Influence of the photoelectric effect occurring at the PMT first dynode on the RED-100 detector performance

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The RED-100 detector

- Two-phase xenon emission detector to search for coherent elastic neutrino-nucleus scattering (CEvNS);
- \( \sim 250 \text{ kg of Xe}, \sim 100 \text{ kg in fiducial volume} \) (for the “wall-less” regime);
- Sensitivity to \( \sim 1 \text{ keV} \) recoil energies;
- 38 (32) Hamamatsu R11410-20 low-background 3” PMTs;
- To be exposed at a shallow-depth experimental site at the Kalinin Nuclear Power Plant (Russia).
Two-phase emission technology

Useful events are expected to have single photoelectron amplitude (at a single PMT). Waveforms of the expected signals from calibration gammas and cosmogenic muons are presented below for $9 \times 10^5$ PMT gain (2 mV/s.pe):

![Waveforms](image)

- Top PMT array
- $E_2$ - up to 5 kV/cm
- $E_1$ - up to 1 kV/cm
- Bottom PMT array
- ↑ Particle detection principle
Expected detector load

<table>
<thead>
<tr>
<th>Signal type</th>
<th>Gamma S2</th>
<th>Muon S1</th>
<th>Muon S2</th>
</tr>
</thead>
<tbody>
<tr>
<td>Average charge detected by each PMT, p.e.</td>
<td>$1.8 \times 10^4$</td>
<td>$6.3 \times 10^4$</td>
<td>$4.3 \times 10^7$</td>
</tr>
<tr>
<td>Impact to the expected AAC per PMT, $\mu$A</td>
<td>$\sim 0.41$</td>
<td>$\leq 0.14$</td>
<td>$\sim 98$</td>
</tr>
</tbody>
</table>

Average anode current (AAC) of a PMT in RED-100 does not exceed the 1 uA limit if muon S2 signals are blocked $\rightarrow 1\%$ gain stability.
Active PMT base

Positive pulses of ~350 V are supplied to the photocathodes of all PMTs less than in 1 us to block out muon S2 signals.
Photoelectric effect at the first dynode

Hamamatsu R11410-20 is equipped with large \(450 \text{ mm}^2\) first dynode (D1) open to the incoming light;

D1 is coated with \(K\text{-Cs-Sb}\) substance with low work function for the best SPE resolution of the PMT;

\[\downarrow\]

Some of the incoming VUV photons hit the D1 and induce a photoelectric effect \(\rightarrow\) signal generation at the PMT anode even when the photocathode-D1 gap is entirely blocked out.

*Do muon S2 signals produce enough electrons at D1 to affect the RED-100 photodetection system stability?*
Photoelectric effect at D1: experimental setup for the visible light
Photoelectric effect at D1: the results for the visible light

![Graph showing signal amplitude vs. blocking voltage for different wavelengths. The graph highlights suppression factors of ~100 times and ~2000 times suppression.]
Photoelectric effect at D1: VUV light experimental setup

The previous test is not sufficient to estimate the quantitative effect for the RED-100 conditions: all photons are focused at the D1 and are of a very different wavelength.

Experimental setup to simulate the PMT illumination conditions in the RED-100 detector:

1 – argon gas;  
2 – fused silica window (2 cm-thick);  
3 – anode grid (+4 kV);  
4 – xenon gas (6 bar pressure);  
5 – grounded grid;  
6 – cathode grid (-1 kV);  
7 – $^{239}$Pu alpha source.
SR = mean signal charge \( (U_{\text{PhC}}=0) \) 
mean signal charge \( (U_{\text{PhC}}=U_{\text{Blocking}}) \)
# Photoelectric effect at D1: results for the VUV light

<table>
<thead>
<tr>
<th>PMT ID</th>
<th>Best peak-to-valley [8]</th>
<th>Gain at 1500 V, *10^6</th>
<th>Q.E. at 175 nm, %</th>
<th>SR</th>
<th>Estimated muon S2 signal amplitude after suppression, mV</th>
<th>Estimated muon S2 signals impact to the PMT AAC, µA</th>
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</thead>
<tbody>
<tr>
<td>KB0018</td>
<td>1,9</td>
<td>2,5</td>
<td>34,0</td>
<td>810</td>
<td>3</td>
<td>0,12</td>
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<tr>
<td>KB0019</td>
<td>2,1</td>
<td>2,5</td>
<td>28,1</td>
<td>379</td>
<td>7</td>
<td>0,26</td>
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<td>KB0022</td>
<td>2,2</td>
<td>2,8</td>
<td>32,5</td>
<td>433</td>
<td>6</td>
<td>0,23</td>
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<td>37,3</td>
<td>510</td>
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<td>0,19</td>
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<td>530</td>
<td>5</td>
<td>0,18</td>
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<td>31,7</td>
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<td>0,24</td>
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<td>KB0586</td>
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<td>6,9</td>
<td>32,3</td>
<td>322</td>
<td>8</td>
<td>0,30</td>
</tr>
</tbody>
</table>
Estimation of the average Q.E. of the first dynodes of the tested PMTs

Due to the possible variation of the collection efficiency across the D1 area, this calculation represents only the lower limit of D1 Q.E. for 175 nm light:

\[
Q_{D1} \geq \frac{Q_{PhC} \cdot G_{(PhC-D1)\text{gap}}}{(SR - 1) \cdot (1 - Q_{PhC}) \cdot \frac{S_{D1}}{S_{PhC}}} = 10,6\%
\]

- \( Q_{D1} \) – quantum efficiency of the first dynode;
- \( Q_{PhC} \) – average quantum efficiency of the photocathode for the tested PMTs (0.33);
- \( G_{(PhC-D1)\text{gap}} \) – gain of the first interdynode gap (~13);
- \( SR \) – average suppression ratio of the tested PMTs when the PhC is biased to the potential higher, than the one at the D1 (440);
- \( S_{D1} \) – first dynode projection area to the photocathode (450 mm\(^2\));
- \( S_{PhC} \) – photocathode area (3215 mm\(^2\)).
No clear dependence of the measured SR values on the PMT gain or SPE characteristics was found:
Conclusion

- Cosmogenic muons pose significant difficulties for a stable RED-100 detector operation at a shallow-depth experimental site;
- Most of these difficulties are solved with the dedicated active base system, which blocks the photocathodes sensitivity upon a special trigger;
- Those muon S2 signal photons hitting the PMT first dynode would generate detectable signals at the PMT anode – it could be used for the free electron lifetime monitoring basing on the cosmogenic muon signals waveforms;
- Impact of those signals generated at the D1 to the average PMT anode current is significant, but nor critical for the PMT gain stability: variation of up to 2% is expected;
- Quantum efficiency of the first dynode in Hamamatsu R11410-20 PMT averaged over 13 tested pieces is ≥10.6%. 
Thank you for your attention!
Back-up slides