{228}Th **calibrations**
- **Correction** (for coax) applied derived from the resolution of the ^{40}K and ^{42}K **peaks** in the physics data
- Accounts for **instabilities** during the long-term data taking

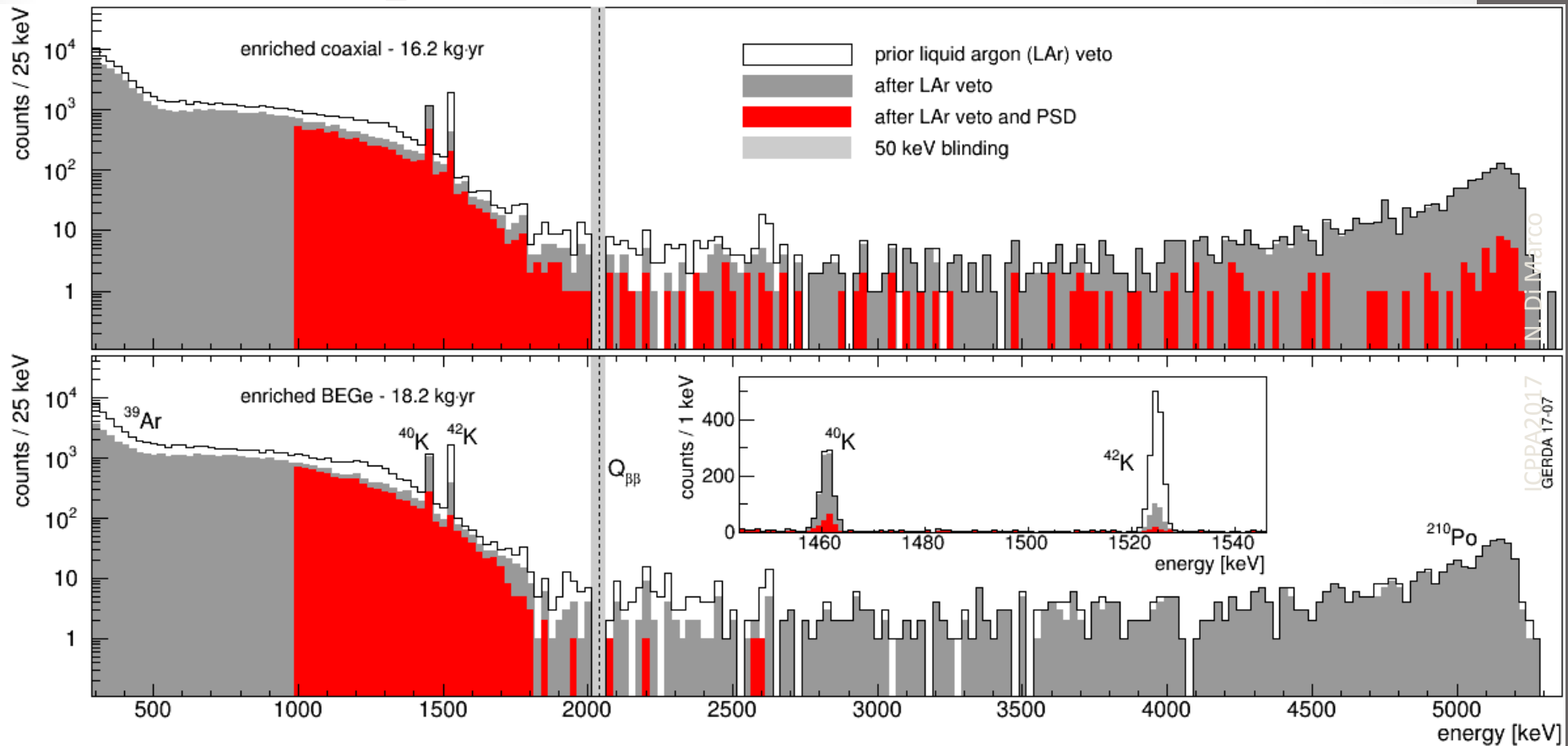


GERDA spectra



- Most prominent features: ^{39}Ar β (< 500 keV), $2\nu\beta\beta$, ^{42}K and ^{40}K γ -rays, α

GERDA spectra

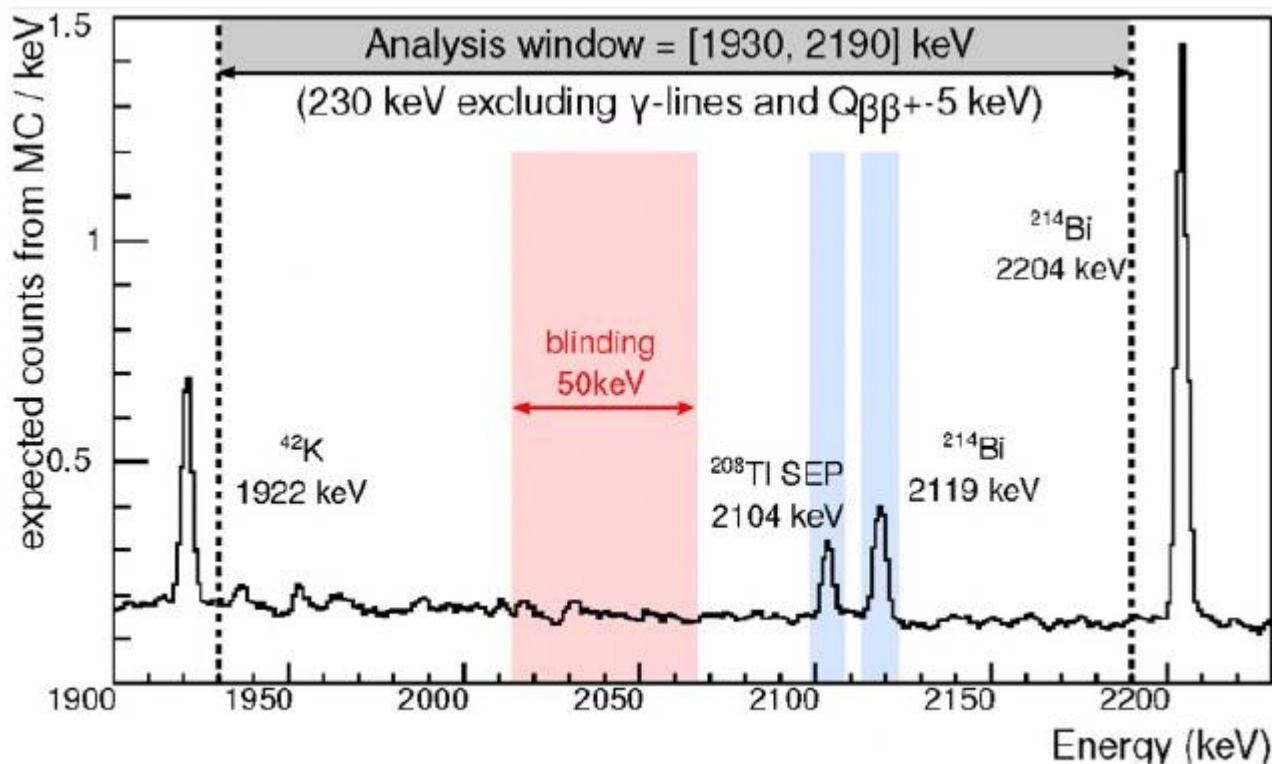


- Most prominent features: ^{39}Ar β (< 500 keV), $2\nu\beta\beta$, ^{42}K and ^{40}K γ -rays, α
- PSD **clears** completely the **α region**
- LAr and PSD **complementary**
- Final background at $Q_{\beta\beta}$ $O(10^{-3}$ cts/(keV kg yr))
- PSD for coaxials to be **further optimized** (groove α) \rightarrow background will decrease

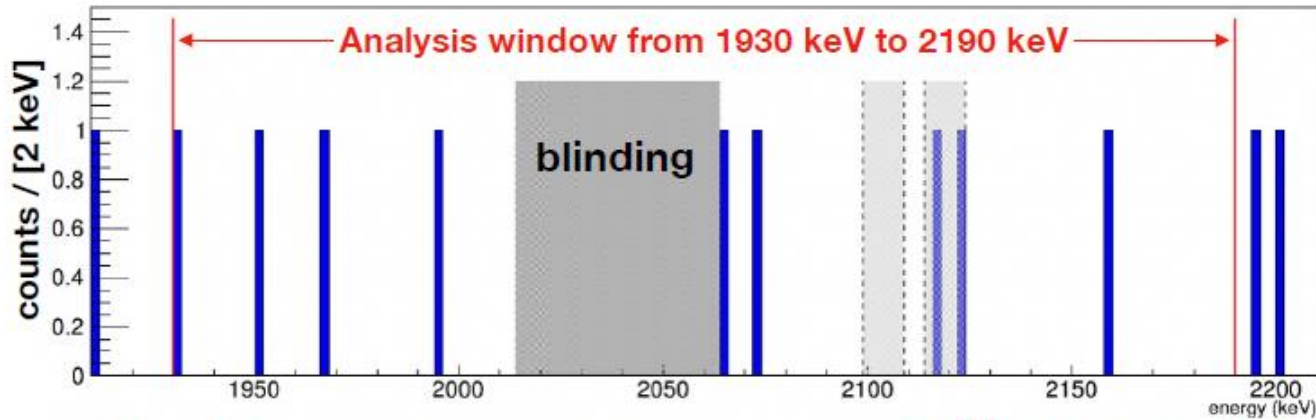
Background model

The background model (MC), made considering the spectrum **before LAr** and **PSD** cuts confirms the background **is flat** around the ROI and inside the blinding window as in Phase I

- The expected spectrum is roughly composed by: $\sim 30\%$ of events from α , 30% e^- from ^{42}K and 30% of γ coming from $^{212}\text{Bi} + ^{208}\text{Tl}$ and $^{214}\text{Bi} + ^{214}\text{Pb}$ as in Phase I
- Use the same analysis window as in Phase I:
1930 – 2190 keV excluding the interval 2104 ± 5 keV and 2119 ± 5 keV of known peaks

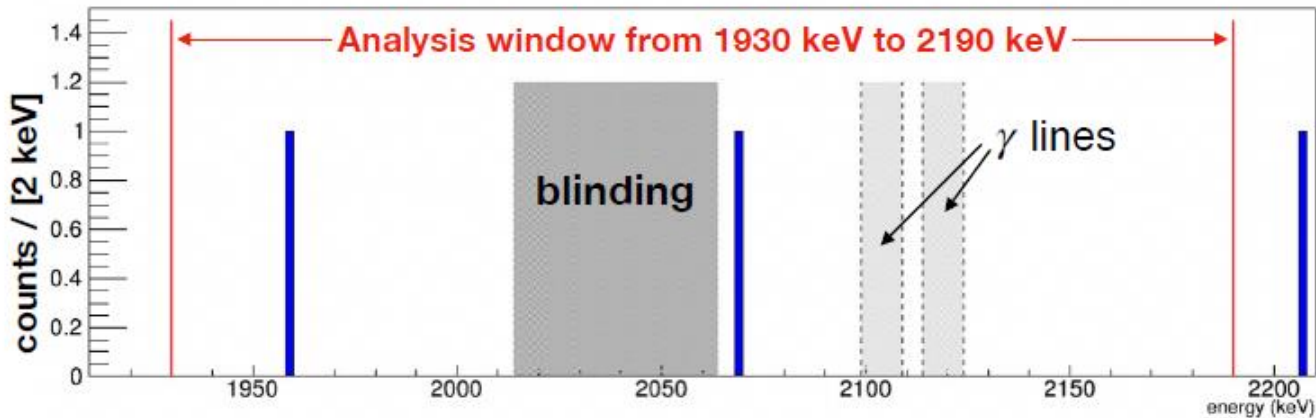


Spectra in the ROI



enr_{Coax}
16.2 kg · yr (after
all cuts)

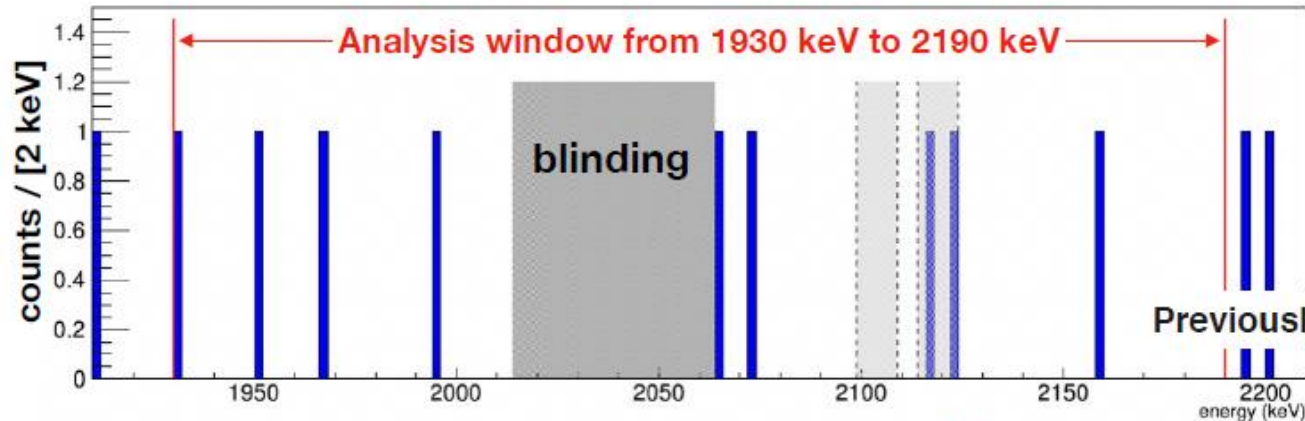
Coax BI: 7 cts (+ 2 known in blinded box) i.e. $2.7^{+1.0}_{-0.8} \cdot 10^{-3}$ cts/(keV · kg · yr)



enr_{BEGe}
18.2 kg · yr (after
all cuts)

BEGe BI: 2 cts i.e. $0.5^{+0.5}_{-0.3} \cdot 10^{-3}$ cts/(keV · kg · yr)

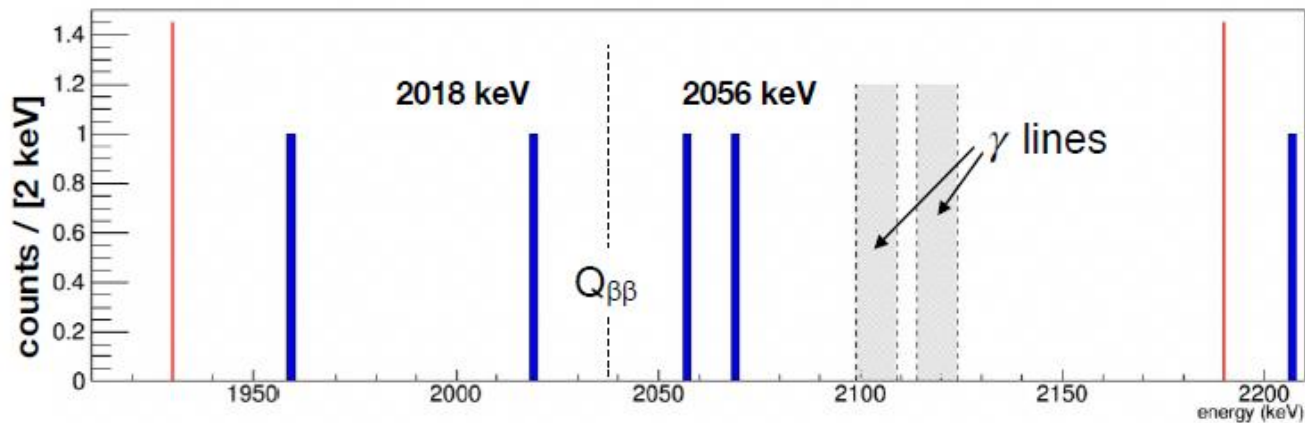
Spectra in the ROI



enr_{Coax}
16.2 kg · yr (after
all cuts)

Previously unblinded: 5.0 kg · yr

Coax BI: 7 cts (+ 2 known in blinded box) i.e. $2.7^{+1.0}_{-0.8} \cdot 10^{-3}$ cts/(keV · kg · yr)



enr_{BEGe}
18.2 kg · yr (after
all cuts)

BEGe BI: 2 cts + 2 new ($>10\sigma$ from $Q_{\beta\beta}$) i.e. $1.0^{+0.6}_{-0.4} \cdot 10^{-3}$ cts/(keV · kg · yr)

Statistical analysis

	Exposure (kg·yr)
Phase I (4 sets)	23.5
Phase II – coax	5.0
Phase II – BeGe	5.8+12.4 = 18.2

Tot = 46.7 kg·yr

- Frequentist (preliminary results):**

Best fit $N^{0\nu} = 0$

$T_{1/2}^{0\nu} > 8.0 \cdot 10^{25}$ yr @ 90% C.L.

It was $5.3 \cdot 10^{25}$ yr in Phase Ia

Median Sensitivity (NO Signal)

$T_{1/2}^{0\nu} > 5.8 \cdot 10^{25}$ yr @ 90% C.L.

30% of MC realizations yield limit stronger than data

- upper limit on

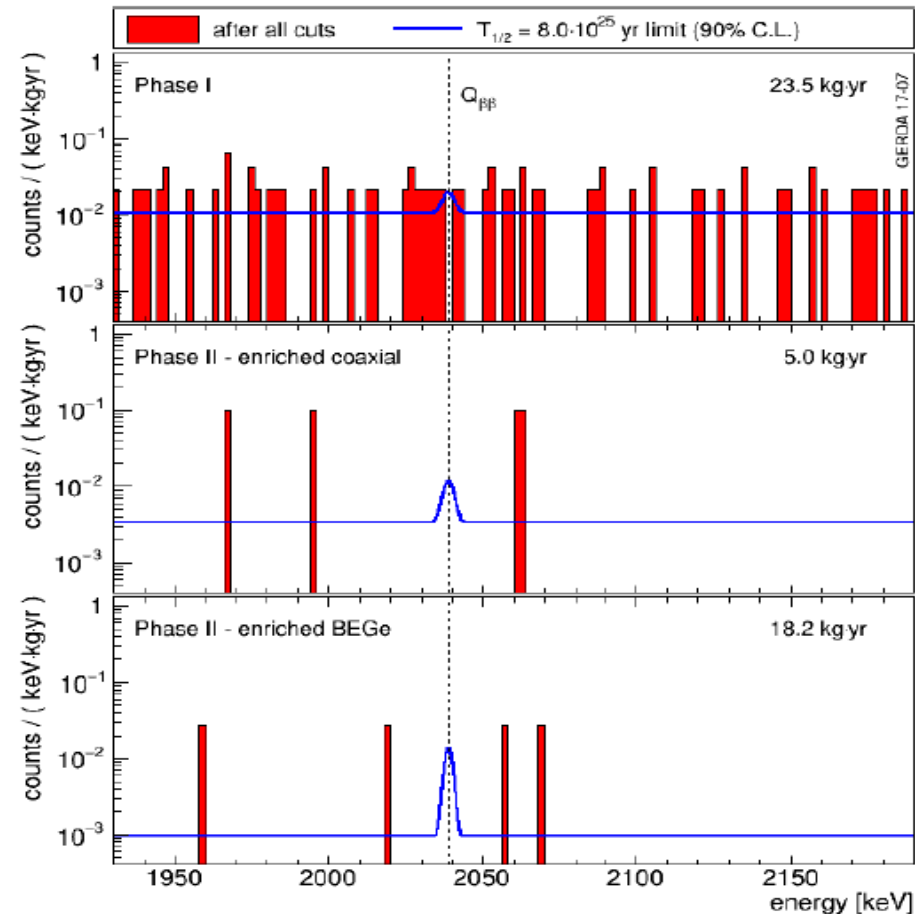
$m_{\beta\beta} < 0.12 - 0.27$ eV

- Bayesian (preliminary results):**

$T_{1/2}^{0\nu} > 5.1 \cdot 10^{25}$ yr @ 90% C.I.

Median Sensitivity:

$T_{1/2}^{0\nu} > 4.5 \cdot 10^{25}$ yr @ 90% C.I.



Conclusions

- GERDA is running smoothly and with high efficiency
- We have collected more than 35 kg·yr of really good data: **i.e. more than 1/3 of Phase II exposure (100 kg·yr)**
- With the present release we have obtained:
 - ✓ Limit on $T_{1/2}^{0\nu} > 8.0 \cdot 10^{25} \text{ yr}$ (90% CL)
 - ✓ Median Sensitivity: $5.8 \cdot 10^{25} \text{ yr}$ (better than KamLandZen)
 - ✓ **BI(enrCoax): $2.7^{+1.0}_{-0.8} \cdot 10^{-3} \text{ cts}/(\text{keV} \cdot \text{kg} \cdot \text{yr})$**
 - ✓ **BI(enrBEGe): $1.0^{+0.6}_{-0.4} \cdot 10^{-3} \text{ cts}/(\text{keV} \cdot \text{kg} \cdot \text{yr})$**
 - ✓ $m_{\beta\beta} < 0.12 - 0.27 \text{ eV}$
- With more data we confirm to have reached our background index goal
- Lowest bkg (~10x) in ROI w.r.t experiments using other isotopes
- <1 bkg within 1*FWHM at 100 kg*yr: first background-free experiment in the field
- Next year we are ready to break the wall of 10^{26} yr in median sensitivity
- **This result suggests future Ge experiments with 200 kg and beyond (LEGEND)**

LEGEND experiment

Large Enriched Germanium Experiment for Neutrinoless $\beta\beta$ Decay



219 members, **48** institutions,
16 countries

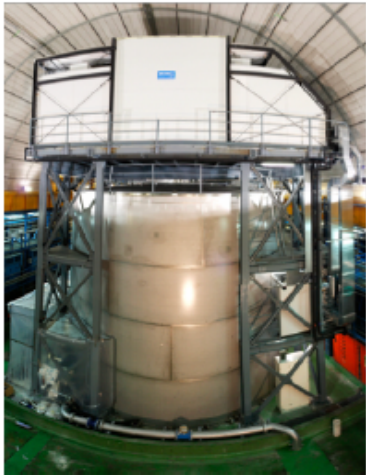
Collaboration in starting phase

2 Cospokespersons:
S. Elliott, S. Schönert

Aim of LEGEND

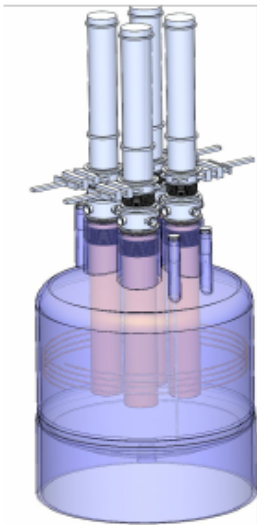
“The collaboration aims to develop a phased Ge76 based double beta decay experimental program with discovery potential at a half life significantly longer than 10^{27} years, using existing resources as appropriate to expedite physics results”

LEGEND experiment phases



Phase I

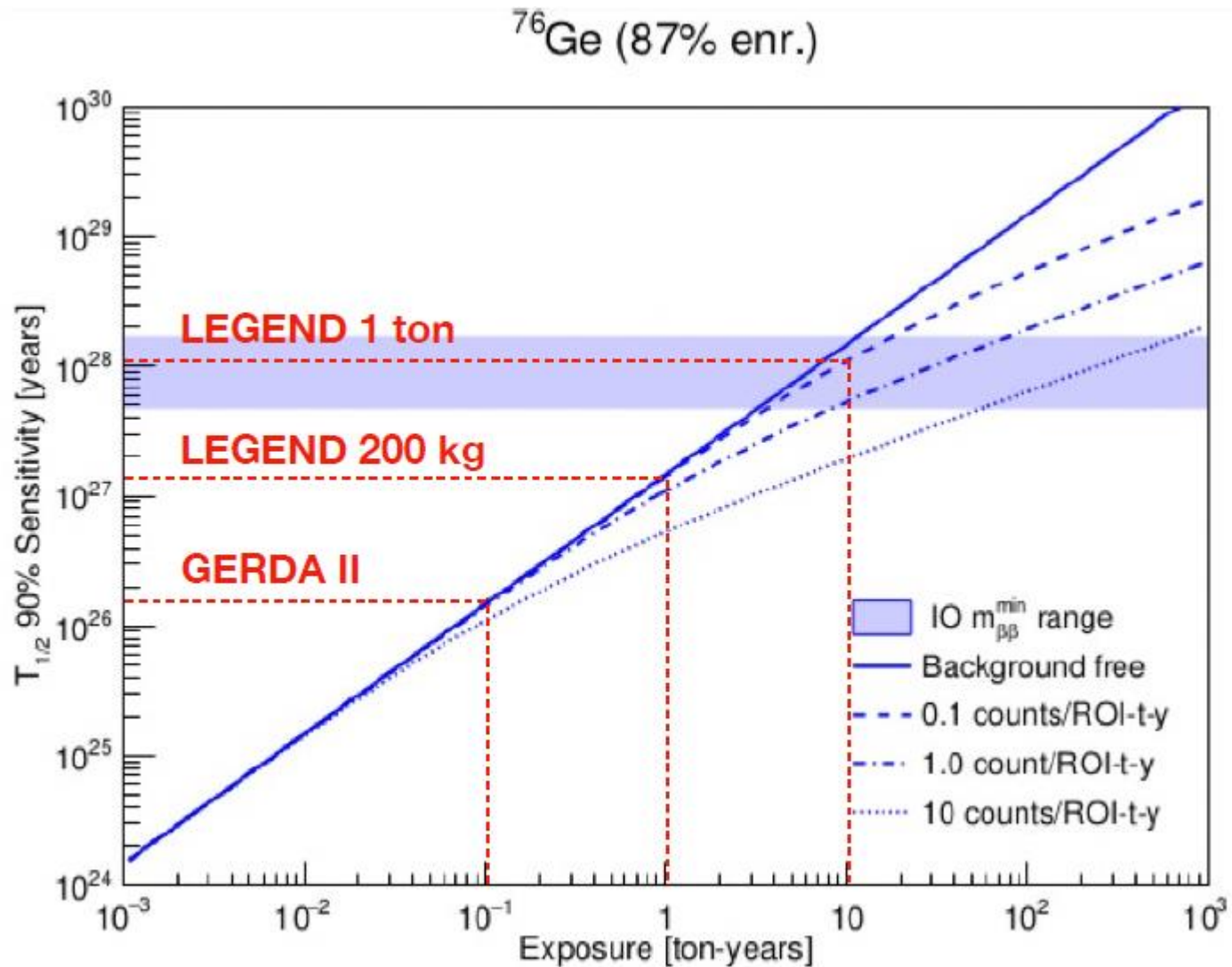
- upgrade of existing GERDA infrastructure @ LNGS up to 200 kg
- Reduction of BI down to 0.6 counts / (FWMH t y) i.e a factor 5 w.r.t GERDA Phase II
- 35 kg from GERDA + 30 kg from Majorana exp + 20 kg from the GERDA Phase II upgrade + the rest to be purchased
- Start by 2021



Phase II

- up to 1000 kg (towards successive steps)
- reduction of BI to 0.1 counts / (FWMH t y) i.e a factor 30 w.r.t GERDA Phase II
- location to be defined
- timeline connected with the U.S DOE selection program

LEGEND performances



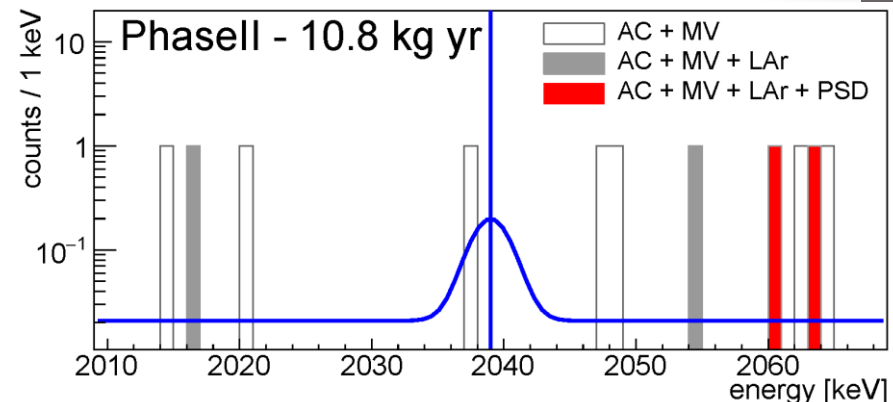
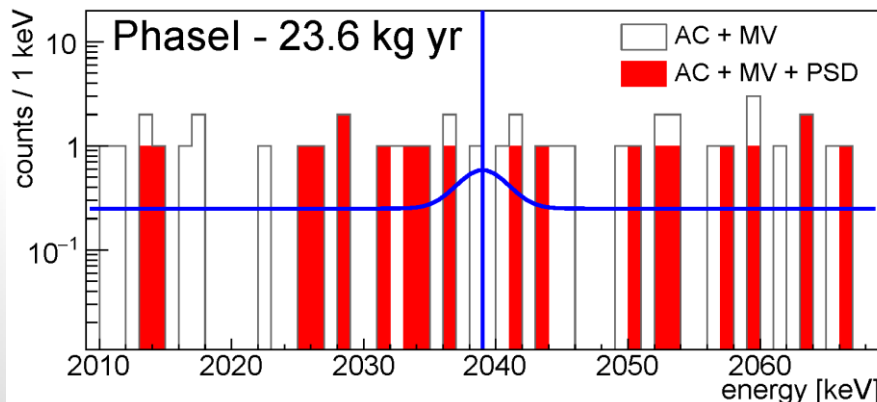
BACKUP

Previous Phase II results

Background-free search for neutrinoless double- β decay of ^{76}Ge with GERDA

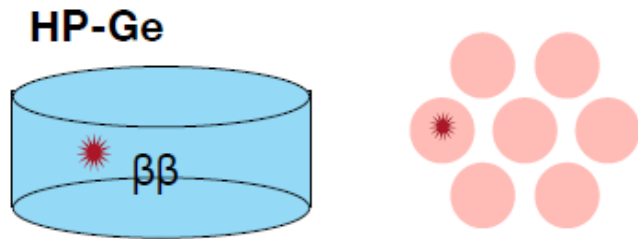
The GERDA Collaboration*

- New limit on ^{76}Ge $T_{1/2}$ (Phase I+II)
 - $T_{1/2} > 5.3 \cdot 10^{25}$ yr @ 90% CL (median sensitivity $4.0 \cdot 10^{25}$ yr)
- **Background < 1 cts** for the full **design exposure**
 - Coax: $3.5^{+2.1}_{-1.5} \cdot 10^{-3}$ cts/(keV·kg·yr), FWHM: 4.0(2) keV
 - BEGe: $7^{+11}_{-5} \cdot 10^{-4}$ cts/(keV·kg·yr), FWHM: 3.0(2) keV
- $\text{Bck}/\varepsilon = 3.5$ cts/(FWHM ton yr) [BEGe]



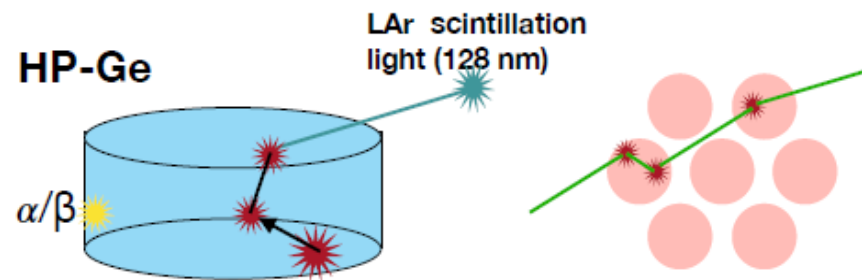
Background reduction

Signal



Single Site Energy (SSE)
deposition inside the HP-Ge
diode

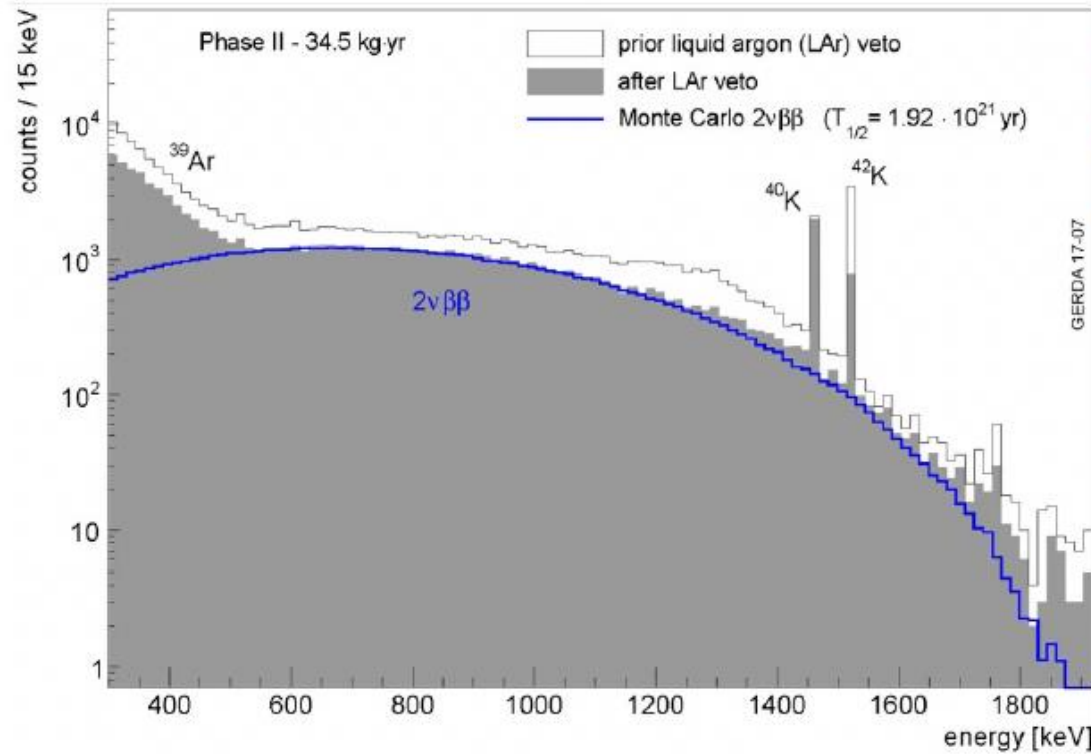
Background



Multi Site Energy (MSE)
deposition inside the HP-Ge
or surface events

- Anticoincidence with the muon veto: **MV**
- Anticoincidence between detectors (cuts multi site): **AC**
- Active veto using LAr scintillation: **LAr Veto**
- Pulse shape discrimination: **PSD**

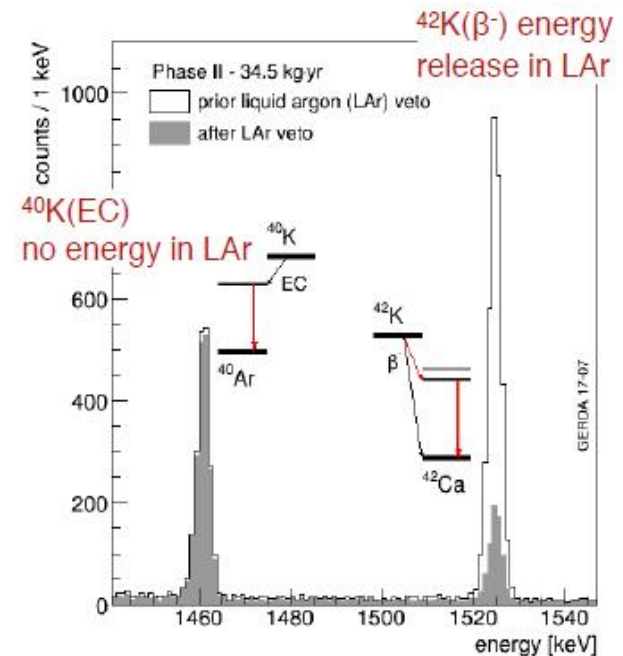
LAr veto background suppression



γ -lines from:

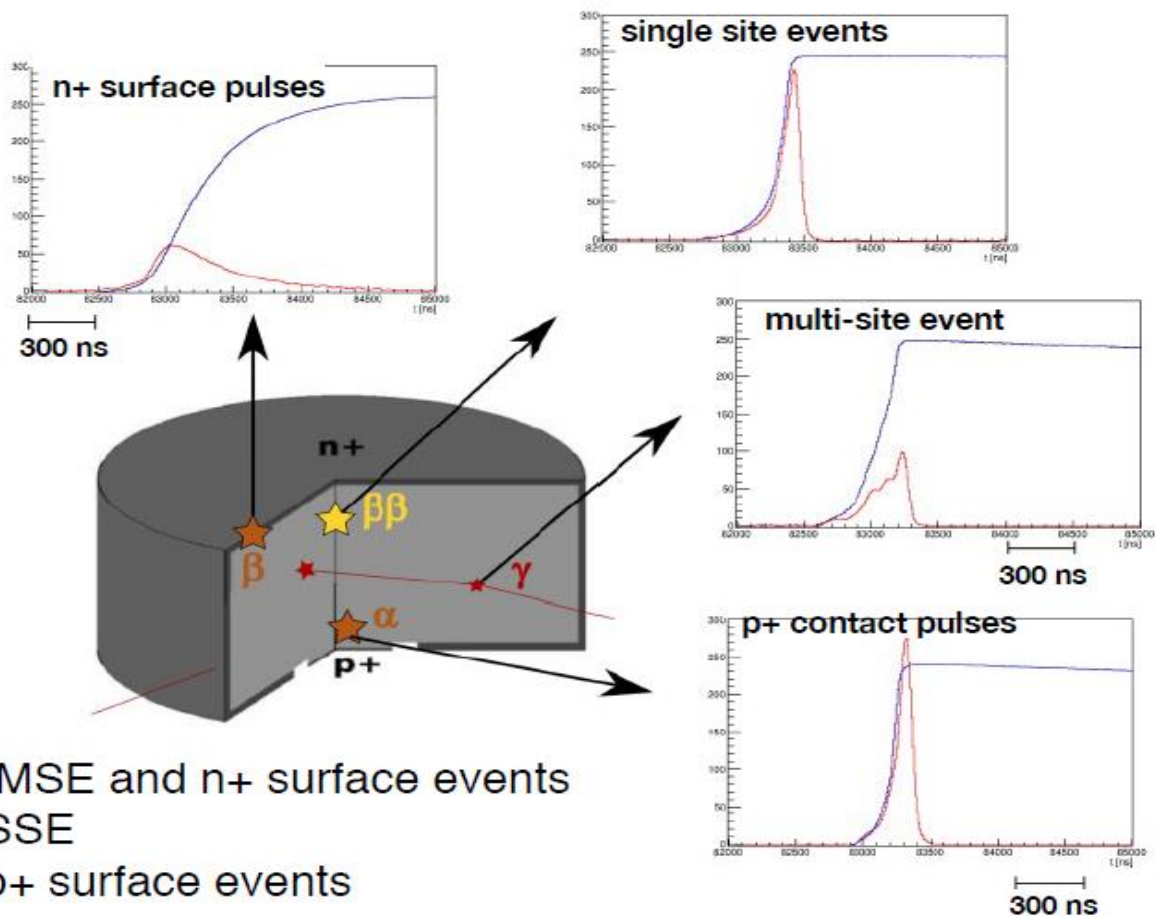
- $^{40}\text{K} \rightarrow ^{40}\text{Ar} + \gamma$ (1.4 MeV) [EC]
- $^{42}\text{K} \rightarrow ^{42}\text{Ca} + \gamma$ (1.5 MeV)
+ e^- (up to 2 MeV)

- $^{40}\text{K}/^{42}\text{K}$ Compton continuum fully suppressed
- LAr veto generates 2.3% dead time
- $T_{1/2}^{2\nu} = 1.92 \cdot 10^{21}$ yr taken from GERDA Phase I



Pulse Shape Discrimination: BEGe

Event classification using the Current/Energy ratio, i.e. A/E variable




$A/E < 1$ MSE and n+ surface events

$A/E \sim 1$ SSE

$A/E > 1$ p+ surface events

Statistical analysis

dataset	exposure [kg·yr]	FWHM [keV]	ϵ	BI [10^{-3} cts/(keVkgyr)]
PI golden	17.9	4.3(1)	0.57(3)	11 ± 2
PI silver	1.3	4.3(1)	0.57(3)	30 ± 10
PI BEGe	2.4	2.7(2)	0.66(2)	5^{+4}_{-3}
PI extra	1.9	4.2(2)	0.58(4)	5^{+4}_{-3}
PIIa coaxial	5.0	4.0(2)	0.53(5)	$3.5^{+2.1}_{-1.5}$
PIIb BEGe	18.2	2.9(1)	0.60(2)	$1.0^{+0.6}_{-0.4}$


GERDA
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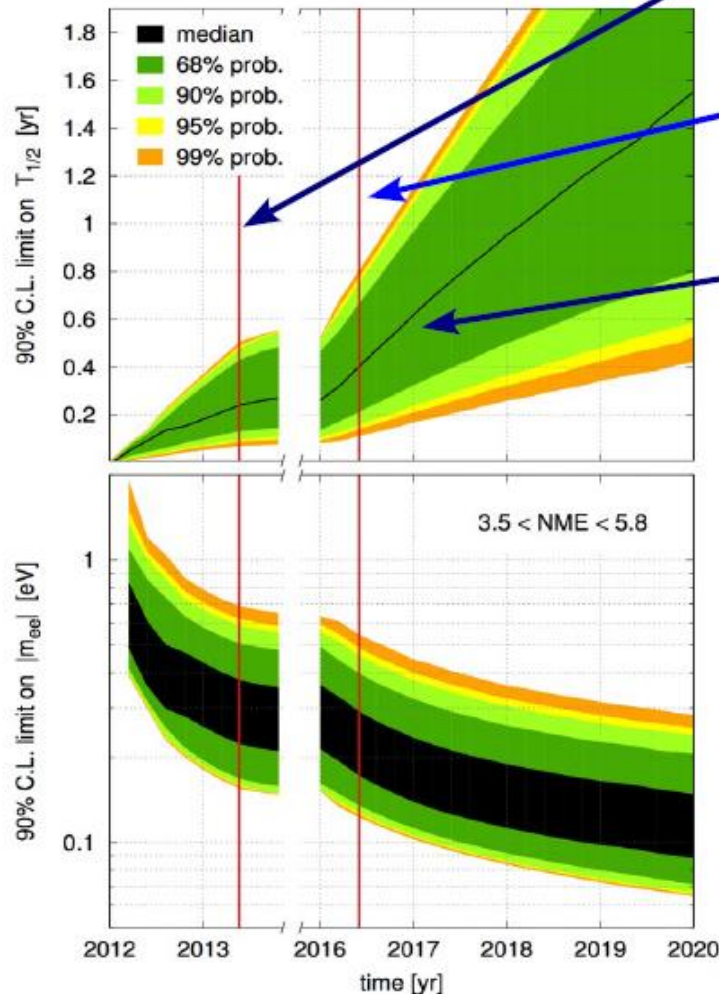
Total exposure 46.7 kg

Combined unbinned maximum likelihood fit (flat background + gaussian signal) of the 6 spectra:

- **Frequentist**: test statistics and method as in Cowan et al., EPJC 71 (2011)1554 (2 side test statistics)
- **Bayesian**: flat prior on $1/T_{1/2}^{0\nu}$ between 0 and 10^{-24} yr⁻¹
- Systematic uncertainties folded as pull terms or by Monte Carlo

Next steps

Future ...



- **Phase I:** (23.5 kg·yr)
 - ◆ Sensitivity: **$2.4 \cdot 10^{25}$ yr**
 - ◆ Limit: $T_{1/2}^{0\nu} > 2.1 \cdot 10^{25}$ yr (90% CL)
- **Phase II a:** (Phase I + **10.8 kg·yr**)
 - ◆ Sensitivity: **$4.0 \cdot 10^{25}$ yr**
 - ◆ Limit: $T_{1/2}^{0\nu} > 5.3 \cdot 10^{25}$ yr (90% CL)
- **This release** (Phase IIa + **12.4 kg·yr**)
 - ◆ Sensitivity: **$5.8 \cdot 10^{25}$ yr**
 - ◆ Limit: $T_{1/2}^{0\nu} > 8.0 \cdot 10^{25}$ yr (90% CL)
- **Already available:**
 - ◆ **11.2 kg·yr** of validated coax data
 - ◆ **~5 kg·yr** of data (Coax & BEGe) taken after Apr 15th
- The sensitivity of **10^{26} yr** will be reached in the middle of **2018**
- **Final goals:**
 - ◆ 100 kg·yr @ BI = 10^{-3} cts/(keV·kg·yr)
 - ◆ Sensitivity: **$1.3 \cdot 10^{26}$ yr**
 - ◆ Discovery potential up to **10^{26} yr** (50% prob. chance for a 3σ signal)