

# Estimation of Backgrounds in

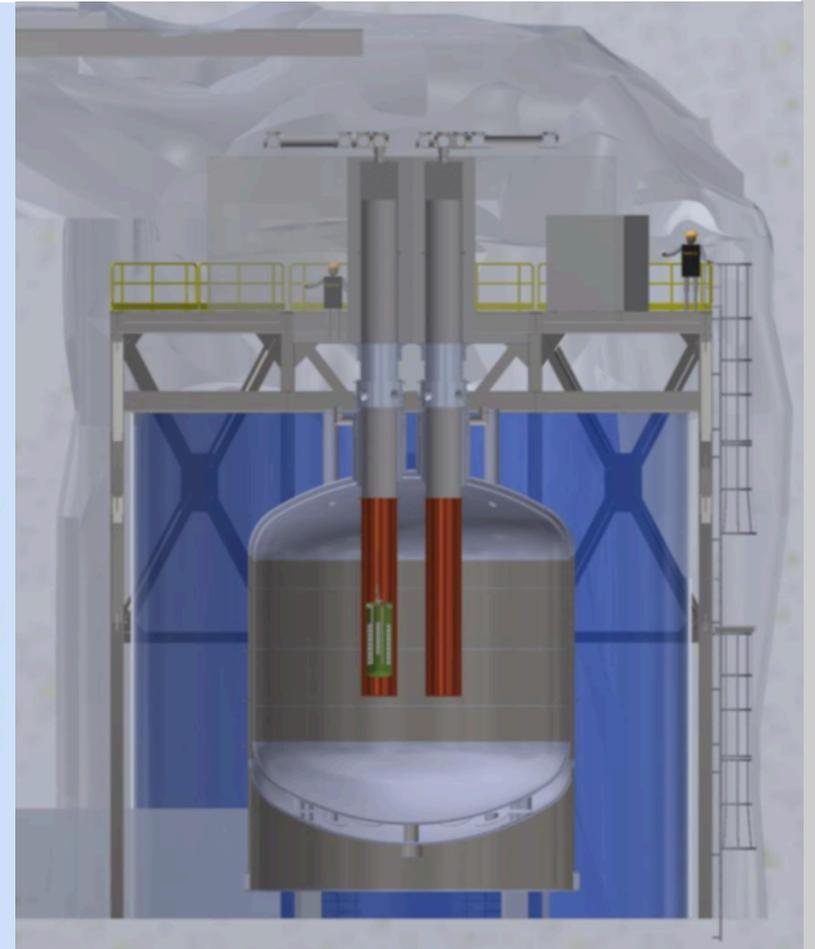


Large Enriched  
Germanium Experiment  
for Neutrinoless  $\beta\beta$  Decay

Matthew P. Green

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The 5th International Conference on Particle Physics  
and Astrophysics (ICPPA)



**NC STATE**  
UNIVERSITY

 **OAK RIDGE**  
National Laboratory

 **TUNL**  
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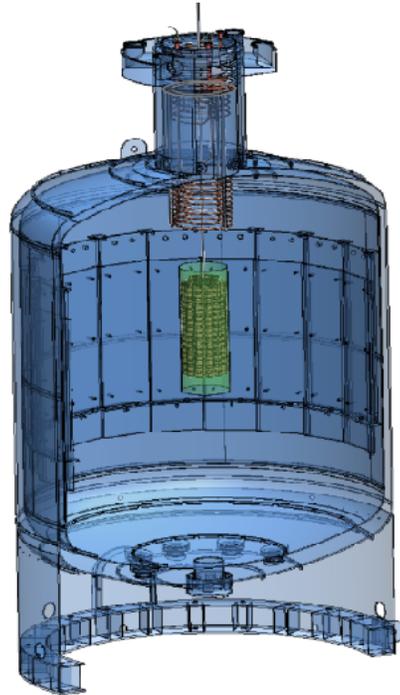
**LEGEND mission:** “The collaboration aims to develop a phased,  $^{76}\text{Ge}$  based double-beta decay experimental program with **discovery potential** at a half-life **beyond  $10^{28}$  years**, using existing resources as appropriate to expedite physics results.”

**LEGEND strategy:** **Select the best technologies** based on what has been learned from **GERDA** and the **MAJORANA DEMONSTRATOR**, as well as contributions from other groups and experiments.

<b>MAJORANA</b> Radiopure nearby parts Low noise / Low threshold electronics	<b>GERDA</b> LAr veto Low-A shield	<b>Both:</b> Clean fabrication techniques Surface exposure control Development of large point-contact detectors
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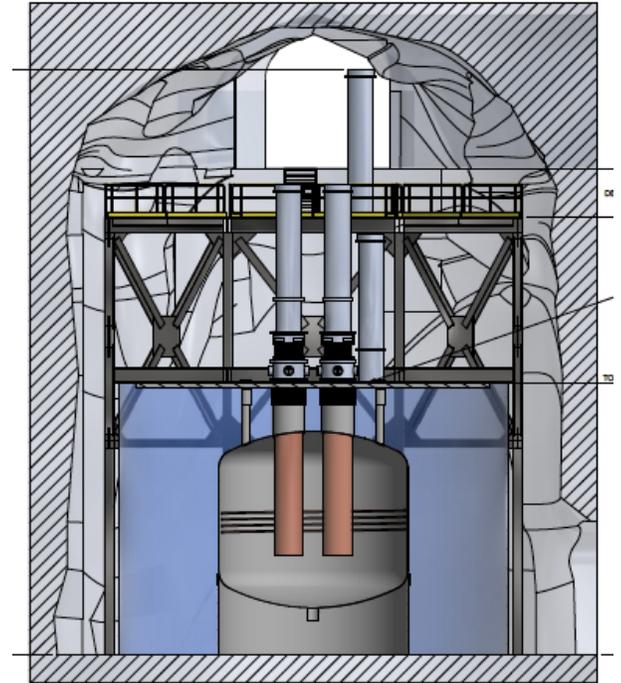
## LEGEND-200

- 200 kg  $^{\text{enr}}\text{Ge}$  in upgrade of existing infrastructure at LNGS
- Resolution: **2.5 keV FWHM**
- BG goal:  
    **< 0.6 cts/(FWHM t yr)**
- Will use GERDA and MAJORANA DEMONSTRATOR enriched detectors
- Data start **~2021**



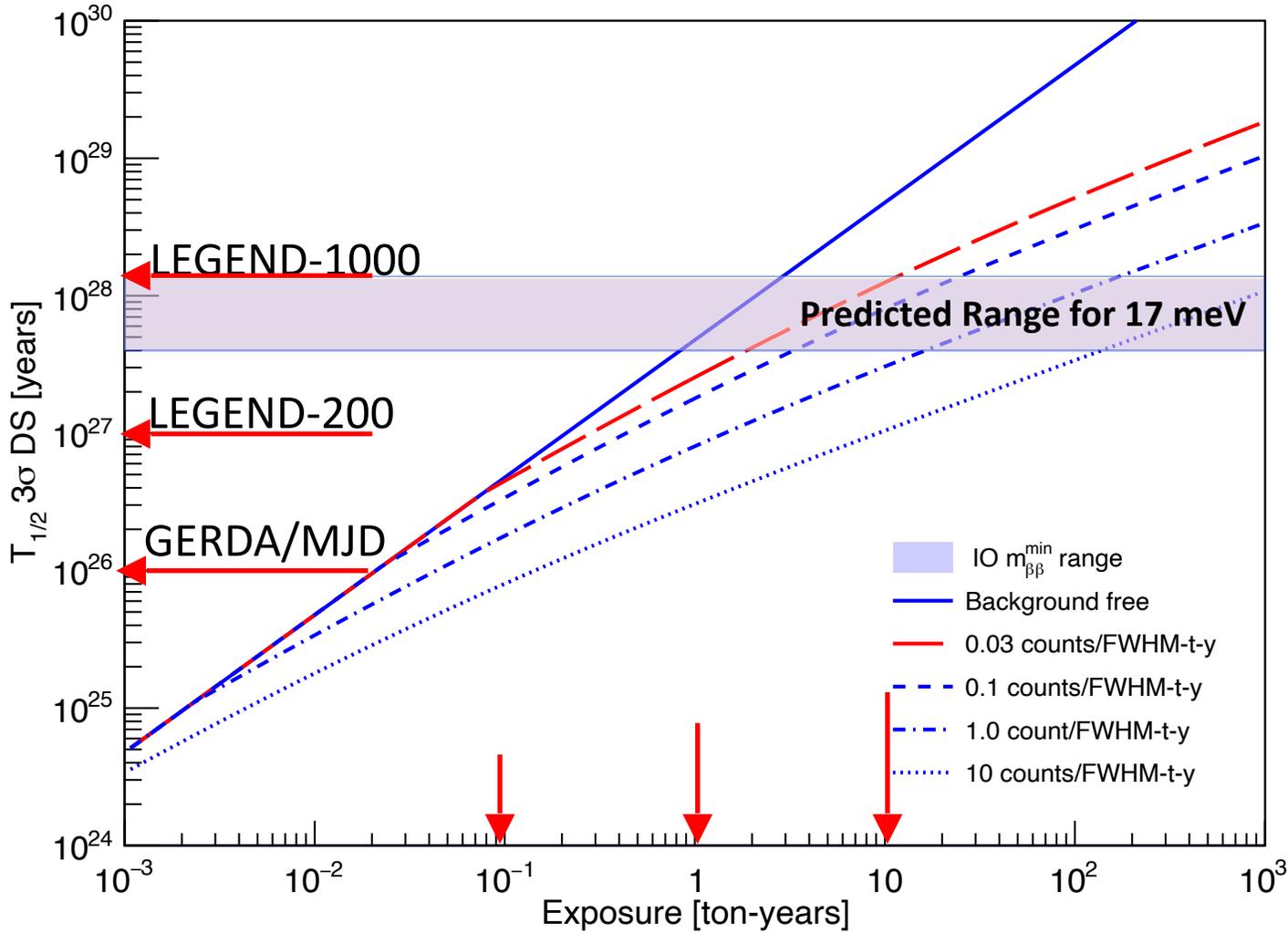
## LEGEND-1000

- 1000 kg  $^{\text{enr}}\text{Ge}$  (staged)
- Resolution: **2.5 keV FWHM**
- BG goal:  
    **< 0.03 cts/(FWHM t yr)**
- UG Location to be selected



# Ge Discovery Potential

$^{76}\text{Ge}$  (88% enr.)



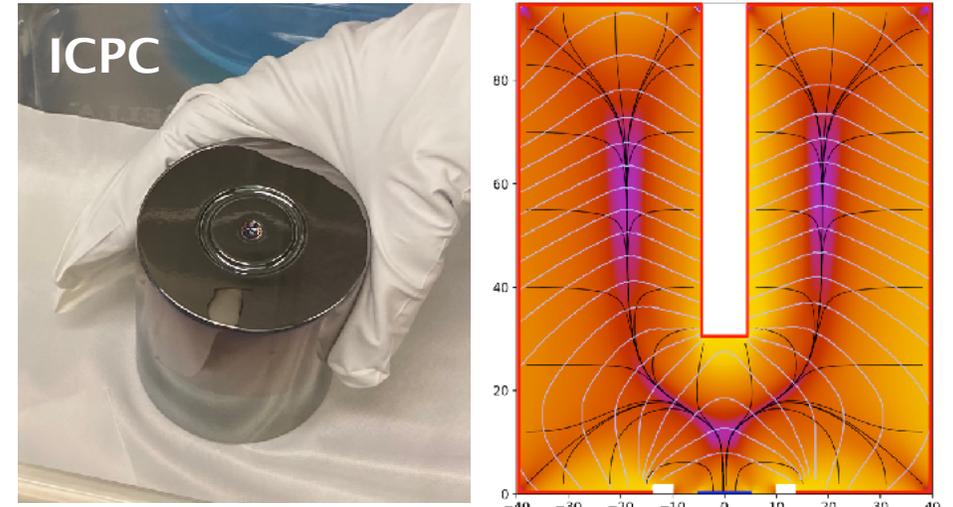
$>10^{28}$  yr or  $m_{\beta\beta} = 17$  meV for worst case matrix element of 3.5 and unquenched  $g_A$ .

$3\sigma$  discovery level to cover inverted ordering, given matrix element uncertainty.

# The LEGEND Approach to Backgrounds

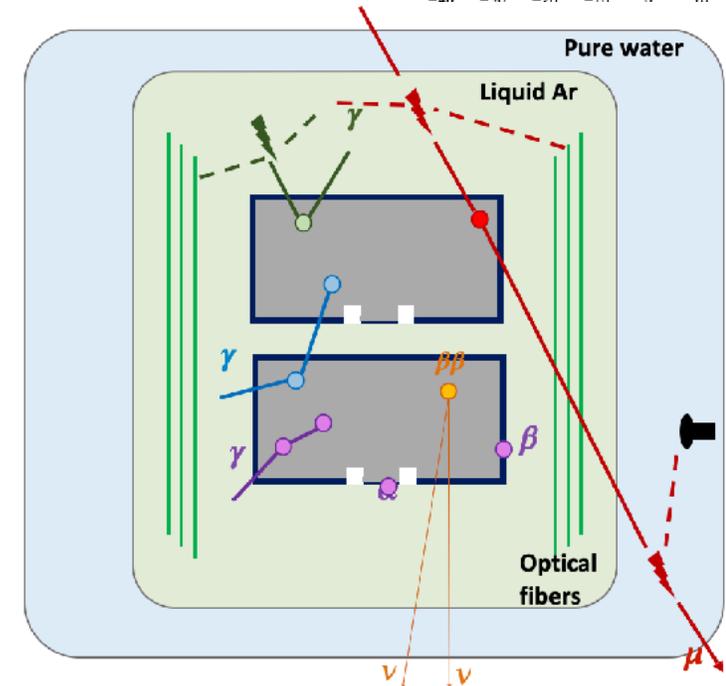
- **Minimizing radioactive background:**

- **Excellent energy resolution** afforded by PPC HPGe detectors (2.5 keV FWHM) yields a narrow  $0\nu\beta\beta$  acceptance region
- **Radiopure materials** developed for use in the MAJORANA DEMONSTRATOR and GERDA are used throughout LEGEND-200 and LEGEND-1000
- **Large detectors** with masses in excess of 2 kg each (new ICPC detector design) to reduce backgrounds that scale with channel count.



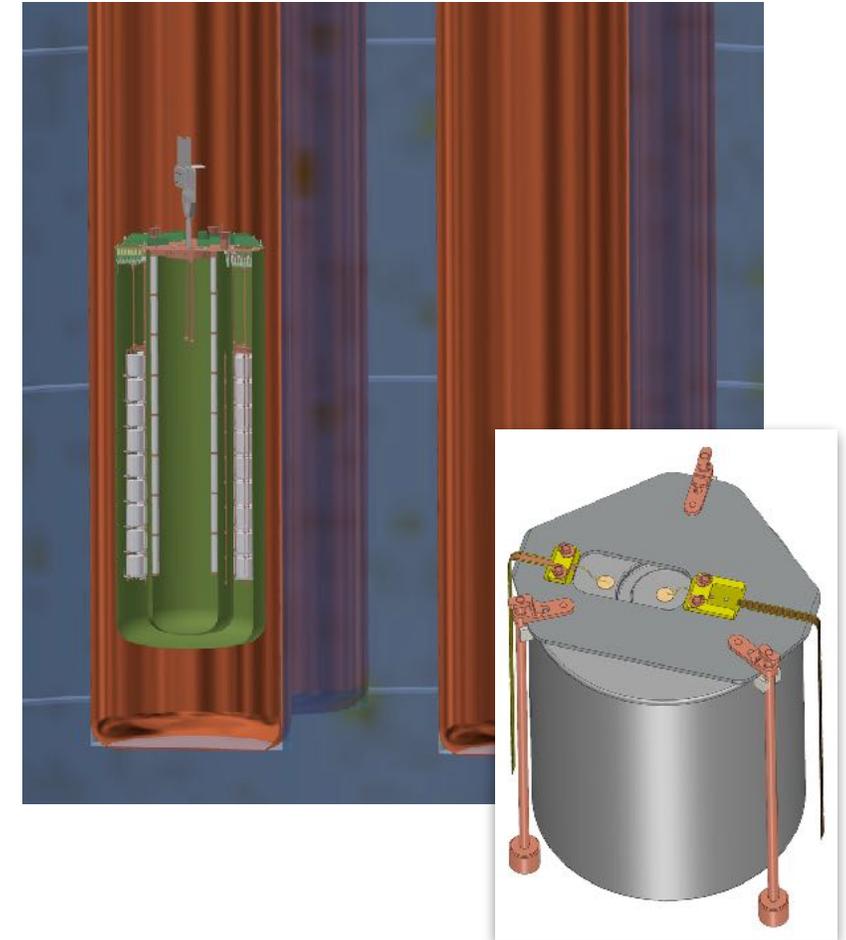
- **Active background suppression:**

- **Array granularity** removes multi-detector coincident events.
- **Liquid argon veto** and low-mass components to maximize event energy collection and reject Compton scatter events
- **Pulse-shape discrimination** selects single-site events within germanium detectors ( $0\nu\beta\beta$  events are characteristically single-site)



- Trace radioimpurities in **components near the array.**
- Cosmogenically-produced  $^{42}\text{Ar}$  **present in LAr** active shield.
- **Cosmogenic activation of  $^{\text{enr}}\text{Ge}$**  through material transport, detector fabrication, and detector delivery to site.
- **Alpha decays** on sensitive detector surfaces.
- Gamma and neutron backgrounds from **cryostat materials.**
- **Muon-Induced** backgrounds, prompt & delayed.

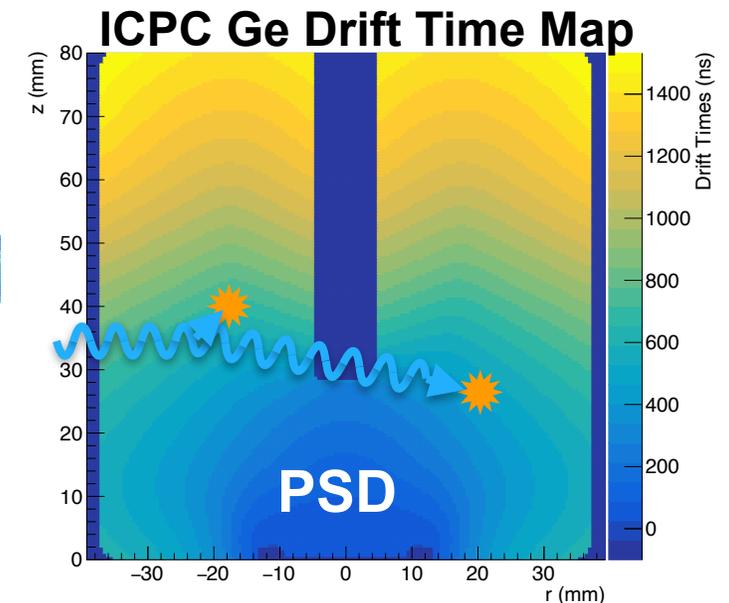
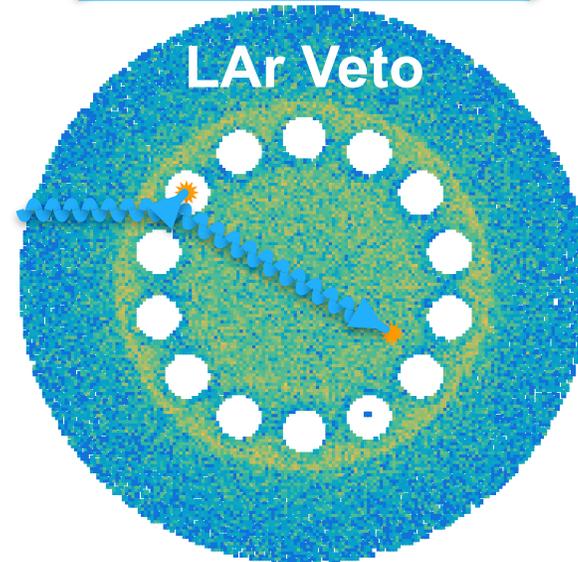
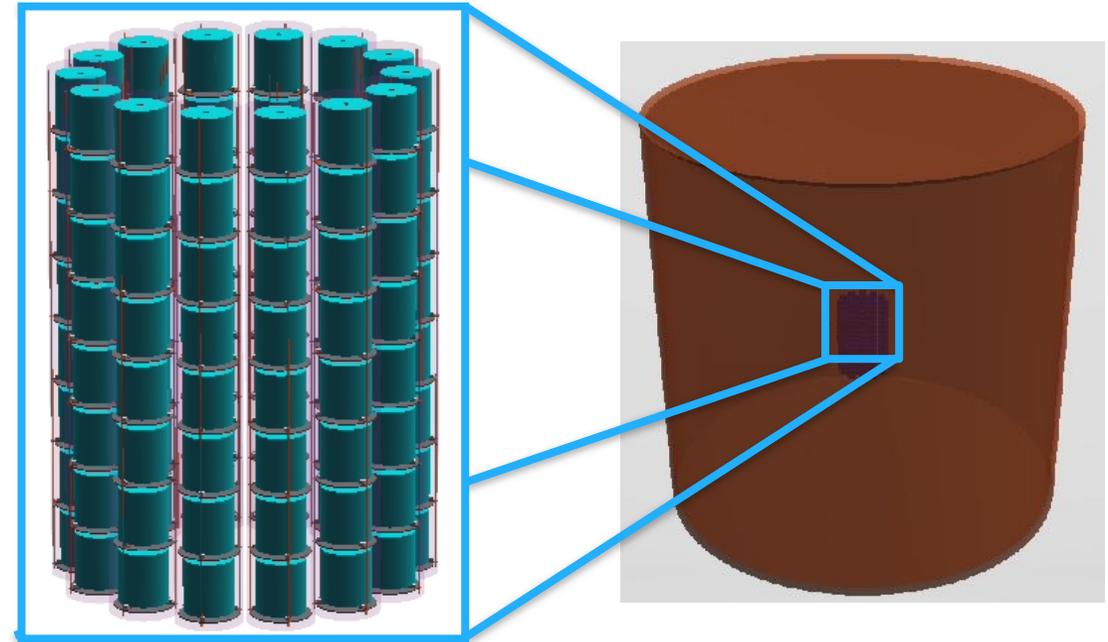
LEGEND-1000 4-Payload  
Baseline Configuration



LEGEND Detector Unit

# Background Simulations Overview

- MC simulations performed in Geant4 application: **MaGe**
  - Developed and used by GERDA and MAJORANA
  - Records energy-depositing steps in Ge, LAr
- Post-processing in **GAT**
  - Applies detector response to stepping data (resolution, transition layer)
  - Calculates expected number of detected scintillation photons in LAr veto
  - Estimates Pulse-Shape Discrimination



- Scintillation light propagation simulated separately from radioactive decays
- Photon detection probability maps generated

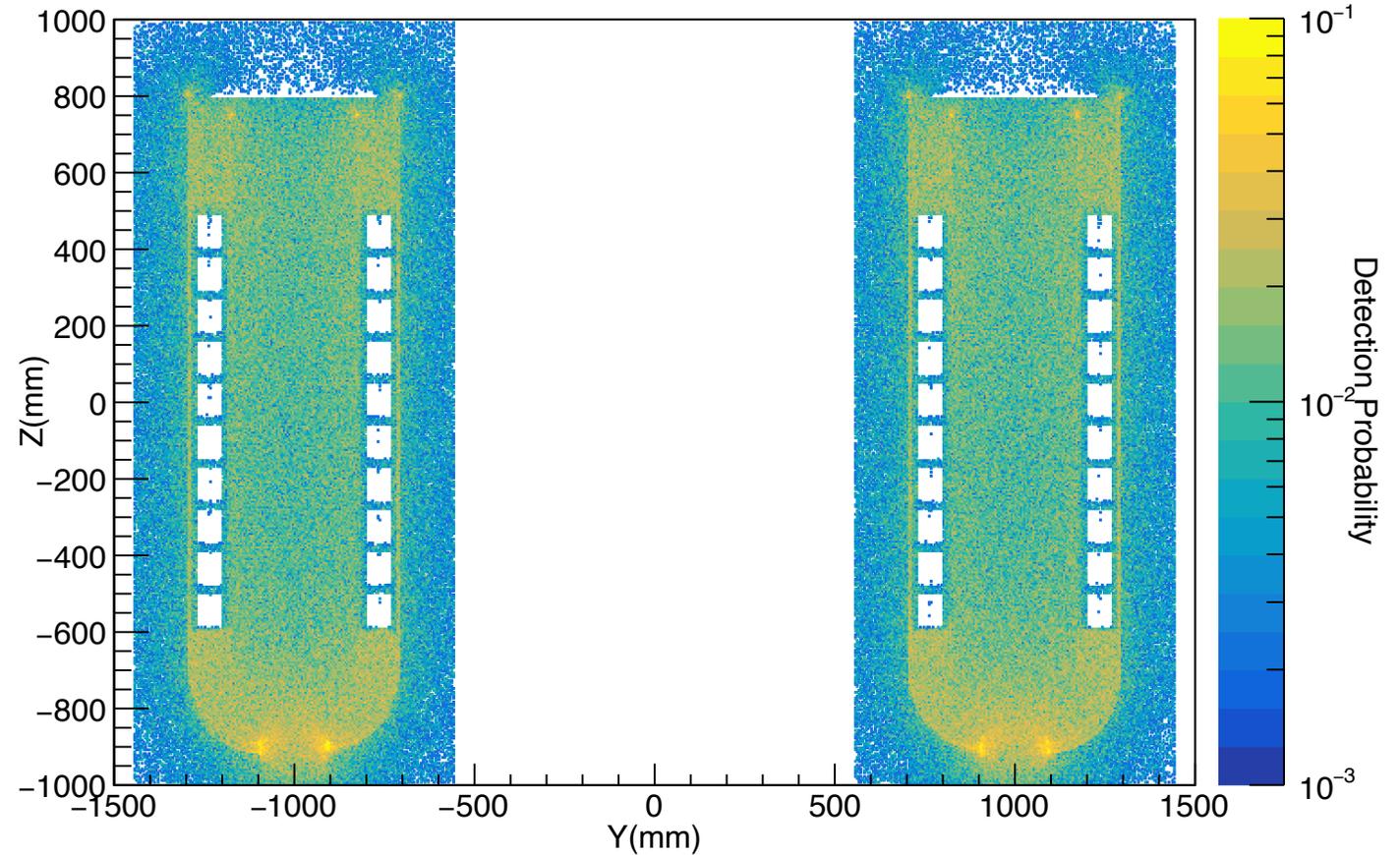
- Internal to the array: 3D (x, y, z) map, (5mm)<sup>3</sup> voxels
- External to the array: 2D (r, z) map

$$\langle NPEs \rangle = \sum_i^{\text{steps}} E_i \times P_i(x, y, z) \times Y \times QE$$

- For these calculations:
  - LAr photon yield (Y): 20,000 / MeV
  - SiPM QE: 0.164
- LAr veto cut emulated by weighting each event by the likelihood of detecting 0 PEs, assuming Poisson distribution with mean  $\langle NPEs \rangle$ :

$$W_{event} = \exp(-\langle NPEs \rangle)$$

## LEGEND-1000 Photon Detection Probability



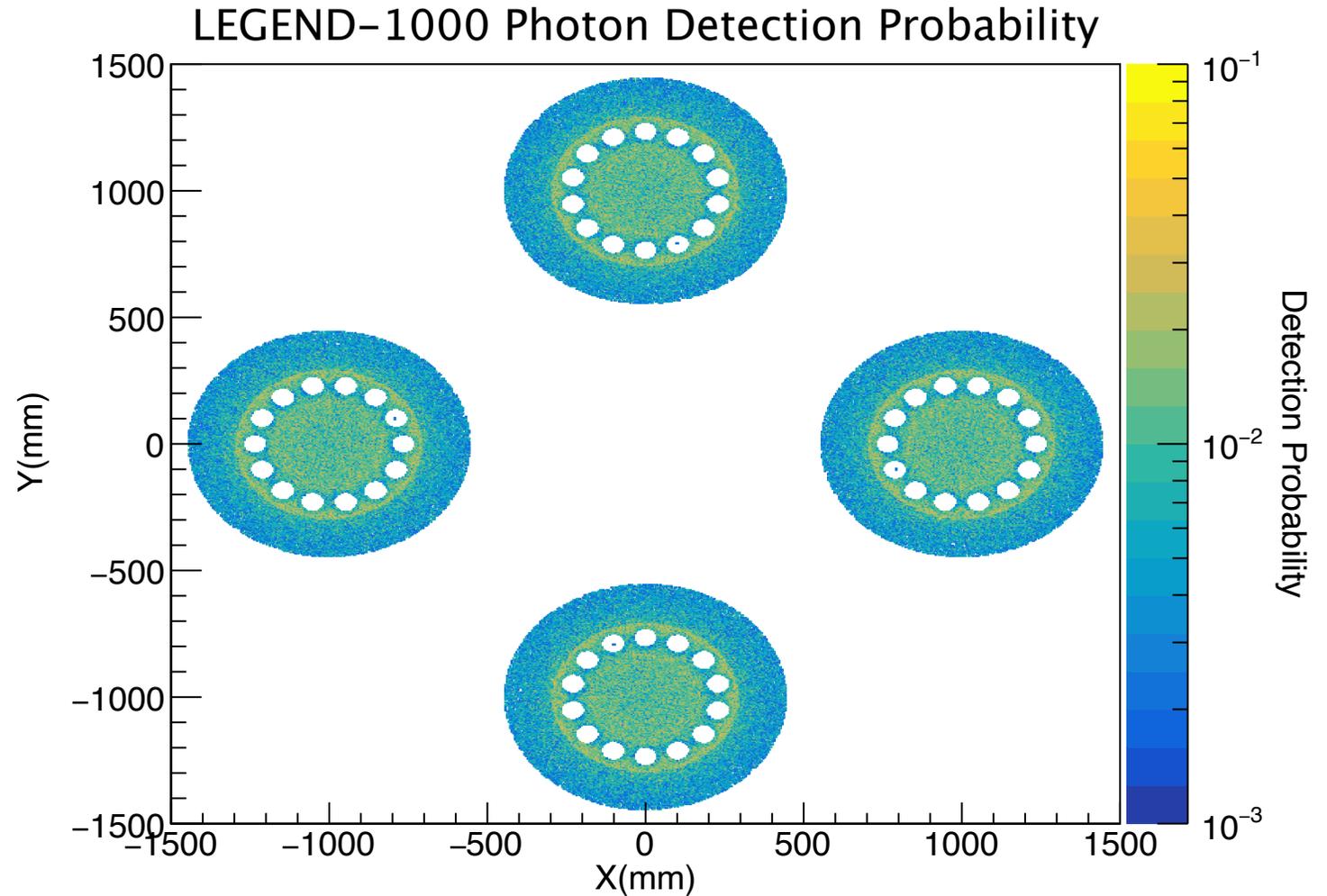
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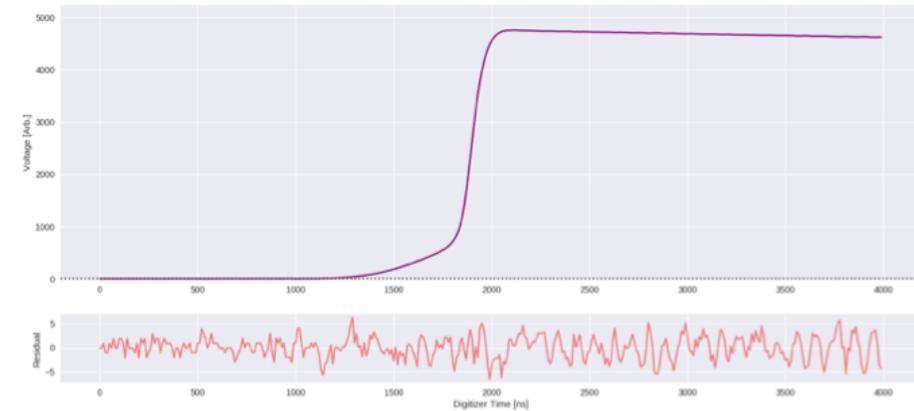
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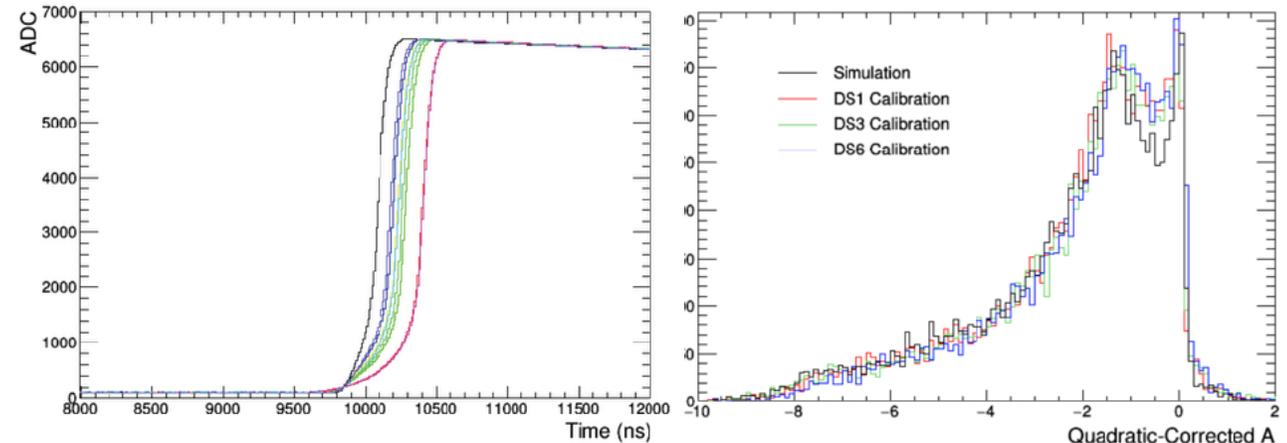
$$W_{event} = \exp(-\langle NPEs \rangle)$$



- Currently use drift time separation heuristic method to estimate PSA effectiveness for multi-site gamma events.
  - Tuned to detector characterization measurements.
- Beta n+ response modeling requires PSS.
- Pulse Shape Simulations:
  - SIGGEN-based PSS developed for MJD simulations post-processing. Requires understanding of electrical response of individual channels.
  - Parameters can be set and tuned for prototypical ICPC detector, post-processing evaluated for LEGEND sims.



Simulation Calibration Data



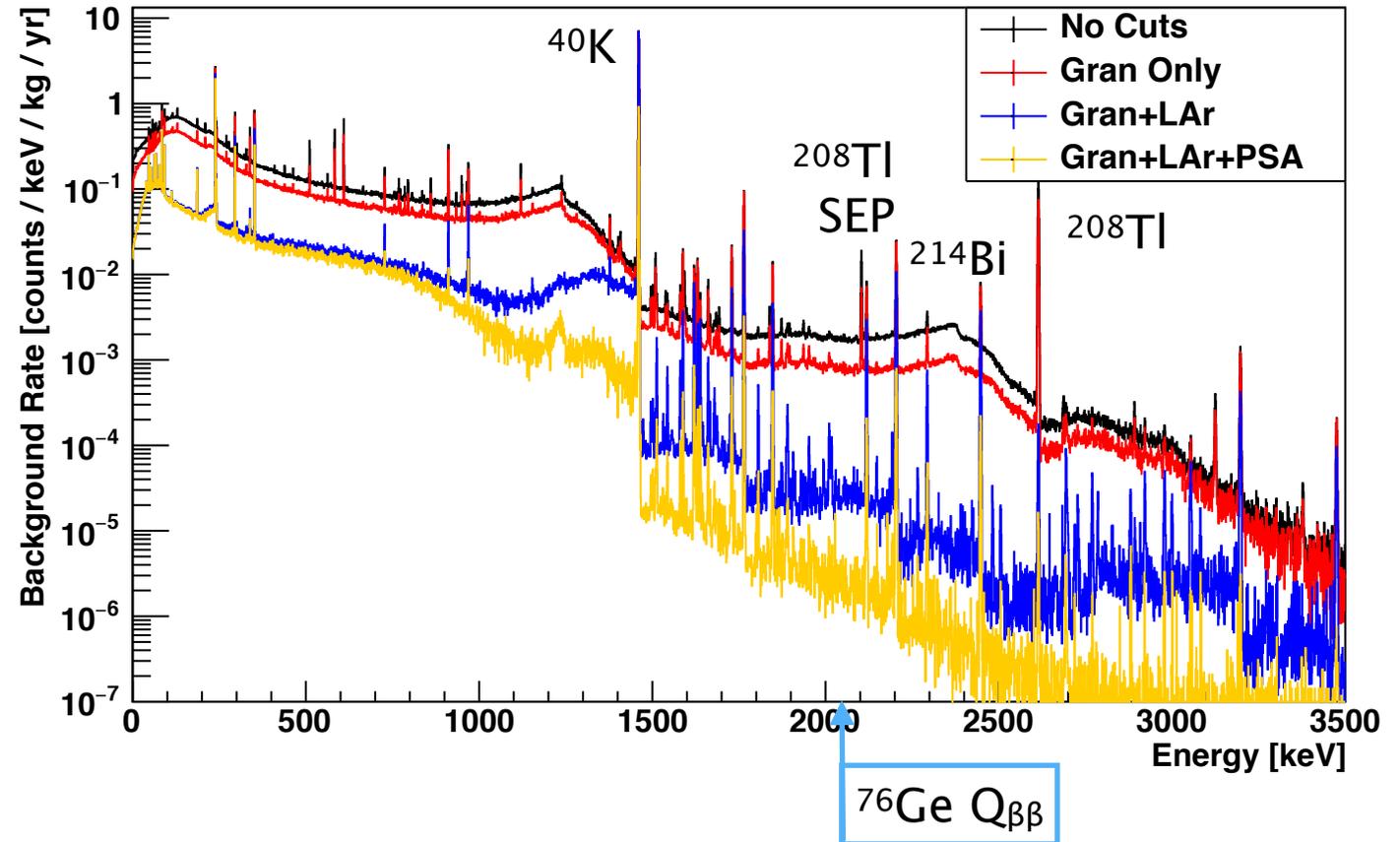
FEP  $A_{\text{corr}}$  Comparison

**370-keV window [1950-2350, excluding known gamma lines] used for estimation of efficiencies and background indices**

**Efficiency (counts / decay / keV) calculated for sequentially applied background suppression cuts:**

- No cuts
- Granularity Cut: single germanium detector only
- LAr: Event weighted by likelihood of not triggering LAr veto
- PSA: Drift time separation below threshold (16ns for 1 MeV)

### LEGEND-1000 expected U/Th/K Backgrounds



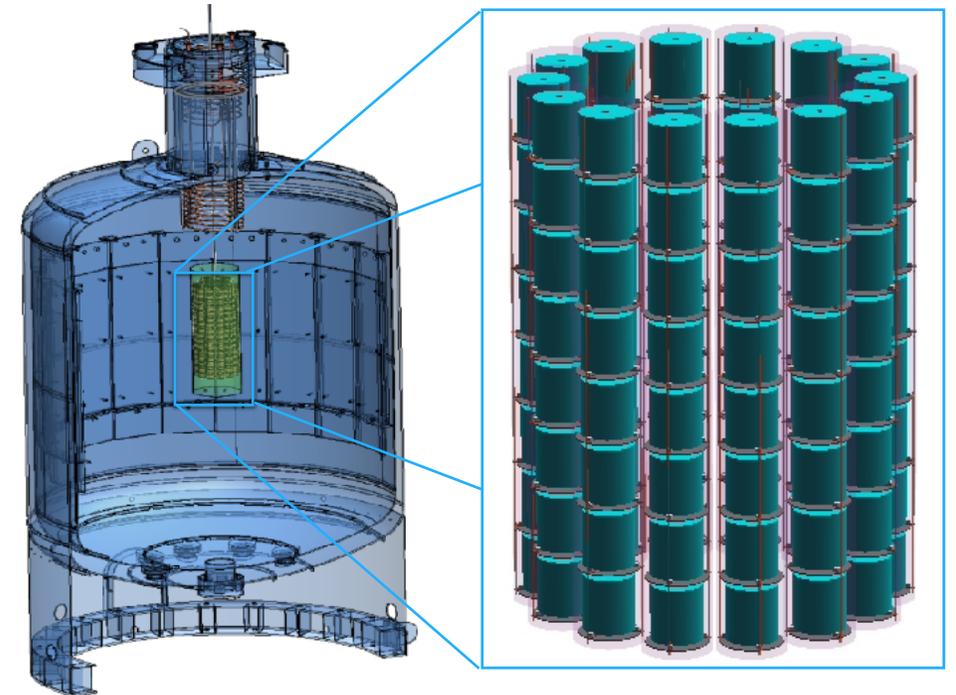
Estimated Background Suppression:

$^{238}\text{U}$  chain:  $10^2$

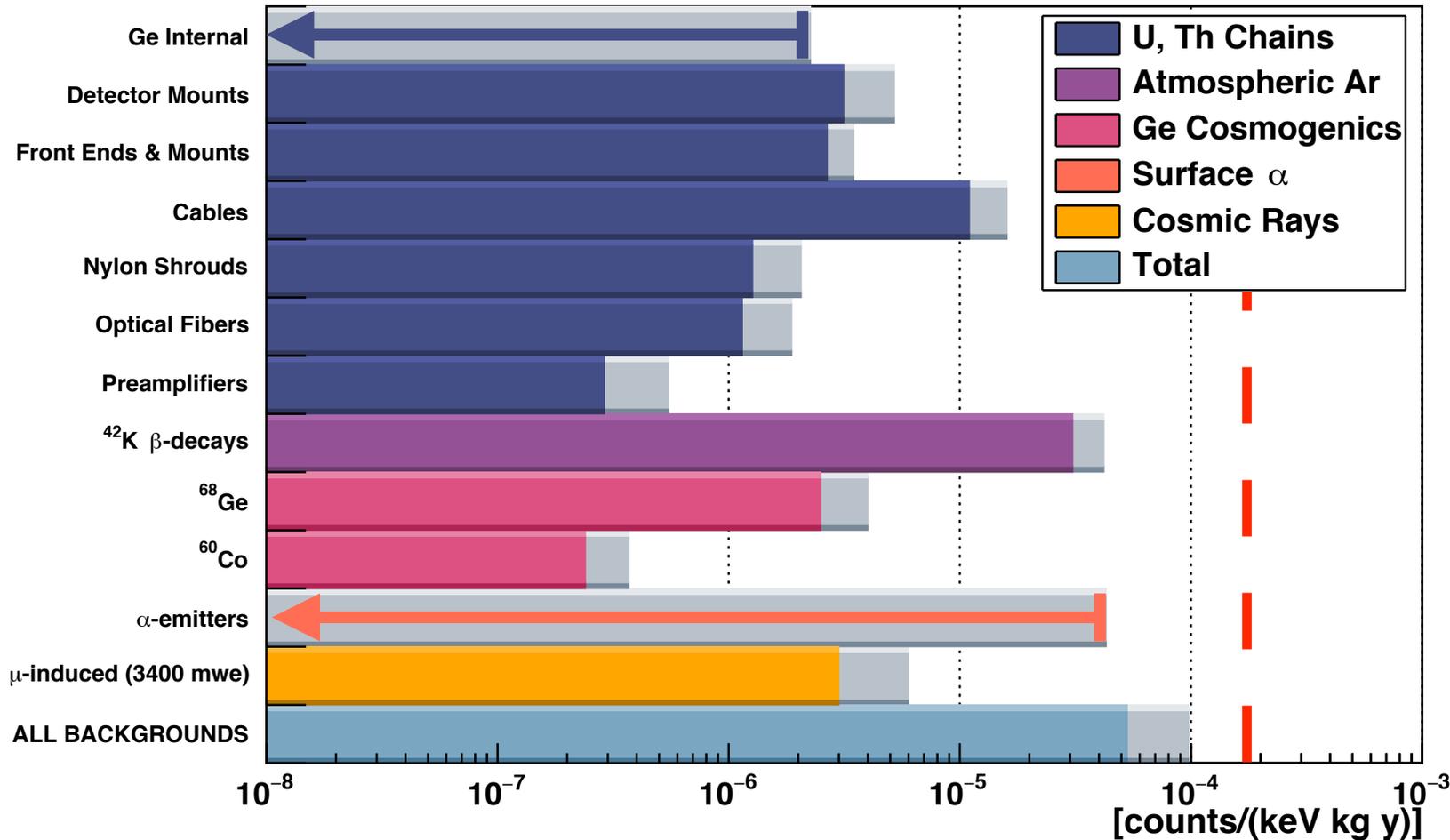
$^{232}\text{Th}$  chain:  $10^4$

## Improvements over GERDA:

- Improved material radiopurity.
- Sparse array lowers likelihood for detection at  $0\nu\beta\beta$  energy.
- Improved rejection through larger detectors (PSD) and better scintillation light collection.



# LEGEND-200 Background Projections



Background contributions near  $Q_{\beta\beta}$  after all cuts

Monte Carlo + data-driven projections of Ge U/Th,  $^{42}\text{K}$ ,  $\alpha$  based on GERDA, MJD data

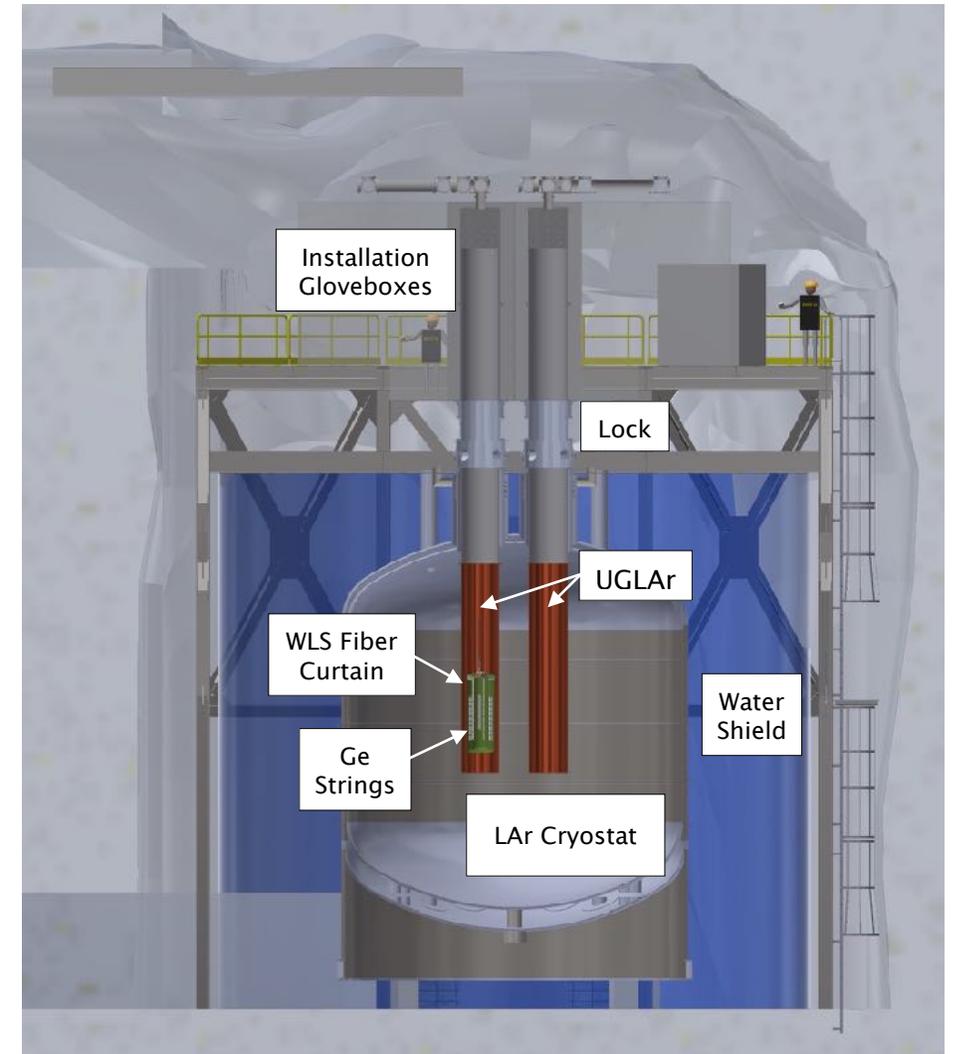
All others: Monte Carlo + assay-based projections

Grey bands indicate uncertainties in assays and background rejection

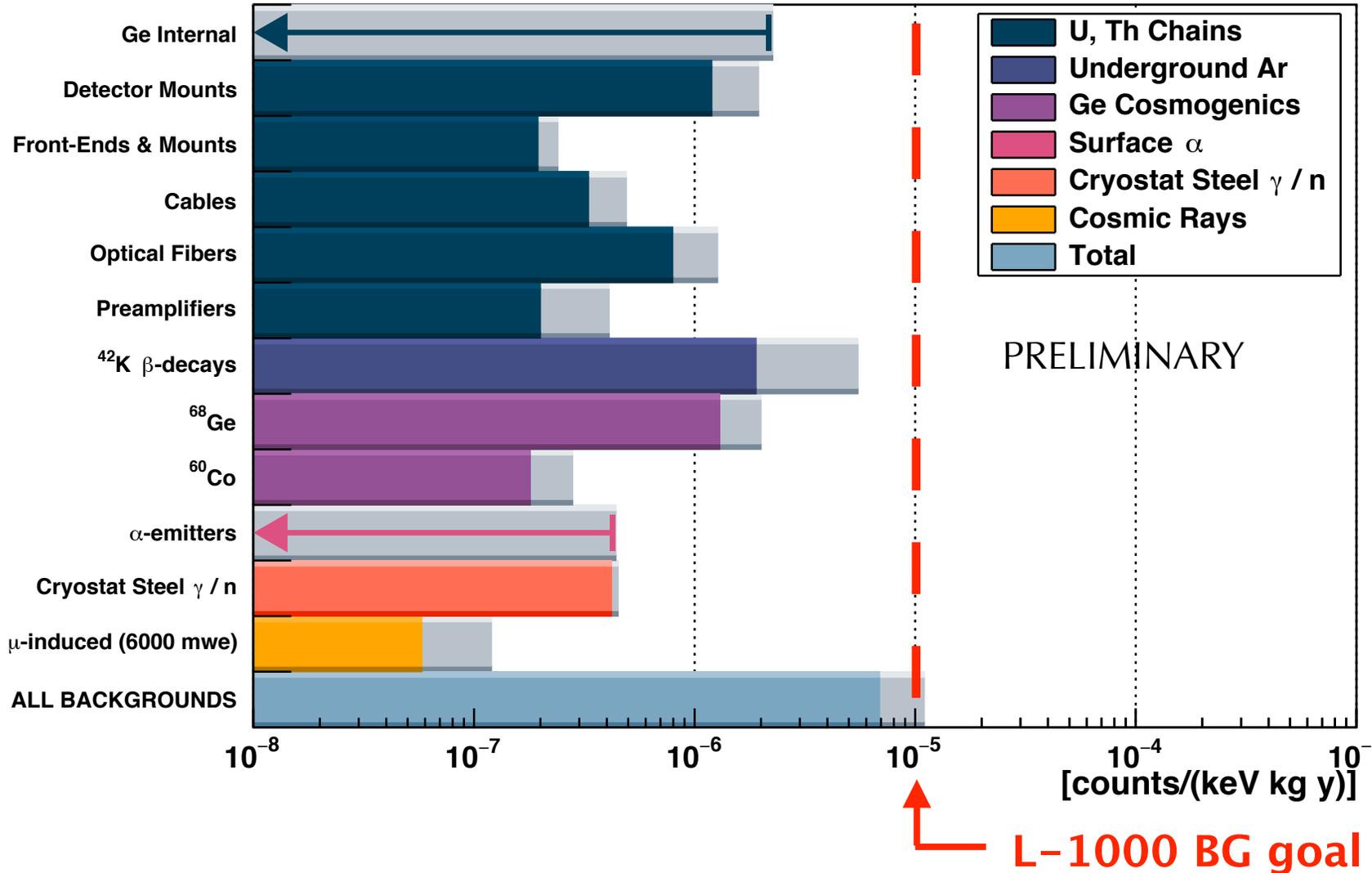
↑ L-200 BG goal

## Improvements over LEGEND-200:

- Argon sourced from underground deposits reduced in  $^{42}\text{Ar}$  (pioneered by DarkSide).
- Additional overburden to reduce muon-induced backgrounds (prompt & delayed).
- New detector designs: larger mass, less sensitive to surface  $\alpha$ -emitters.
- Lower background front-end electronics (ASIC) and cabling.



# LEGEND-1000 Background Projections

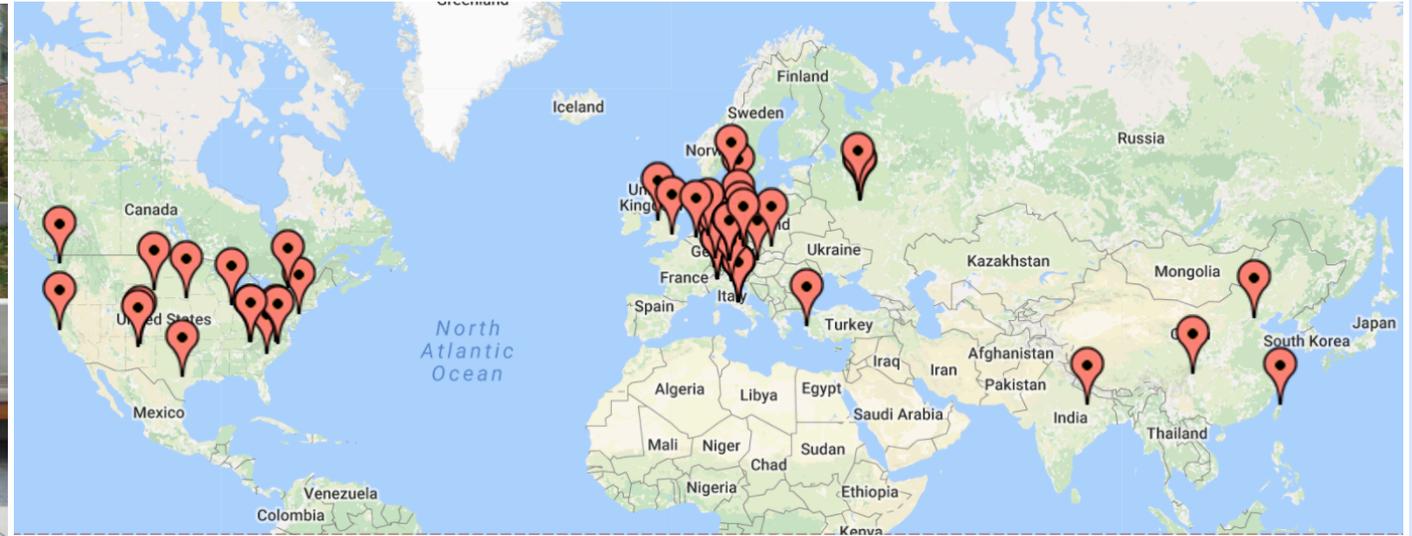


Reductions for LEGEND-1000:

- **U/Th**: optimized array spacing, minimize opaque materials, larger detectors, better light collection, cleaner materials
- **$^{42}\text{Ar}$** : drastically reduced by using underground sourced Ar
- **$\mu$ -induced**: Improved shielding, SNOLab depth assumed
- **Surface  $\alpha$ 's**: assumes achieved UL for BEGs and ICPCs in GERDA

- LEGEND-200, a straightforward design evolution of GERDA and the MAJORANA DEMONSTRATOR, is very likely to meet its background goal of  **$2 \times 10^{-4}$  counts/(keV-kg-yr)**, enabling discovery sensitivity for  $0\nu\beta\beta$  lifetimes in excess of  $10^{27}$  years within 5 years of operation.
- The LEGEND-1000 baseline design, which further builds upon this model, is projected to reach a background below its goal of  **$1 \times 10^{-5}$  counts/(keV-kg-yr)**, enabling  $0\nu\beta\beta$  discovery sensitivity at half-lives in excess of  $10^{28}$  years within 10 years of operation.
- As simulations are completed and processed, and new material assays performed, this model will be refined and the background estimate updated.
- Detector characterization measurements, LEGEND-200 data will validate LEGEND-1000 background model, drive adjustments to methods and estimates as necessary to inform necessary design modifications.

# The LEGEND Collaboration



Univ. New Mexico  
L'Aquila University and INFN  
Lab. Naz. Gran Sasso  
University Texas, Austin  
Tsinghua University  
Lawrence Berkeley Natl. Lab.  
University California, Berkeley  
Leibniz Inst. Crystal Growth  
Comenius University  
University of Warwick

University of North Carolina  
Sichuan University  
University of South Carolina  
Tennessee Tech University  
Jagiellonian University  
University of Dortmund  
Technical University Dresden  
Joint Inst. Nucl. Res.  
Duke University

Triangle Univ. Nuclear. Lab.  
Joint Research Centre, Geel  
Max Planck Institute, Heidelberg  
Queens University  
University Tennessee  
Lancaster University  
University Liverpool  
University College London  
Los Alamos National Lab.

INFN Milano Bicocca  
Milano University and Milano INFN  
Institute Nuclear Research Russ. Acad. Sci.  
Lab. Exper. Nucl. Phy. MEPhI  
Max Planck Institute, Munich  
Padova University  
Padova INFN

Oak Ridge National Laboratory  
Czech Technical University Prague  
North Carolina State University  
South Dakota School Mines Tech.  
University Washington  
Academia Sinica  
University Tübingen  
University South Dakota  
University Zurich

48 Institutions, ~240 Scientists