Prospects of the search for primordial quantum gravitational waves

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2nd International Conference on Particle Physics and Astrophysics ICPPA-2016
Moscow, Russia, 11.10.2016

Present status of inflation

The simplest best-fit inflationary models

Smooth potential reconstruction in the Einstein gravity

Smooth reconstruction of inflationary models in $f(R)$ gravity

Conclusions

Four epochs of the history of the Universe

$H \equiv \frac{\dot{a}}{a}$ where $a(t)$ is a scale factor of an isotropic homogeneous spatially flat universe (a Friedmann-Lemaître-Robertson-Walker background):

$$ds^2 = dt^2 - a^2(t)(dx^2 + dy^2 + dz^2) + small\ perturbations$$

The history of the Universe in one line: four main epochs

$$? \longrightarrow DS \Longrightarrow FLRWRD \Longrightarrow FLRWMD \Longrightarrow \overline{DS} \longrightarrow ?$$

Geometry

$$|\dot{H}| << H^2 \Longrightarrow H = \frac{1}{2t} \Longrightarrow H = \frac{2}{3t} \Longrightarrow |\dot{H}| << H^2$$

Physics

$$p \approx -\rho \Longrightarrow p = \rho/3 \Longrightarrow p \ll \rho \Longrightarrow p \approx -\rho$$

Duration in terms of the number of e-folds $\ln(a_{fin}/a_{in})$

> 60 ~ 55 7.5 0.5

Inflation

The inflationary scenario is based on the two cornerstone independent ideas (hypothesis):

1. Existence of inflation (or, quasi-de Sitter stage) – a stage of accelerated, close to exponential expansion of our Universe in the past preceding the hot Big Bang with decelerated, power-law expansion.

2. The origin of all inhomogeneities in the present Universe is the effect of gravitational creation of particles and field fluctuations during inflation from the adiabatic vacuum (no-particle) state for Fourier modes covering all observable range of scales (and possibly somewhat beyond).

NB The latter effect requires breaking of the weak and null energy conditions for matter inhomogeneities.

Outcome of inflation

In the super-Hubble regime in the coordinate representation:

$$ds^2 = dt^2 - a^2(t)(\delta_{lm} + h_{lm})dx^l dx^m, \quad l, m = 1, 2, 3$$

$$h_{lm} = 2\zeta(\mathbf{r})\delta_{lm} + \sum_{a=1}^{2} g^{(a)}(\mathbf{r})\, e_{lm}^{(a)}$$

$$e_l^{l(a)} = 0,\ g^{(a)}_{,l}\, e_m^{l(a)} = 0,\ e_{lm}^{(a)}\, e^{lm(a)} = 1$$

ζ describes primordial scalar perturbations, g – primordial tensor perturbations (primordial gravitational waves (GW)).

The most important quantities:

$$n_s(k) - 1 \equiv \frac{d\ln P_\zeta(k)}{d\ln k}, \quad r(k) \equiv \frac{P_g}{P_\zeta}$$

In fact, metric perturbations h_{lm} are quantum (operators in the Heisenberg representation) and remain quantum up to the present time. But, after omitting of a very small part, decaying with time, they become commuting and, thus, equivalent to classical (c-number) stochastic quantities with the Gaussian statistics (up to small terms quadratic in $\zeta,\ g$).

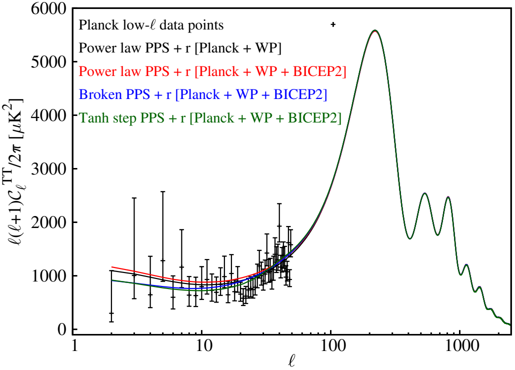
In particular:

$$\hat{\zeta}_k = \zeta_k\, i(\hat{a}_{\mathbf{k}} - \hat{a}^\dagger_{\mathbf{k}}) + \mathcal{O}\left((\hat{a}_{\mathbf{k}} - \hat{a}^\dagger_{\mathbf{k}})^2\right) + ... + \mathcal{O}(10^{-100})(\hat{a}_{\mathbf{k}} + \hat{a}^\dagger_{\mathbf{k}}) + ,,,$$

The last term is time dependent, it is affected by physical decoherence and may become larger, but not as large as the second term.

Remaining quantum coherence: deterministic correlation between $\mathbf{k}$ and $-\mathbf{k}$ modes - shows itself in the appearance of acoustic oscillations (primordial oscillations in case of GW).

CMB temperature anisotropy multipoles



Present status of inflation

Now we have numbers: P. A. R. Ade et al., arXiv:1502.01589

The primordial spectrum of scalar perturbations has been measured and its deviation from the flat spectrum $n_s = 1$ in the first order in $|n_s - 1| \sim N^{-1}$ has been discovered (using the multipole range $\ell > 40$):

$$< \zeta^2(\mathbf{r}) >= \int \frac{P_\zeta(k)}{k}\, dk, \;\; P_\zeta(k) = \left(2.21^{+0.07}_{-0.08}\right) 10^{-9} \left(\frac{k}{k_0}\right)^{n_s - 1}$$

$$k_0 = 0.05\mathrm{Mpc}^{-1}, \;\; n_s - 1 = -0.035 \pm 0.005$$

Two fundamental observational constants of cosmology in addition to the three known ones (baryon-to-photon ratio, baryon-to-matter density and the cosmological constant). Existing inflationary models can predict (and predicted, in fact) one of them, namely $n_s - 1$, relating it finally to $N_H = \ln \frac{k_B T_\gamma}{\hbar H_0} \approx 67.2$ (note that $(1 - n_s)N_H \sim 2$).

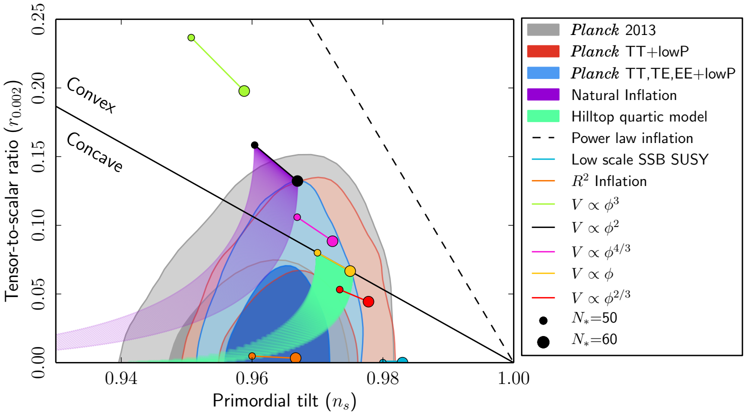
From "proving" inflation to using it as a tool

Present status of inflation: transition from "proving" it in general and testing some of its simplest models to applying the inflationary paradigm to investigate particle physics at super-high energies and the actual history of the Universe in the remote past using real observational data on $n_s(k) - 1$ and $r(k)$.

The reconstruction approach – determining curvature and inflaton potential from observational data – a kind of inverse dynamical problem. One of its outcomes: predictions about possible value of P_g from the measured properties of P_s.

The most important quantities:
1) for classical gravity – $H, \dot{H}$
2) for super-high energy particle physics – m^2_{infl}.

Direct approach: comparison with simple smooth models



The latest BICEP2/Keck Array/Planck upper limit: $r < 0.07$ at 95% c.f. (P. A. R. Ade et al., arXiv:1510.09217).

The simplest models producing the observed scalar slope

$$f(R) = R + \frac{R^2}{6M^2}$$

$$M = 2.6 \times 10^{-6} \left(\frac{55}{N}\right) M_{Pl} \approx 3.2 \times 10^{13}\,\mathrm{GeV}$$

$$n_s - 1 = -\frac{2}{N} \approx -0.036, \quad r = \frac{12}{N^2} = 3(1-n_s)^2 \approx 0.004$$

$$H_{dS}(N = 55) = 1.4 \times 10^{14}\,\mathrm{GeV}$$

The same prediction from a scalar field model with $V(\phi) = \frac{\lambda\phi^4}{4}$ at large ϕ and strong non-minimal coupling to gravity $\xi R \phi^2$ with $\xi < 0, \ |\xi| \gg 1$, including the Brout-Englert-Higgs inflationary model. r is small but not too small. How generic is this prediction for other models?

The Lagrangian density for the simplest 1-parametric model:

$$\mathcal{L} = \frac{R}{16\pi G} + \frac{N^2}{288\pi^2 P_\zeta(k)} R^2 = \frac{R}{16\pi G} + 5 \times 10^8 R^2$$

The quantum effect of creation of particles and field fluctuations works twice in this model:

a) at super-Hubble scales during inflation, to generate space-time metric fluctuations;

b) at small scales after inflation, to provide scalaron decay into pairs of matter particles and antiparticles (AS, 1980, 1981).

The most effective decay channel: into minimally coupled scalars with $m \ll M$. Then the formula

$$\frac{1}{\sqrt{-g}} \frac{d}{dt}(\sqrt{-g}\, n_s) = \frac{R^2}{576\pi}$$

can be used (Ya. B. Zeldovich and A. A. Starobinsky, JETP Lett. 26, 252 (1977)). Scalaron decay into graviton pairs is suppressed (A. A. Starobinsky, JETP Lett. 34, 438 (1981)).

Possible microscopic origins of this model.

1. The specific case of the fourth order gravity in 4D

$$\mathcal{L} = \frac{R}{16\pi G} + AR^2 + BC_{\alpha\beta\gamma\delta}C^{\alpha\beta\gamma\delta} + (\text{small rad. corr.})$$

for which $A \gg 1,\ A \gg |B|$. Approximate scale (dilaton) invariance and absence of ghosts in the curvature regime $A^{-2} \ll (RR)/M_P^4 \ll B^{-2}$.

2. Another, completely different way: a non-minimally coupled scalar field with a large negative coupling ξ ($\xi_{conf} = \frac{1}{6}$):

$$L = \frac{R}{16\pi G} - \frac{\xi R \phi^2}{2} + \frac{1}{2}\phi_{,\mu}\phi^{,\mu} - V(\phi), \quad \xi < 0, \quad |\xi| \gg 1 \ .$$

In this limit, the Higgs-like scalar tree level potential $V(\phi) = \frac{\lambda(\phi^2 - \phi_0^2)^2}{4}$ just produces $f(R) = \frac{1}{16\pi G}\left(R + \frac{R^2}{6M^2}\right)$ with $M^2 = \lambda/24\pi\xi^2 G$ and $\phi^2 = |\xi|R/\lambda$ (plus small corrections $\propto |\xi|^{-1}$).

Quantization of space-time metric perturbations

Quantization with the adiabatic vacuum initial condition (in the tensor case, omitting the polarization tensor):

$$\hat{\phi} = (2\pi)^{-3/2} \int \left[\hat{a}_{\mathbf{k}}\, \phi_k(\eta)\, e^{-i\mathbf{kr}} + \hat{a}^{\dagger}_{\mathbf{k}}\, \phi^*_k\, e^{i\mathbf{kr}} \right] d^3k$$

where ϕ stands for ζ, g^a correspondingly and ϕ_k satisfies the equation

$$\frac{1}{f}(f\phi_k)'' + \left(k^2 - \frac{f''}{f}\right)\phi_k = 0, \quad \eta = \int \frac{dt}{a(t)}$$

For GW: $f = a$, for scalar perturbations in scalar field driven inflation in GR: $f = \frac{a\dot{\phi}}{H}$ where, in turn, the background scalar field satisfies the equation

$$\ddot{\phi} + 3H\dot{\phi} + \frac{dV}{d\phi} = 0$$

How the two basic hypothesis of the inflationary paradigm work.

I. Inflationary background: $t = \infty$ corresponds to $\eta = 0$ and $H(\eta) \equiv \frac{a'}{a^2}$ is bounded and slowly decreasing in this limit, so that $\frac{f''}{f} \sim \frac{2}{\eta^2}$. Then

$$\eta \to -0: \quad \phi_k(\eta) \to \phi(k) = const, \quad P(k) = \frac{k^3 \phi^2(k)}{2\pi^2}$$

II. Adiabatic vacuum initial condition:

$$\eta \to -\infty: \quad \phi_k(\eta) = \frac{e^{-ik\eta}}{\sqrt{2k}}$$

The reconstruction problem: determine $H(t)$ and $V(\phi)$ from $P(k)$.

It can be solved analytically in the slow-roll approximation which is satisfied in all viable inflationary models in the first approximation: smooth reconstruction.

Smooth reconstruction of an inflaton potential

Inflation in GR with a minimally coupled scalar field with some potential.

In the absence of spatial curvature and other matter:

$$H^2 = \frac{\kappa^2}{3}\left(\frac{\dot{\phi}^2}{2} + V(\phi)\right)$$

$$\dot{H} = -\frac{\kappa^2}{2}\dot{\phi}^2$$

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

where $\kappa^2 = 8\pi G$ ($\hbar = c = 1$).

Reduction to the first order equation

It can be reduced to the first order Hamilton-Jacobi-like equation for $H(\phi)$. From the equation for $\dot{H}$, $\frac{dH}{d\phi} = -\frac{\kappa^2}{2}\dot{\phi}$. Inserting this into the equation for H^2, we get

$$\frac{2}{3\kappa^2}\left(\frac{dH}{d\phi}\right)^2 = H^2 - \frac{\kappa^2}{3}V(\phi)$$

Time dependence is determined using the relation

$$t = -\frac{\kappa^2}{2}\int\left(\frac{dH}{d\phi}\right)^{-1} d\phi$$

However, during oscillations of ϕ, $H(\phi)$ acquires non-analytic behaviour of the type $const + \mathcal{O}(|\phi - \phi_1|^{3/2})$ at the points where $\dot{\phi} = 0$, and then the correct matching with another solution is needed.

Inflationary slow-roll dynamics

Slow-roll occurs if: $|\ddot{\phi}| \ll H|\dot{\phi}|, \ \ \dot{\phi}^2 \ll V$, and then $|\dot{H}| \ll H^2$.

Necessary conditions: $|V'| \ll \kappa V, \ \ |V''| \ll \kappa^2 V$. Then

$$H^2 \approx \frac{\kappa^2 V}{3}, \quad \dot{\phi} \approx -\frac{V'}{3H}, \quad N \equiv \ln \frac{a_f}{a} \approx \kappa^2 \int_{\phi_f}^{\phi} \frac{V}{V'}\, d\phi$$

First obtained in A. A. Starobinsky, Sov. Astron. Lett. 4, 82 (1978) in the $V = \frac{m^2\phi^2}{2}$ case and for a bouncing model.

In the slow-roll approximation:

$$\frac{V^3}{V'^2} = CP_\zeta(k(t(\phi))), \quad C = \frac{12\pi^2}{\kappa^6}$$

Changing variables for ϕ to $N(\phi)$ and integrating, we get:

$$\frac{1}{V(N)} = -\frac{\kappa^4}{12\pi^2}\int \frac{dN}{P_\zeta(N)}$$

$$\kappa\phi = \int dN \sqrt{\frac{d\ln V}{dN}}$$

Here, $N \gg 1$ stands both for $\ln(k_f/k)$ at the present time and the number of e-folds back in time from the end of inflation. First derived in H. M. Hodges and G. R. Blumenthal, Phys. Rev. D 42, 3329 (1990).

Minimal "scale-free" reconstruction

Minimal inflationary model reconstruction avoiding introduction of any new physical scale both during and after inflation and producing the best fit to the Planck data.

Assumption: the numerical coincidence between $2/N_H \sim 0.04$ and $1 - n_s$ is not accidental but happens for all $1 \ll N \lesssim 60$: $P_\zeta = P_0 N^2$. Then:

$$V = V_0 \frac{N}{N + N_0} = V_0 \tanh^2 \frac{\kappa\phi}{2\sqrt{N_0}}$$

$$r = \frac{8N_0}{N(N + N_0)}$$

$r \sim 0.003$ for $N_0 \sim 1$. From the upper limit on r:

$$N_0 < \frac{0.07N^2}{8 - 0.07N}$$

$N_0 < 57$ for $N = 57$.

Another example: $P_\zeta = P_0 N^{3/2}$.

$$V(\phi) = V_0 \frac{\phi^2 + 2\phi\phi_0}{(\phi + \phi_0)^2}$$

Not bounded from below (of course, in the region where the slow-roll approximation is not valid anymore). Crosses zero linearly.

More generally, the two "aesthetic" assumptions – "no-scale" scalar power spectrum and $V \propto \phi^{2n}, \; n = 1, 2...$ at the minimum of the potential – lead to $P_\zeta = P_0 N^{n+1}, \; n_s - 1 = -\frac{n+1}{N}$ unambiguously. From this, only $n = 1$ is permitted by observations.

Still an additional parameter appears due to the tensor power spectrum – no preferred one-parameter model (if the $V(\phi) \propto \phi^2$ model is excluded). However, r is parametrically no less than $(n_s - 1)^2$.

Inflation in $f(R)$ gravity

The simplest model of modified gravity (= geometrical dark energy) considered as a phenomenological macroscopic theory in the fully non-linear regime and non-perturbative regime.

$$S = \frac{1}{16\pi G}\int f(R)\sqrt{-g}\, d^4x + S_m$$

$$f(R) = R + F(R), \quad R \equiv R_\mu^\mu$$

Here $f''(R)$ is not identically zero. Usual matter described by the action S_m is minimally coupled to gravity.

Vacuum one-loop corrections depending on R only (not on its derivatives) are assumed to be included into $f(R)$. The normalization point: at laboratory values of R where the scalaron mass (see below) $m_s \approx \mathrm{const}$.

Metric variation is assumed everywhere. Palatini variation leads to a different theory with a different number of degrees of freedom.

Field equations

$$\frac{1}{8\pi G}\left(R_\mu^\nu - \frac{1}{2}\,\delta_\mu^\nu R\right) = -\left(T^\nu_{\mu\,(vis)} + T^\nu_{\mu\,(DM)} + T^\nu_{\mu\,(DE)}\right)\ ,$$

where $G = G_0 = const$ is the Newton gravitational constant measured in laboratory and the effective energy-momentum tensor of DE is

$$8\pi G T^\nu_{\mu\,(DE)} = F'(R)\,R_\mu^\nu - \frac{1}{2}\,F(R)\delta_\mu^\nu + \left(\nabla_\mu\nabla^\nu - \delta_\mu^\nu\nabla_\gamma\nabla^\gamma\right)F'(R)\,.$$

Because of the need to describe DE, de Sitter solutions in the absence of matter are of special interest. They are given by the roots $R = R_{dS}$ of the algebraic equation

$$Rf'(R) = 2f(R)\ .$$

The special role of $f(R) \propto R^2$ gravity: admits de Sitter solutions with any curvature.

Reduction to the first order equation

In the absence of spatial curvature and $\rho_m = 0$, it is always possible to reduce these equations to a first order one using either the transformation to the Einstein frame and the Hamilton-Jacobi-like equation for a minimally coupled scalar field in a spatially flat FLRW metric, or by directly transforming the 0-0 equation to the equation for $R(H)$:

$$\frac{dR}{dH} = \frac{(R - 6H^2)f'(R) - f(R)}{H(R - 12H^2)f''(R)}$$

Smooth inflation reconstruction in $f(R)$ gravity

$$f(R) = R^2 A(R)$$

$$A = const - \frac{\kappa^2}{96\pi^2} \int \frac{dN}{P_\zeta(N)}$$

$$\ln R = const + \int dN \sqrt{-\frac{2\, d \ln A}{3\, dN}}$$

Here, the additional assumptions that $P_\zeta \propto N^\beta$ and that the resulting $f(R)$ can be analytically continued to the region of small R without introducing a new scale, and it has the linear (Einstein) behaviour there, leads to $\beta = 2$ and the $R + R^2$ inflationary model with $r = \frac{12}{N^2} = 3(n_s - 1)^2$ unambiguously.

For $P_\zeta = P_0 N^2$:

$$A = \frac{1}{6M^2}\left(1 + \frac{N_0}{N}\right), \quad M^2 \equiv \frac{16\pi^2 N_0 P_\zeta}{\kappa^2}$$

Two cases:

1. $N \gg N_0$ always.

$$A = \frac{1}{6M^2}\left(1 + \left(\frac{R_0}{R}\right)^{\sqrt{3/(2N_0)}}\right)$$

For $N_0 = 3/2, \; R_0 = 6M^2$ we return to the simplest $R + R^2$ inflationary model.

2. $N_0 \gg 1$.

$$A = \frac{1}{6M^2}\left(\frac{1 + \left(\frac{R_0}{R}\right)^{\sqrt{3/(2N_0)}}}{1 - \left(\frac{R_0}{R}\right)^{\sqrt{3/(2N_0)}}}\right)^2$$

Conclusions

- The typical inflationary predictions that $|n_s - 1|$ is small and of the order of N_H^{-1}, and that r does not exceed $\sim 8(1 - n_s)$ are confirmed. Typical consequences following without assuming additional small parameters: $H_{55} \sim 10^{14}\,\mathrm{GeV},\;\; m_{infl} \sim 10^{13}\,\mathrm{GeV}$.
- Though the Einstein gravity plus a minimally coupled inflaton remains sufficient for description of inflation with existing observational data, modified (in particular, scalar-tensor or $f(R)$) gravity can do it as well.
- From the scalar power spectrum $P_\zeta(k)$, it is possible to reconstruct an inflationary model both in the Einstein and $f(R)$ gravity up to one arbitrary physical constant of integration.
- Using the measured value of $n_s - 1$ and assuming a scale-free scalar power spectrum leads to the prediction that the value $r > 10^{-3}$ is well possible.

- Even without using the observed value of $n_s - 1$, the assumptions of the absence of any new physical scale both during inflation and after it and of the model applicability up to the zero values of energy and space-time curvature distinguish the case $P_\zeta(k) \propto \ln^2(k_f/k)$ just corresponding to this slope.
- In the Einstein gravity, the simplest inflationary models permitted by observational data are two-parametric, no preferred quantitative prediction for r, apart from its parametric dependence on $n_s - 1$, namely, $\sim (n_s - 1)^2$ or larger.
- In the $f(R)$ gravity, the simplest model is one-parametric and has the preferred value $r = \frac{12}{N^2} = 3(n_s - 1)^2$.
- Thus, it has sense to search for primordial GW from inflation at the level $r > 10^{-3}$!